

# Phenomenology of Sterile Neutrino EFT

Kaori Fuyuto

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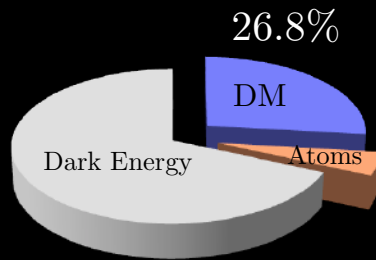


W. Dekens, J. de Vries, KF, E. Mereghetti, G. Zhou, JHEP06(2020)097  
KF, J. Kumar, E. Mereghetti, S. Sandner, C. Sun, 2405.00119

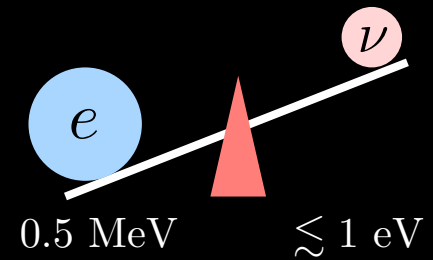
May 7, 2024  
N3AS seminar

We still don't know much about our Universe.

What is Dark Matter?



What is the origin of tiny neutrino mass ?



The origin of the present Universe

Why is there more matter than antimatter?

$$\frac{n_b - n_{\bar{b}}}{n_\gamma} = 6.1 \times 10^{-10}$$

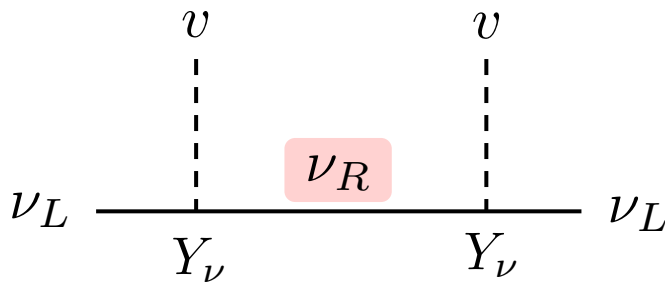


Need to extend the Standard Model, e.g., Sterile Neutrino

# Sterile Neutrino

Right-handed neutrino with no SM charges

$$\mathcal{L} = \mathcal{L}_{\text{SM}} - Y_\nu \bar{L} \tilde{H} \nu_R - \frac{1}{2} \bar{\nu}_R^c M_R \nu_R + \text{H.C.}$$



✓ SM Neutrino Mass

$$m_\nu \sim \frac{Y_\nu^2 v^2}{M_R} \quad (v \simeq 246 \text{ GeV})$$

$$\mathcal{L}_{\text{mass}} = -\frac{1}{2} \bar{\nu} m_\nu \nu$$

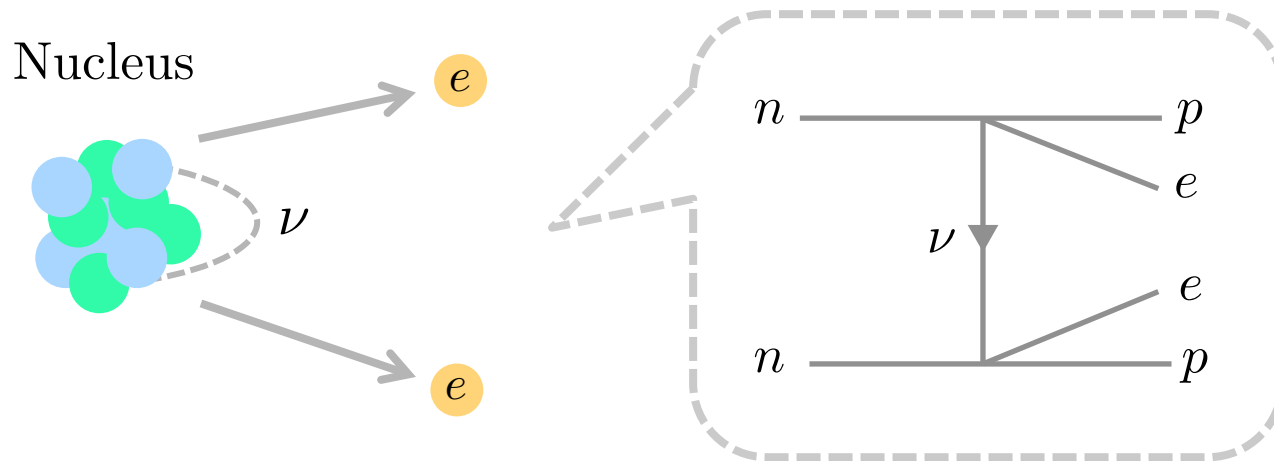
Majorana mass eigenstate

$$\nu = \nu^c$$

# Sterile Neutrino

If neutrinos are Majorana particles, neutrinoless double beta decay can occur.

0N2B : Double beta decay without neutrino emission

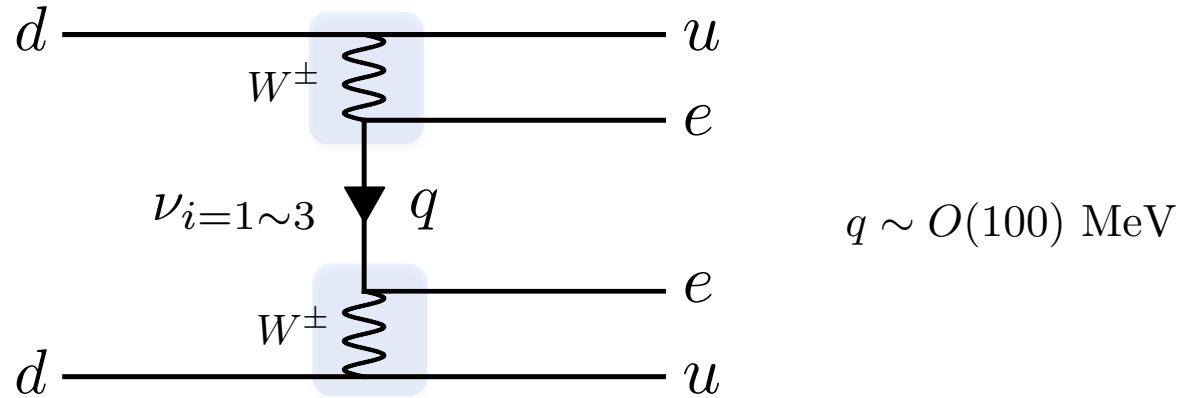


$$(A, Z) \rightarrow (A, Z + 2) + 2e^{-}$$

\*Lepton number is violated by two units.

# 0N2B : Standard Case

Three light Majorana neutrinos :  $\nu_{i=1\sim 3}$

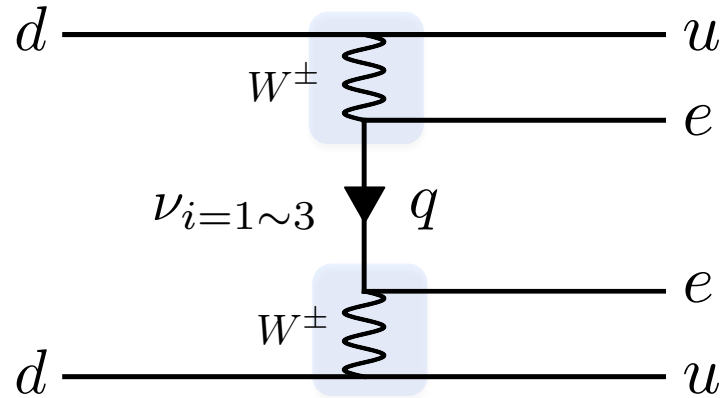


Left-handed vector operator

$$\mathcal{L}^{(6)} = \frac{G_F}{\sqrt{2}} \bar{u}_L \gamma^\mu d_L \bar{e}_L \gamma_\mu C_{\text{VLL}}^{(6)} \nu \quad \Bigg| \quad C_{\text{VLL}}^{(6)} = -2V_{ud}U_{ei}$$

# 0N2B : Standard Case

Three light Majorana neutrinos :  $\nu_{i=1\sim 3}$



Left-handed vector operator

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$$\mathcal{A}_{0\nu 2\beta} \sim \sum_{i=1}^3 U_{ei}^2 \frac{m_i}{q^2 + m_i^2} \sim \frac{1}{q^2} \left( \sum_{i=1}^3 U_{ei}^2 m_i \right)$$

O(100) MeV

# 0N2B : Standard Case

Three light Majorana neutrinos :  $\nu_{i=1\sim 3}$

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots \end{pmatrix}$$

[PDG] PRD98, 030001(2018) and update (2019)

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$$\sin^2 \theta_{12} = 3.10 \cdot 10^{-1} \quad \sin^2 \theta_{23} = 5.58 \cdot 10^{-1}$$

$$\sin^2 \theta_{13} = 2.241 \cdot 10^{-2} \quad \delta_{\text{Dirac}} = 1.23\pi$$


