

Flavor-Violating Axions: From the Lab to the Cosmos

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Introduction

Axions are well motivated theoretical particles that can explain the observed smallness of the neutron electric dipole moment through their CP-violating coupling to gluons. More generally, axion-like particles (ALPs) can couple to standard-model fermions, potentially giving rise to charged lepton flavor violation (CLFV). The most general ALP Lagrangian contains the terms

$$\mathcal{L}_{\rm ALP} \supset \frac{\partial_{\alpha} a}{2f_a} \bar{e} \gamma^{\alpha} \left(C_{e\mu}^V + C_{e\mu}^A \gamma_5 \right) \mu$$

allowing, for example, a muon to decay to an electron + ALP (if kinematically allowed), as shown in Fig. 1 (a).

The theory is specified by 4 unknown parameters

- ALP mass m_{a}
- ALP decay constant f_a
- Vector $C_{e\mu}^V$ and axial $C_{e\mu}^A$ couplings

Depending on the ALP mass, different CLFV processes in both laboratory and astrophysical settings — can be used to constrain the ALP parameters. We summarize and compare these limits.

Astrophysical Limits

- Axion production in stellar environments can lead to anomalous cooling
- Extreme electron degeneracy leads to significant muon populations in proto-neutron stars
- If $m_a < m_\mu + m_\rho$, muon can decay to ALP + electron
- For reasonable assumptions in SN1987A, energy loss rate ε is given by [1]

$$\varepsilon \approx 10^{19} \frac{\text{erg}}{\text{gs}} \left(\frac{BR(\mu \to ea)}{4 \times 10^{-3}} \right)$$

- Energy loss can be compared to Raffelt criterion [2] $\epsilon \lesssim 10^{19} \text{ erg g}^{-1} \text{ s}^{-1}$ to constrain ALP parameters, as shown in Fig. 2.
- Bremsstrahlung process $\mu + p \rightarrow e + a + p$ can probe heavier ALPs, but is still highly suppressed for $m_a > m_\mu [3].$

- Requires spin-polarized muons in order to distinguish from $\mu \rightarrow e+2v$ backgrounds
- Future CLFV experiments Mu3e and MEGII can be modified slightly to constrain this process [1]
- Resulting limits on f_a , shown in Fig. 2, are ≈ 2 orders of magnitude stronger than astrophysical constraints

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If $m_a > m_{\mu}$, kinematics forbid the decay $\mu \rightarrow e + a$, but we can obtain constraints from $\mu \rightarrow e$ conversion mediated by a virtual ALP, as shown in Fig. 1(b). The ALP interaction with the nucleus can arise from either the CP-odd gluonic coupling or a coupling to light quarks

- BR($\mu + A \rightarrow e + A$) $\leq 10^{-17}$ with ²⁷Al target
- Leading contribution couples to nuclear spin [4], requiring knowledge of nucleon pseudoscalar $F_{P}^{q/N}$ and gluonic $F_{\tilde{G}}^{N}$ form factors [5]
- Limits on f_a from $\mu \rightarrow e$ conversion are much weaker than $\mu \rightarrow e + a$ because Fig. 1(b) is suppressed by $1/f_a^2$, compared to $1/f_a$ for Fig. 1(a)

References:

[1] L. Calibbi, D. Redigolo, R. Ziegler, & J. Zupan, JHEP, **09** 173 (2021)

[2] G. G. Raffelt, Phys. Rept. **198** (1990) 1-113.

[3] H. Zhang, R. Hagimoto, & A. Long, hep-ph/2309.03889

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Laboratory Limits

Light ALPs $(m_a \leq m_{\mu})$

- If $m_a \leq m_{\mu}$, then $\mu \rightarrow e + a$ is allowed and can be constrained by detecting the outgoing electrons
- Sensitivity of lab experiments does depend on whether ALPS are handed $(C_{e\mu}^V = -C_{e\mu}^A)$, left-handed $(C_{e\mu}^V = C_{e\mu}^A)$, or isotropic $= 0 \text{ or } C_{e\mu}^{A} = 0$
- Branching ratios BR($\mu \rightarrow ea$) $\lesssim 7 \times 10^{-7}$, 7×10^{-8} for MEGII-fwd* and Mu3e-online, respectively.

Heavy ALPs $(m_a \gtrsim m_\mu)$

$$\mathcal{L}_{\text{ALP}} \supset \frac{\alpha_s}{8\pi} \frac{1}{f_a} a G^a_{\mu\nu} \tilde{G}^{a\mu\nu} + \sum_{q=u,d,s} C^A_q \frac{\partial_\mu a}{2f_a} \bar{q} \gamma^\mu \gamma_5 q,$$

which introduces 3 new unknown couplings C_u^A, C_d^A, C_s^A • Future experiments Mu2e and COMET could probe















Figure 3: Limits on CLFV ALPs from $\mu \rightarrow e$ conversion for three scenarios.

Conclusions

If axion-like particles exist in nature, they generically couple to standard-model leptons in a manner that violates flavor. The ideal probe for constraining such interactions depends on the mass of the axion. In all cases, laboratory constraints are more severe than astrophysical limits. For $m_a \leq m_{\mu}$, dedicated searches for the muon decay $\mu \rightarrow e + a$ can constrain $f_a \gtrsim 10^{10}$ GeV. For $m_a \gtrsim m_{\mu}$, the best limits are typically obtained from $\mu \rightarrow e$ conversion, but these constraints are sensitive to the ALP/quark couplings, including special cases where the conversion rate vanishes.

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