---

$$\Delta m_{21}^2 = m_2^2 - m_1^2 = 7.39 \times 10^{-5} \text{ [eV}^2\text{]}$$

$$\Delta m_{31}^2 = m_3^2 - m_1^2 = \pm 2.5 \times 10^{-3} \text{ [eV}^2\text{]}$$


---

$$\mathcal{A}_{0\nu 2\beta} \sim \sum_{i=1}^3 U_{ei}^2 \frac{m_i}{q^2 + m_i^2} \sim \frac{1}{q^2} \left( \sum_{i=1}^3 U_{ei}^2 m_i \right)$$

$\mathcal{O}(100) \text{ MeV}$ 
Oscillation data

# 0N2B : Standard Case

Three light Majorana neutrinos :  $\nu_{i=1\sim 3}$

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots \end{pmatrix}$$

[PDG] PRD98, 030001(2018) and update (2019)

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---

Inverse half-life :  $\left(T_{1/2}^{0\nu}\right)^{-1} = g_A^4 G_{0\nu} |\mathcal{A}_{0\nu 2\beta}|^2$

$g_A = 1.27$ ,  $G_{0\nu}$  : Phase space factor



# Searches for 0N2B

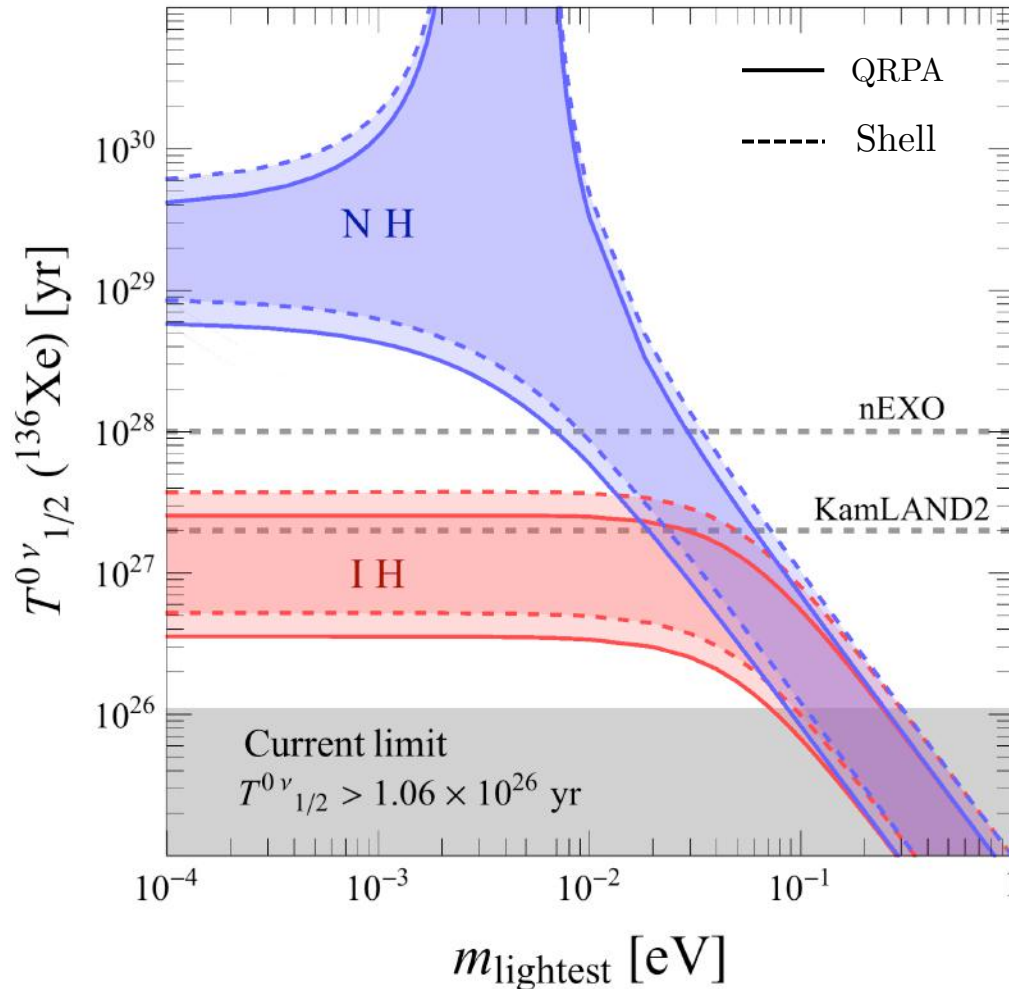
Isotope	Experiment	Current limit ( $\times 10^{25}$ yr)	Future sensitivity ( $\times 10^{25}$ yr)
$^{48}\text{Ca}$	ELEGANT-IV	$5.8 \times 10^{-3}$ [2]	–
	CANDLES	$6.2 \times 10^{-3}$ [23]	$10^{-2}$ [28]
	NEMO-3	$2.0 \times 10^{-3}$ [9]	
$^{76}\text{Ge}$	MAJORANA DEMONSTRATOR	2.7 [22]	–
	GERDA	9.0 [24]	–
	LEGEND	–	$10^3$ [29]
$^{82}\text{Se}$	CUPID	$3.5 \times 10^{-1}$ [25]	
	NEMO-3	$2.5 \times 10^{-2}$ [20]	
	SuperNEMO	–	10 [30]
$^{96}\text{Zr}$	NEMO-3	$9.2 \times 10^{-4}$ [3]	
$^{100}\text{Mo}$	NEMO-3	$1.1 \times 10^{-1}$ [8]	
	CUPID-1T	–	$9.2 \times 10^2$ [37]
	AMoRE	$9.5 \times 10^{-3}$ [26]	$5.0 \times 10$ [31]
$^{116}\text{Cd}$	NEMO-3	$1.0 \times 10^{-2}$ [13]	
$^{128}\text{Te}$	–	$1.1 \times 10^{-2}$ [1]	–
$^{130}\text{Te}$	CUORE	3.2 [21]	9.0 [32]
	SNO+	–	$1.0 \times 10^2$ [33]
$^{136}\text{Xe}$	KamLAND-Zen	10.7 [10]	$2.0 \times 10^2$
	EXO-200	3.5 [27]	$10^3$ [34]
	NEXT	–	$2.0 \times 10^2$ [35]
	PandaX	–	$1.0 \times 10^2$ [36]
$^{150}\text{Nd}$	NEMO-3	$2.0 \times 10^{-3}$ [12]	

$$T_{1/2}^{0\nu} > 2.3 \times 10^{26} \text{ yr}$$

KamLAND-Zen Collaboration  
PRL 30 (2023) 5, 051801

# Current limit on half-life

Standard case : 3 light Majorana neutrinos



Normal Hierarchy (NH)

$$m_1 < m_2 < m_3$$

Inverted Hierarchy (IH)

$$m_3 < m_1 < m_2$$

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$$\sim 10^{27} \text{ yr}$$

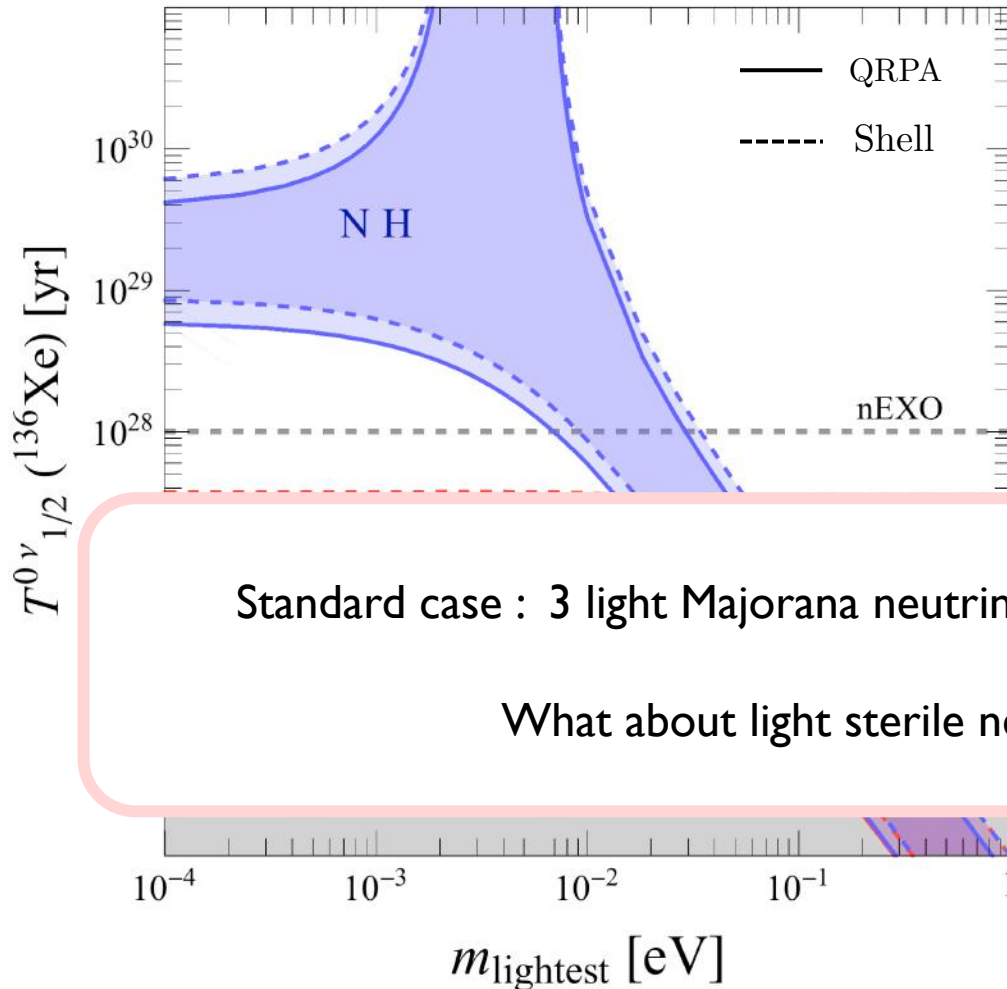
@KamLAND2 – Zen

$$\sim 10^{28} \text{ yr @nEXO}$$

\*Future

# Current limit on half-life

Standard case : 3 light Majorana neutrinos



Normal Hierarchy (NH)

$$m_1 < m_2 < m_3$$

Inverted Hierarchy (IH)

$$m_3 < m_1 < m_2$$

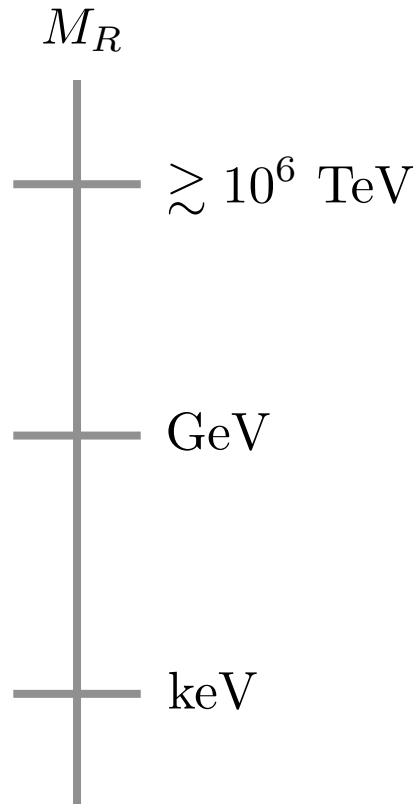
Standard case : 3 light Majorana neutrinos  $M_R \gg \mathcal{O}(100)$  GeV

What about light sterile neutrino case?

# Beyond the standard case

For more details, see M. Drewes,  
1303.6912

Other phenomenological aspects:

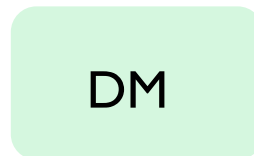


**Leptogenesis**

W. Buchmuller, et al, Ann.Rev.Nucl.Part.Sci.  
55 (2005)311

A. Pilaftsis, et al, Nucl. Phys. B692 (2004)303

E. K. Akhmedov, et al, PRL81 (1998)1359



**DM candidate**

S. Dodelson, L. M. Widrow, PRL72(1994)17

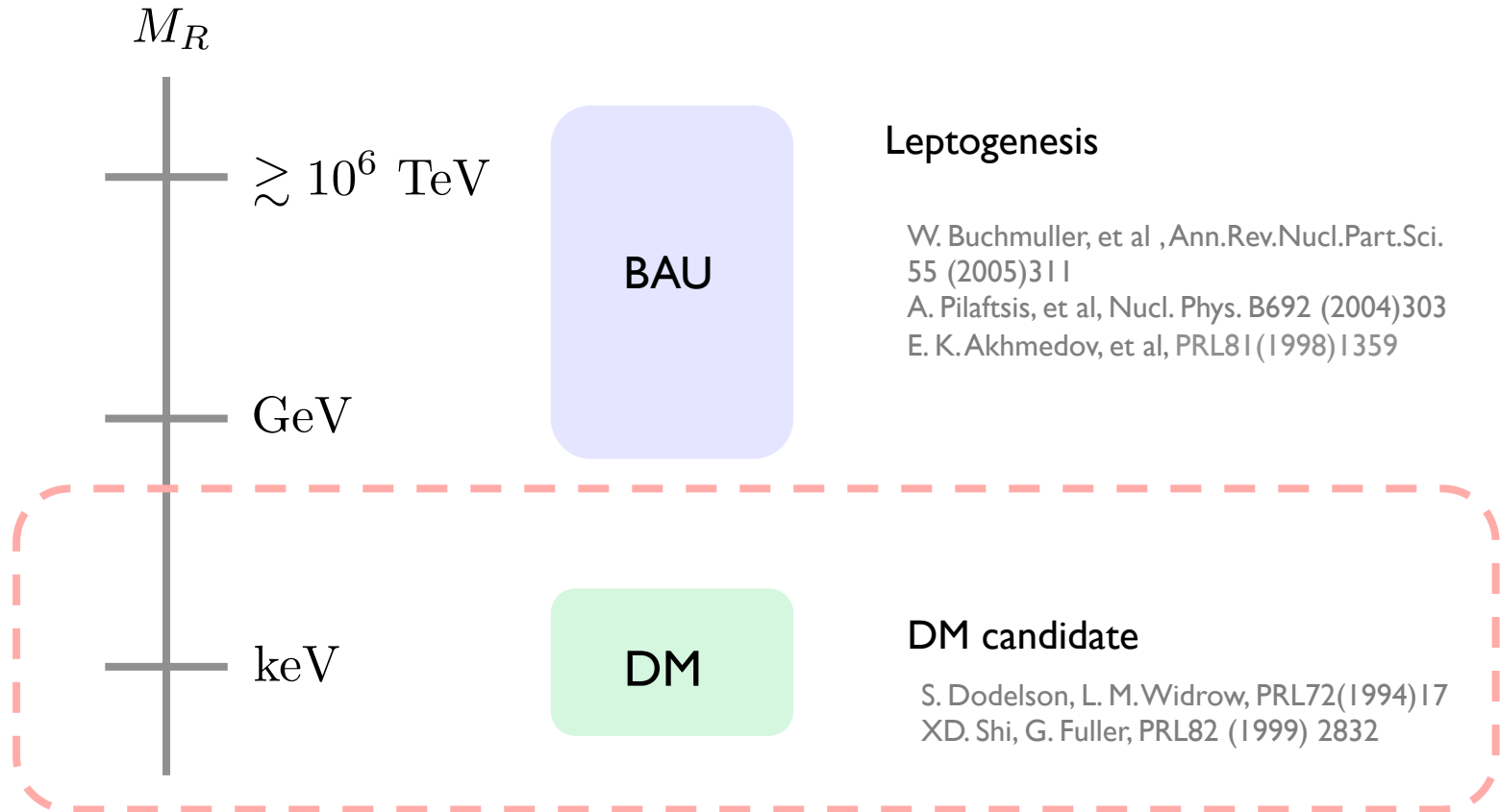
XD. Shi, G. Fuller, PRL82 (1999) 2832

Wide mass range!

# Beyond the standard case

For more details, see M. Drewes,  
1303.6912

Other phenomenological aspects:



\* Need theoretical analysis of 0N2B in light of light sterile neutrinos

 Systematic Analysis based on Effective Field Theory with  $\nu_R$ 

$$\mathcal{L} = \mathcal{L}_{\text{SM}} - Y_\nu L \tilde{H} \nu_R - \frac{1}{2} \overline{\nu_R^c} M_R \nu_R + \frac{1}{\Lambda^{n-4}} C_{\nu_R}^{(n)} \mathcal{O}_{\nu_R}^{(n)}$$

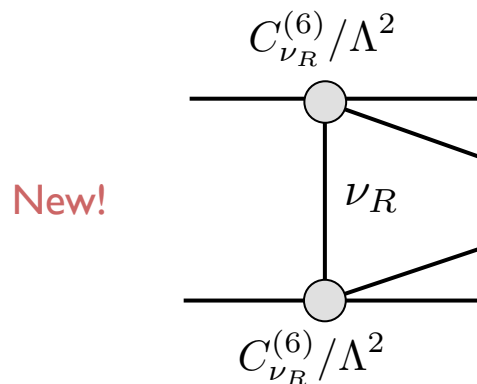
\*Higher dimensional operators

★ Systematic Analysis based on Effective Field Theory with  $\nu_R$

$$\mathcal{L} = \mathcal{L}_{\text{SM}} - Y_\nu L \tilde{H} \nu_R - \frac{1}{2} \overline{\nu_R^c} M_R \nu_R + \frac{1}{\Lambda^{n-4}} C_{\nu_R}^{(n)} \mathcal{O}_{\nu_R}^{(n)}$$

\*Higher dimensional operators

✓ Contributions to 0N2B from non-standard (dim 6) interactions

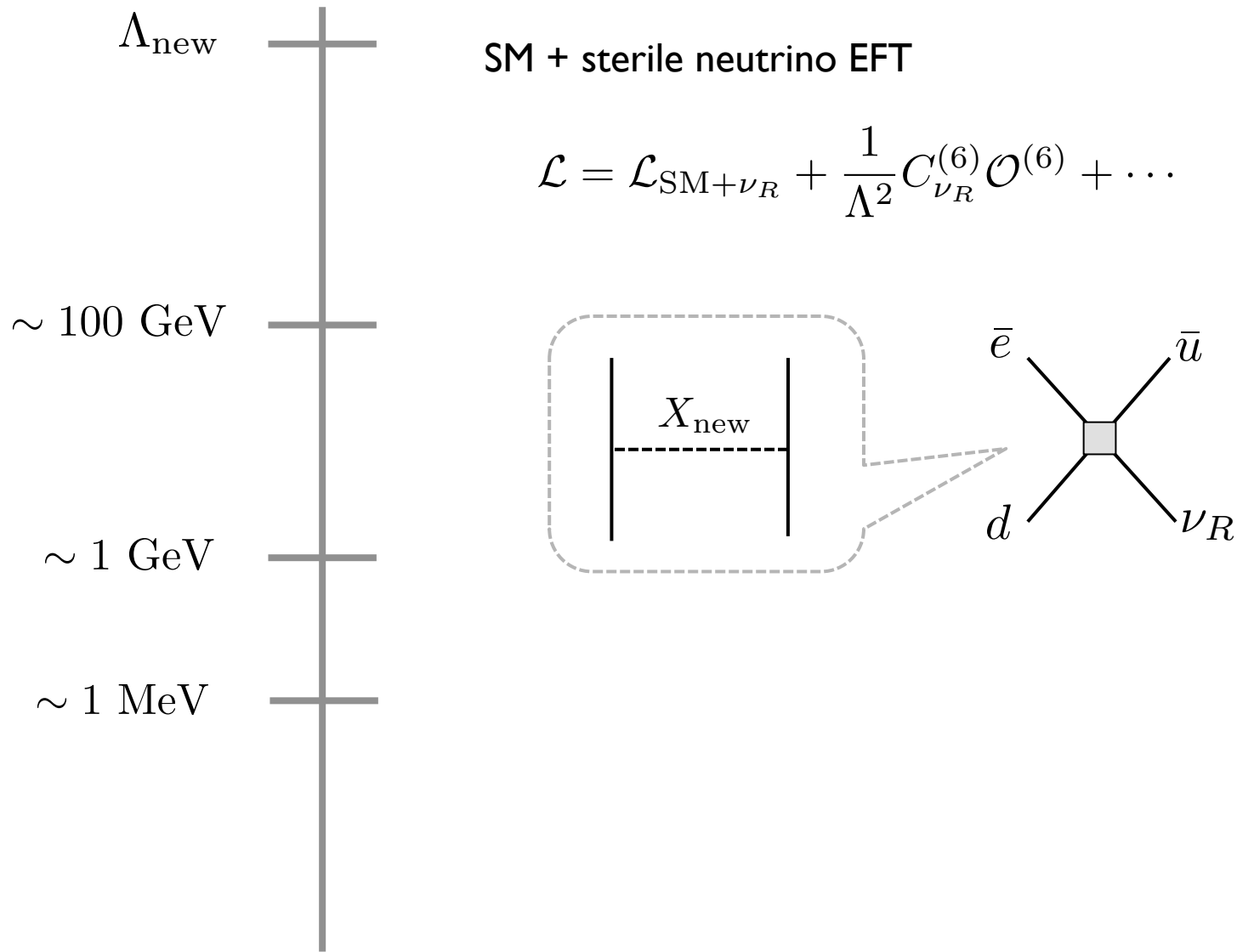


Ex) Dimension 6 operator

$$\frac{1}{\Lambda^2} C_{\nu_R}^{(6)} \bar{L}^i d_R \epsilon_{ij} \bar{Q} \nu_R$$

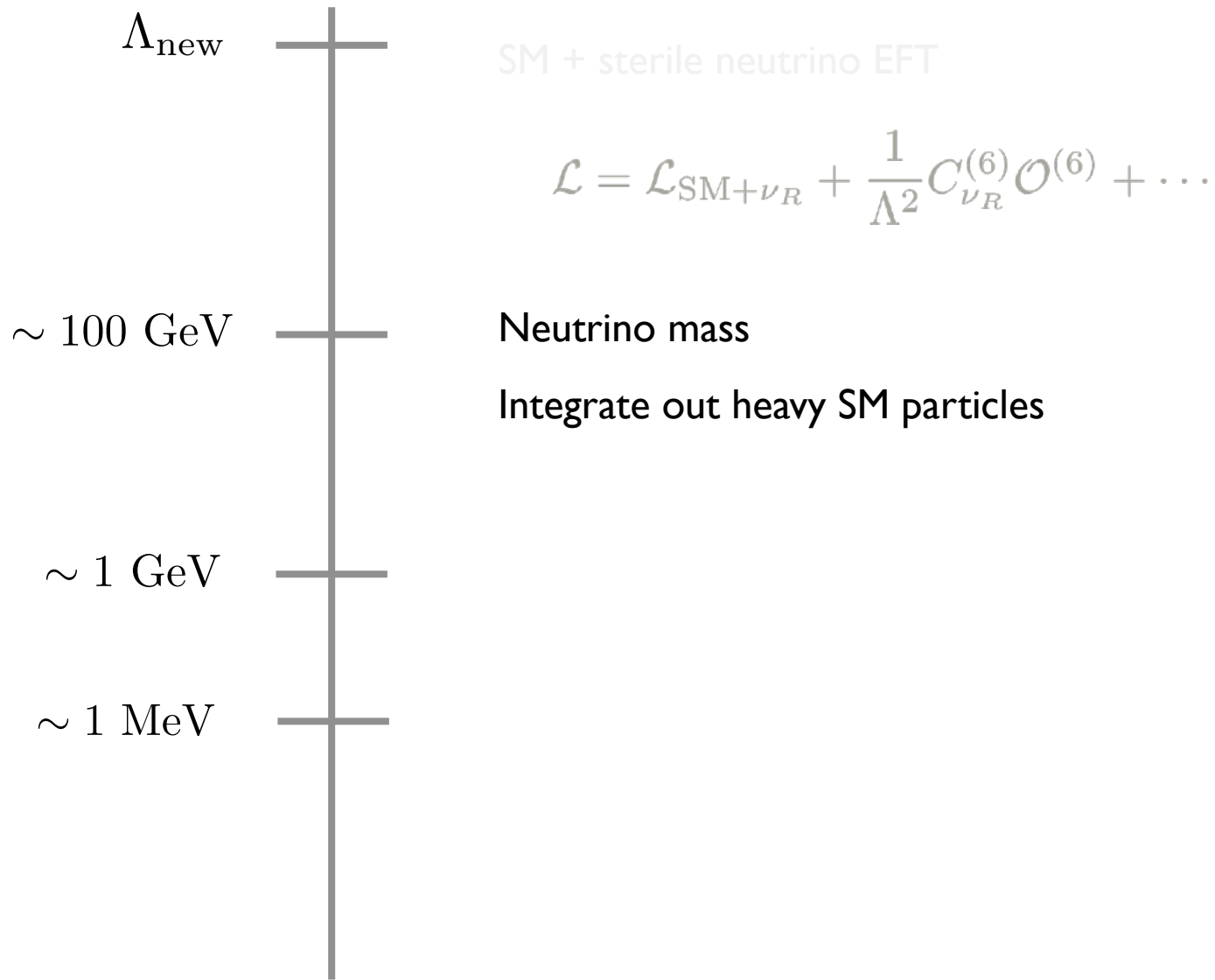
\*Work for production of sterile neutrino DM

# Nu-SMEFT Approach



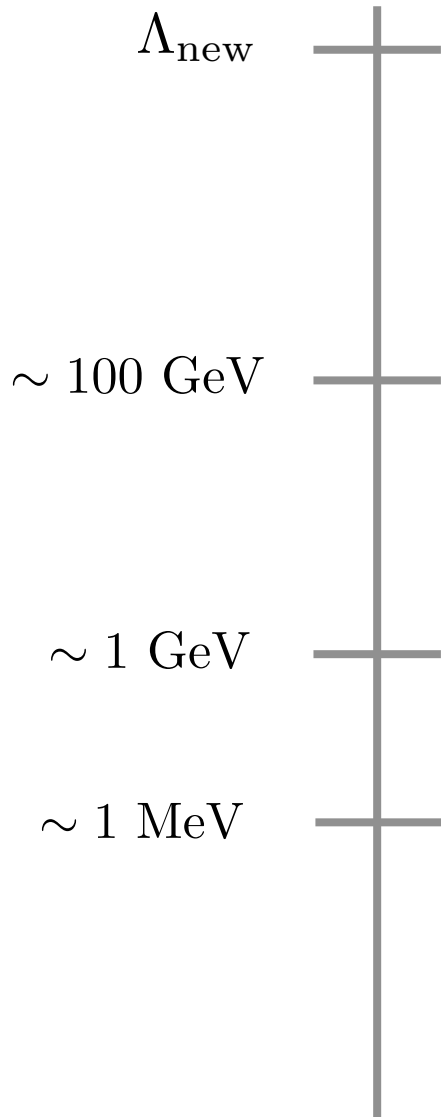


# Nu-SMEFT Approach



# Nu-SMEFT Approach

G. Prezeau, M. Ramsey-Musolf, and P. Vogel, PRD68, 034016 (2003)  
 V. Cirigliano, W. Dekens, J. de Vries, M.L. Graesser, E. Mereghetti,  
 JHEP12(2017)082, JHEP12(2018)097



SM + sterile neutrino EFT

$$\mathcal{L} = \mathcal{L}_{\text{SM}+\nu_R} + \frac{1}{\Lambda^2} C_{\nu_R}^{(6)} \mathcal{O}^{(6)} + \dots$$

Neutrino mass

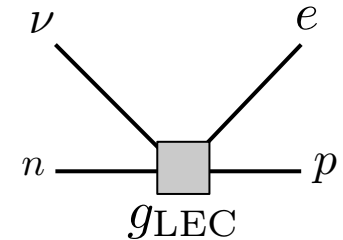
Integrate out heavy SM particles

$\sim 1 \text{ GeV}$

Chiral Perturbation Theory

$\sim 1 \text{ MeV}$

“ Inverse half-life ”



$$\left( T_{1/2}^{0\nu} \right)^{-1} = g_A^4 G_{0\nu} \left| \mathcal{A}_{0\nu 2\beta} \left( g_{\text{LEC}}, C_{\nu_R}^{(6)}, M_{\text{NME}} \right) \right|^2$$

$g_A = 1.27$ ,  $G_{0\nu}$  : Phase space factor

## Standard case vs Non-standard case

(dim 4)

(dim 6)

One Sterile Neutrino :  $m_4$

$$\mathcal{L} = -Y_\nu \bar{L} \tilde{H} \nu_R - \frac{1}{2} \overline{\nu_R^c} M_R \nu_R + \text{H.C.}$$

Mass matrix :  
 $(M_\nu)_{i4,4i} \neq 0$

$$M_\nu = \begin{pmatrix} 0 & 0 & 0 & M_D^* \\ 0 & 0 & 0 & M_D^* \\ 0 & 0 & 0 & M_D^* \\ M_D^* & M_D^* & M_D^* & M_R \end{pmatrix}$$

Yukawa  
Majorana

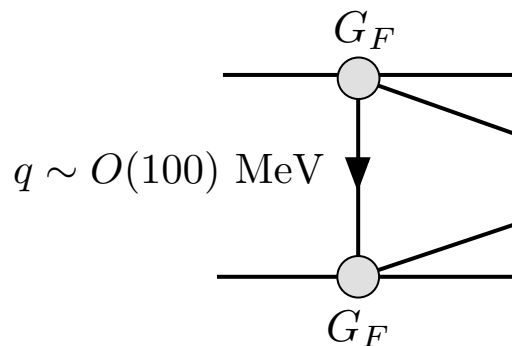
One Sterile Neutrino :  $m_4$

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Yukawa  
Majorana



$$\propto \frac{m_i}{q^2 + m_i^2} U_{ei}^2$$

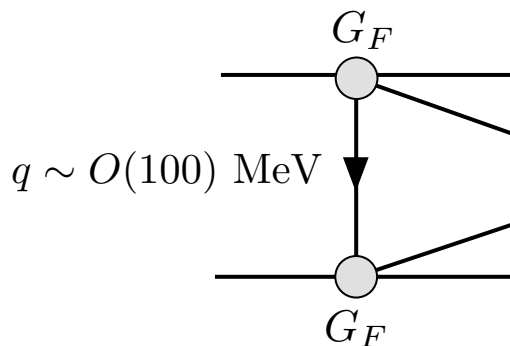
One Sterile Neutrino :  $m_4$

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Yukawa  
Majorana



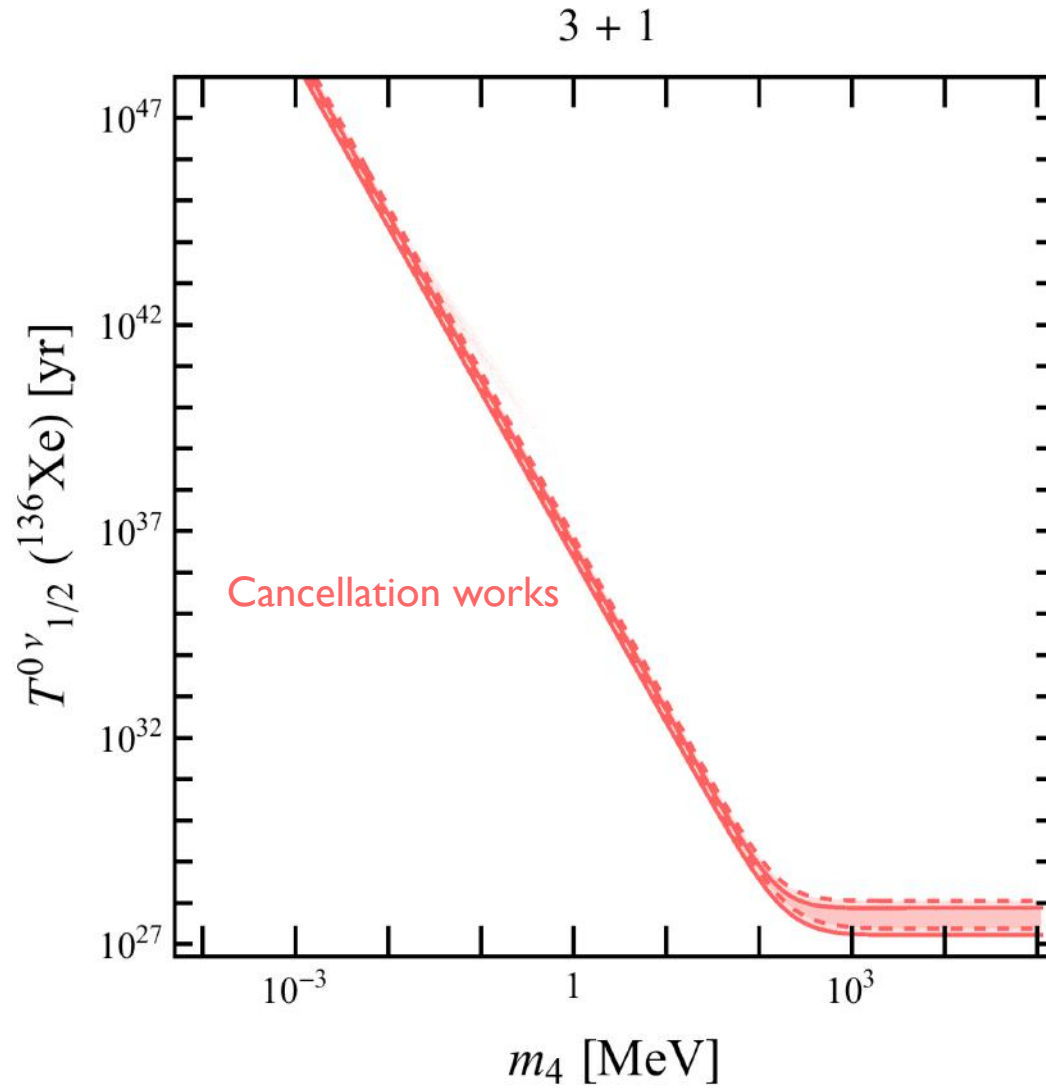
$$q^2 \gg m_{1,2,3}^2 \text{ and } m_4^2$$

$$\propto \frac{m_i}{q^2 + m_i^2} U_{ei}^2 \sim \frac{m_i}{q^2} U_{ei}^2 \left( 1 + \frac{m_i^2}{q^2} + \dots \right)$$

$$m_i U_{ei}^2 = (M_\nu)_{11} = 0$$

LO vanishes!

# 3+1 Standard Scenario

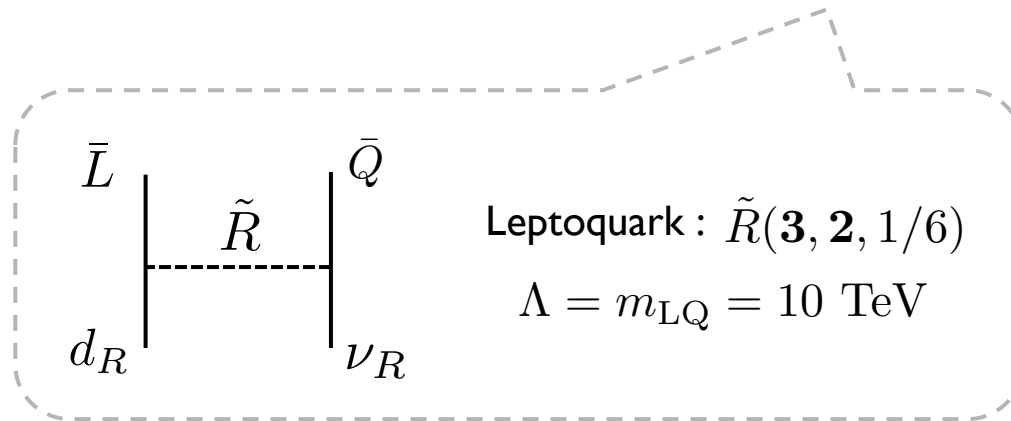


Standard case : The half-life is well above experimental reach.

## 3+1 Non-Standard Scenario

One sterile neutrino with dim 6 interaction

$$\mathcal{L} = -Y_\nu \bar{L} \tilde{H} \nu_R - \frac{1}{2} \bar{\nu}_R^c M_R \nu_R + \frac{1}{\Lambda^2} \bar{L}^i d_R \epsilon_{ij} \bar{Q}^j \nu_R$$

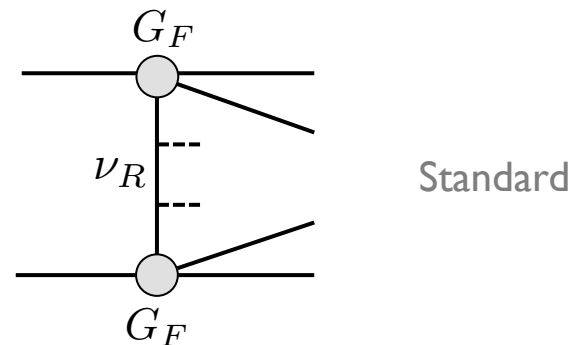
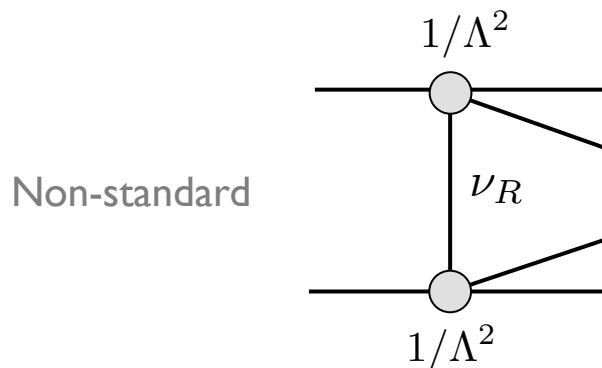
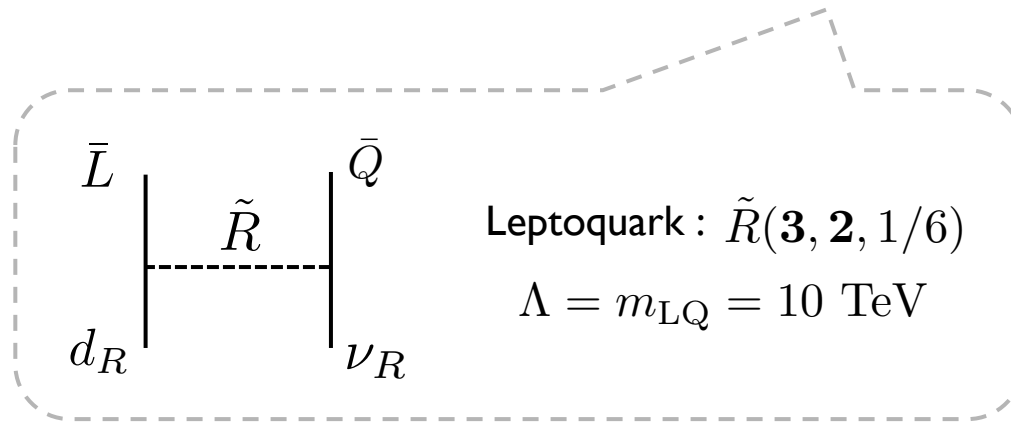




# 3+1 Non-Standard Scenario

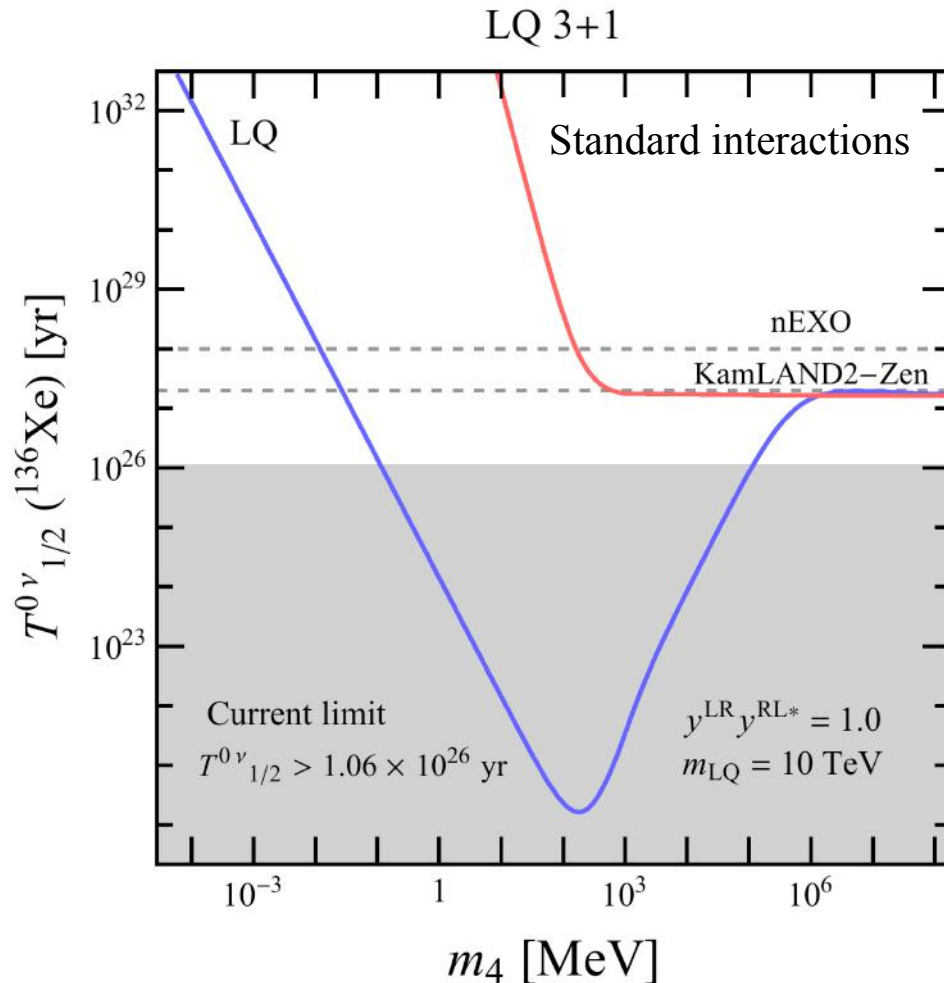
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# 3+1 Non-Standard Scenario

W. Dekens, J. de Vries, **KF**, E. Mereghetti, G. Zhou,  
JHEP06(2020)097



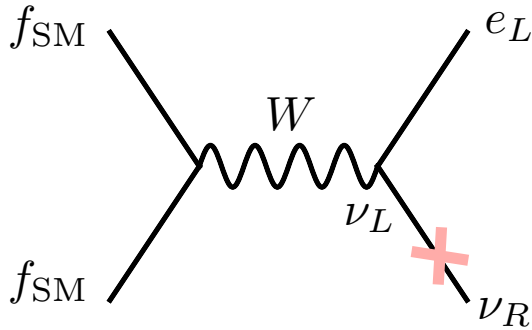
Blue : Non-standard

Pink : Standard contribution

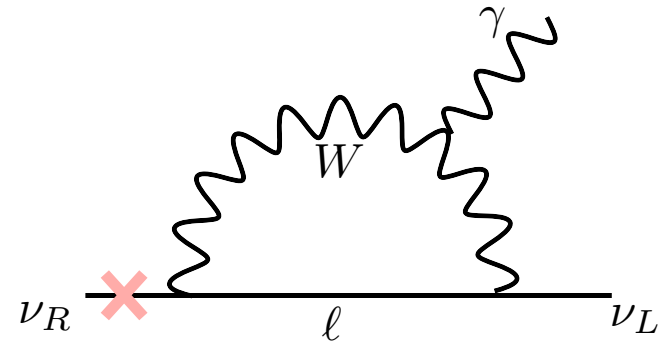
\* Non-standard contributions  
dominate over standard contributions.

Application to DM phenomenology

Minimal Scenario : Sterile Neutrino DM if  $M_R \sim \mathcal{O}(\text{keV})$



$$\Omega_{\text{DM}} \propto \theta^2$$



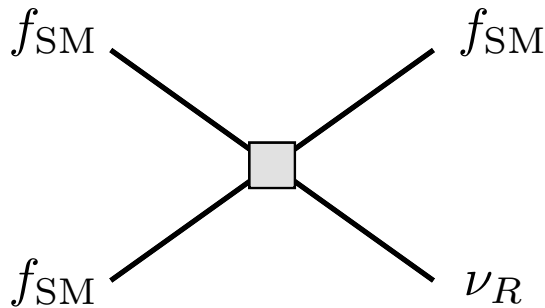
$$\Gamma(\nu_R \rightarrow \nu_L \gamma) \propto \theta^2$$

Photon Dipole Operator:  $\mathcal{O}_{\nu F} = \bar{\nu}_L \sigma^{\mu\nu} \nu_R F_{\mu\nu}$

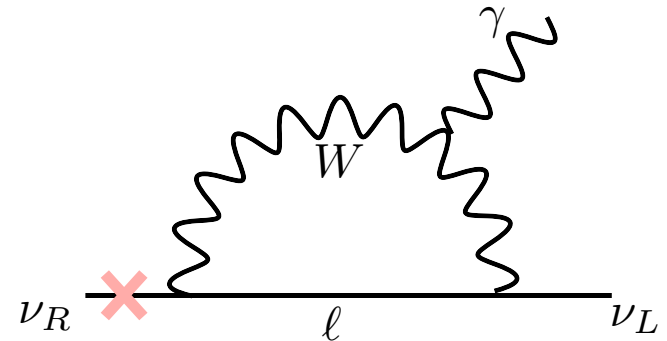
Excluded by non-observation of X-ray from the radiative decay

NuSTAR: PRD99(2019)083005, PRD107(2023)023009

Minimal Scenario : Sterile Neutrino DM if  $M_R \sim O(\text{keV})$



$$\mathcal{L}_{\nu\text{SMEFT}} \supset \frac{1}{\Lambda^2} C_{\nu_R} \mathcal{O}_{\nu_R}$$



$$\Gamma(\nu_R \rightarrow \nu_L \gamma) \propto \theta^2$$

- ✓ New production mechanism via dimension 6 operators

KF, J. Kumar, E. Mereghetti, S. Sandner, C. Sun, 2405.00119

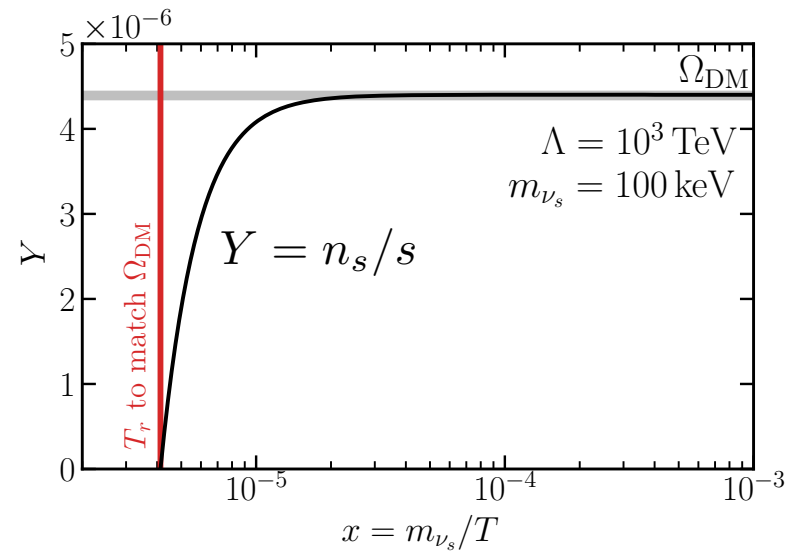
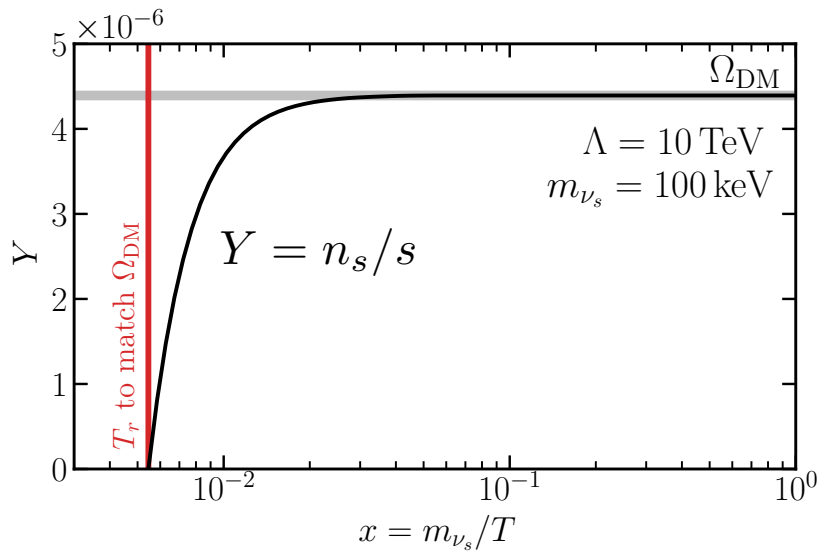
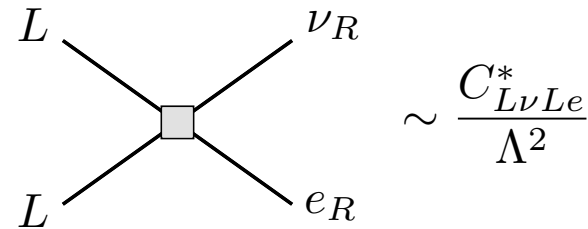
# Sterile Neutrino DM

KF, J. Kumar, E. Mereghetti, S. Sandner, C. Sun  
2405.00119

30

Ex)  $\mathcal{O}_{L\nu Le} = (\bar{L}^i \nu_R) \epsilon_{ij} (\bar{L}^j e_R)$

\*No Mixing



DM production dominantly occurs around reheating temperature  $T_r$

# Nu-SMEFT operators

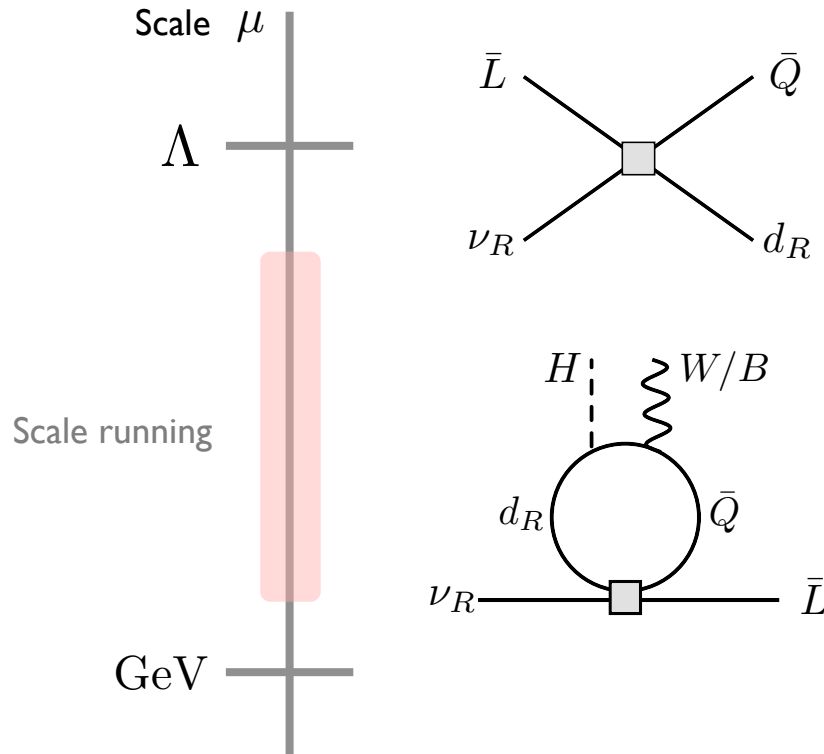
KF, J. Kumar, E. Mereghetti, S. Sandner, C. Sun  
2405.00119

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- (1) No dipole generated
- (2) Photon dipole operator via the RGEs

(1) No dipole generated

(2) Photon dipole operator via the RGEs



$$\mathcal{O}_{L\nu Qd}^{(3)} = (\bar{L}^i \sigma^{\mu\nu} \nu_R) \epsilon_{ij} (\bar{Q}^j \sigma_{\mu\nu} d_R)$$

Ex) Operator Mixing  $\frac{dC_{\nu W}}{d \ln \mu} = \frac{2g_2}{(4\pi)^2} C_{L\nu Qd}^{(3)} Y_d$

$$\mathcal{O}_{\nu W} = (\bar{L} \sigma^{\mu\nu} \nu_R) \tau^I \tilde{H} W_{\mu\nu}^I$$

$$C_{\nu F} \propto \left(\frac{v}{\Lambda}\right)^2 (C_{\nu W} + C_{\nu B})$$

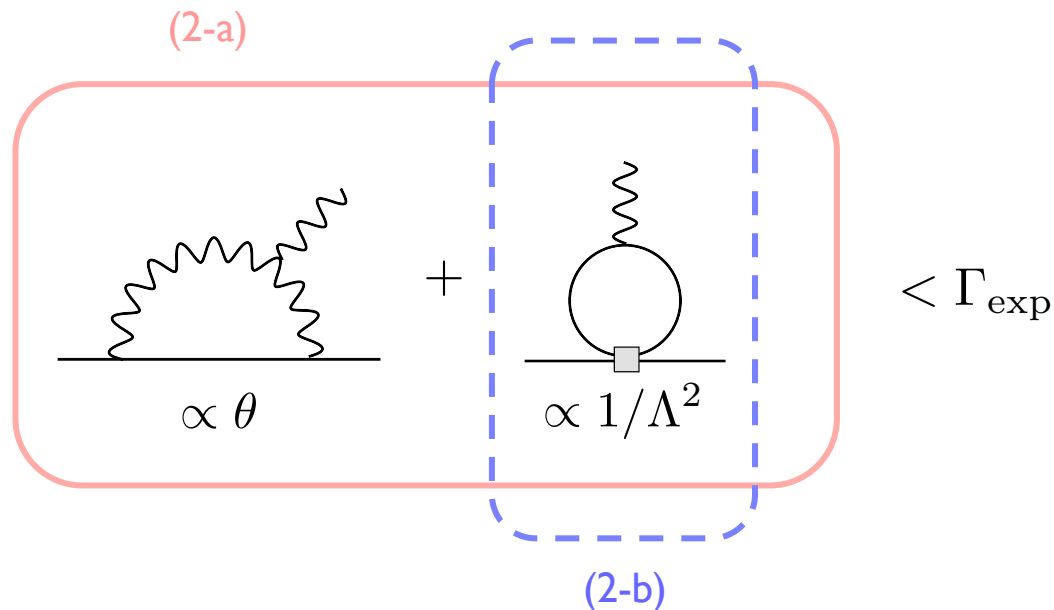


(1) No dipole generated : No X-ray contributions

(2) Photon dipole operator via the RGEs

(2-a) Nonzero active-sterile mixing (destructive contribution from dim 6)

(2-b) Zero mixing (only dim 6)



Scale  $\Lambda$  and  $T_r$  for successful DM abundance

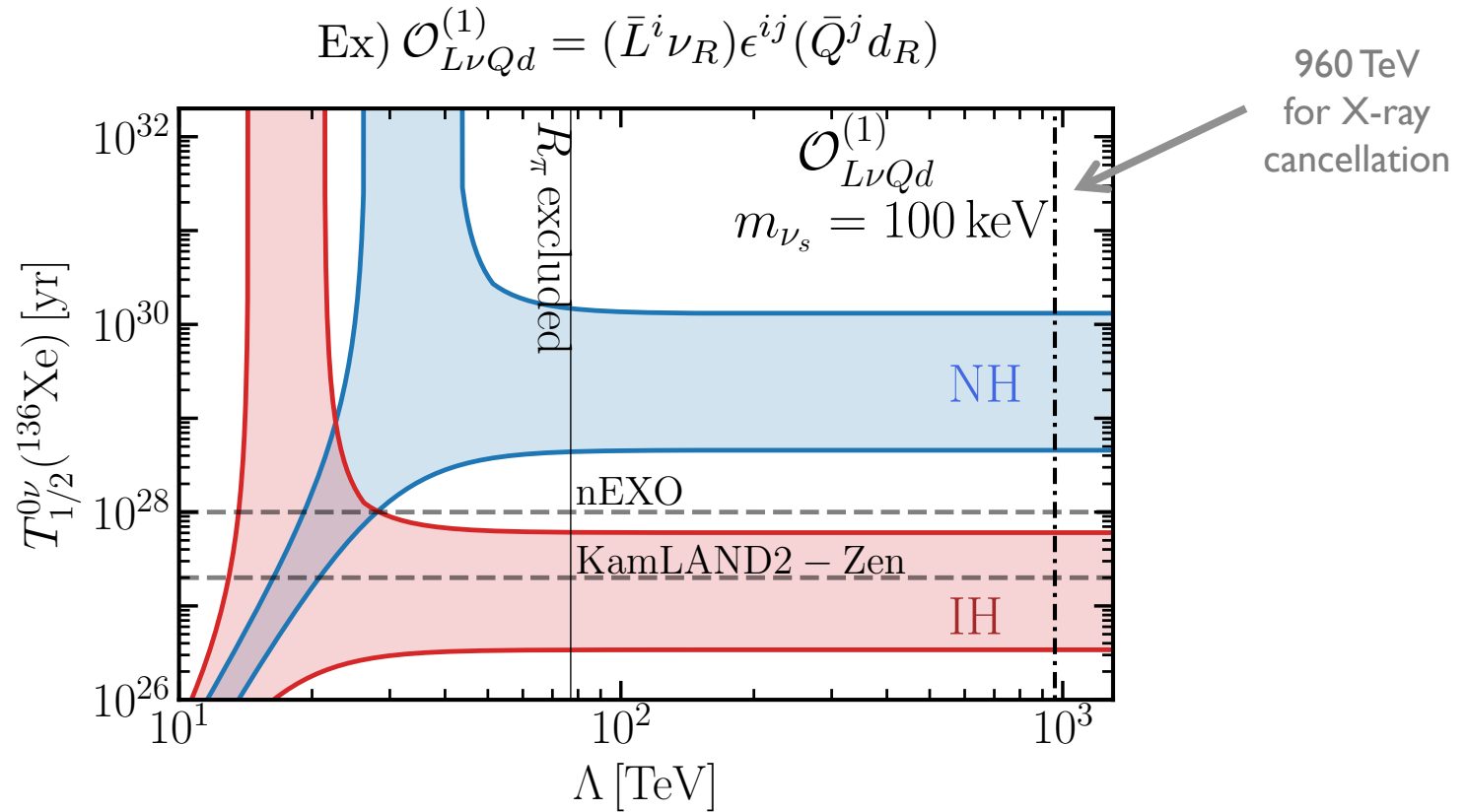
Case	$\Lambda$	$T_r$
(1) No dipole	$\gg \mathcal{O}(100) \text{ GeV}$	$\gg 5 \text{ MeV}$
(2) Nonzero dipole		
(2 - a) nonzero mixing	$\mathcal{O}(10^2 - 10^3) \text{ TeV}$	$\mathcal{O}(10 - 100) \text{ GeV}$
(2 - b) zero mixing	$\gtrsim \mathcal{O}(10^3 - 10^4) \text{ TeV}$	$\gtrsim \mathcal{O}(10^2 - 10^3) \text{ GeV}$

$$(m_{\nu_s} = 100 \text{ keV})$$

Predict low-scale reheating temperature while high BSM scale

# Nonzero mixing case : 0N2B

KF, J. Kumar, E. Mereghetti, S. Sandner, C. Sun  
2405.00119



$$R_\pi = \frac{\Gamma(\pi \rightarrow e\nu)}{\Gamma(\pi \rightarrow \mu\nu)}$$

$$R_\pi / R_\pi^{\text{SM}} = 0.996 \pm 0.005$$

Prog. Part. Nucl. Phys. 71 (2013) 93-118

# Conclusion

Sterile neutrinos are one of the attractive hypothetical particles.

$\nu$ SMEFT : model-independent analysis of physical observables

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Today



- ✓ 0N2B Non-standard interactions can dominate 0N2B process
- ✓ Sterile Neutrino DM Dimension 6 operators work for DM production  
Predict low  $T_r$  and high BSM scale

## Outlook/Discussion

How can we probe sterile neutrino DM scenarios?

Are there any ways to probe the range of reheating temperature?

If future ton-scale DBD experiment observe nonzero signals?

Any comments/thoughts/discussions would be appreciated!