

# Comparing Supernova Models Using Past and Future Neutrino Data

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In recent years, CCSN simulations have grown sophisticated enough to cover the neutrino signal for  $\sim 10$  s in detail

Is it feasible to distinguish between these models, using either SN 1987A neutrino data or data from a future supernova?

We'll consider three models, generously provided by the Garching group

- z9.6-SFHo
- s20-SFHo
- s27-LS220

Three progenitor models, two nuclear equations of state

# Neutrino Emission Phases

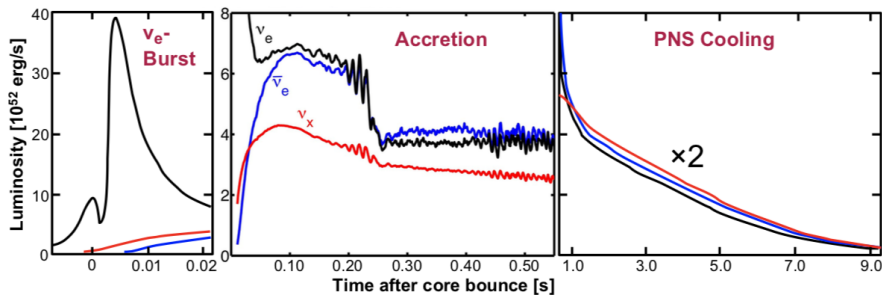


Figure from Janka (2017).

Neutrino emission occurs in three phases

- Electron neutrino breakout burst
- Accretion emission
- Proto-neutron star cooling

# Predicted Neutrino Signal

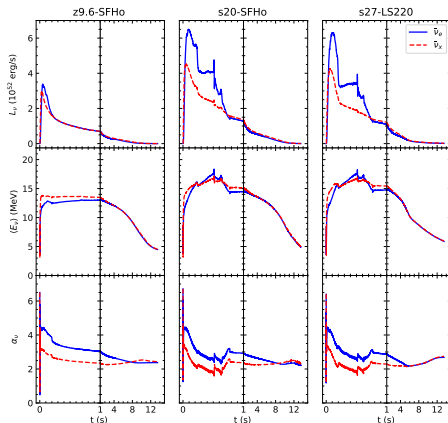
Neutrino spectrum:

$$f_{\nu\beta}(E_\nu, t) = \frac{\left(\frac{E_\nu}{T_{\nu\beta}}\right)^{\alpha_{\nu\beta}} e^{-E_\nu/T_{\nu\beta}}}{\Gamma(1 + \alpha_{\nu\beta}) T_{\nu\beta}}$$

with

$$\alpha_{\nu\beta} = \frac{2\langle E_{\nu\beta} \rangle^2 - \langle E_{\nu\beta}^2 \rangle}{\langle E_{\nu\beta}^2 \rangle - \langle E_{\nu\beta} \rangle^2},$$

$$T_{\nu\beta} = \frac{\langle E_{\nu\beta} \rangle}{1 + \alpha_{\nu\beta}}$$



Past: SN 1987A

# SN 1987A Data

Three detectors observed neutrinos from SN 1987A

- **Kamiokande II** (11 events)
- **IMB** (8 events)
- **Baksan** (5 events)

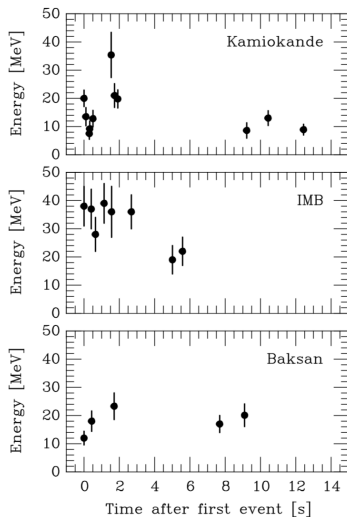
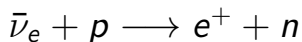


Figure from Janka (2017).

## 2.14 kton water Cherenkov detector

Best channel for SN neutrinos:  
inverse beta decay (IBD)



Only relevant species are  $\bar{\nu}_e$  and  $\bar{\nu}_x$

Only sensitive to accretion emission and PNS cooling

Time (s)	Energy (MeV)
0	$20.0 \pm 2.9$
0.107	$13.5 \pm 3.2$
0.303	$7.5 \pm 2.0$
0.324	$9.2 \pm 2.7$
0.507	$12.8 \pm 2.9$
1.541	$35.4 \pm 8.0$
1.728	$21.0 \pm 4.2$
1.915	$19.8 \pm 3.2$
9.219	$8.6 \pm 2.7$
10.433	$13.0 \pm 2.6$
12.439	$8.9 \pm 1.9$



Predicted (unoscillated) flux at the detector:

$$F_{\nu\beta}(E_\nu, t) = \frac{L_{\nu\beta}}{4\pi d^2 \langle E_{\nu\beta} \rangle} f_{\nu\beta}(E_\nu, t)$$

Predicted event rate:

$$\frac{d^2 N}{dt dE} = B(E) + \int F_{\text{det}}(E_e, t) \sigma(E_e) \frac{N_p \epsilon(E_e)}{\sigma_E \sqrt{2\pi}} \exp\left[-\frac{(E - E_e)^2}{2\sigma_E^2}\right] dE_e$$

where

- $B(E)$ : Background rate
- $N_p$ : Number of protons
- $\epsilon(E_e)$ : Detector efficiency
- $\sigma_E$ : Energy resolution

Incorporate oscillations with swapping fraction  $f$ :

$$F_{\text{det}}(E_\nu, t) = f F_{\bar{\nu}_e}(E_\nu, t) + (1 - f)F_{\bar{\nu}_x}(E_\nu, t)$$

Three oscillations scenarios

- No oscillations (NO):  $f = 1.0$
- MSW with normal mass hierarchy (NH):  $f = 0.681$
- MSW with inverted mass hierarchy (IH):  $f = 0.022$

## Time offset

- No way to determine when exactly the  $\nu$  signal reaches the detector relative to the first event
- Therefore, syncing the model time with the time of the first detection is difficult

$$t = t_{\text{det}} + t_{\text{off}}$$

- Treat  $t_{\text{off}}$  as a model parameter

## Supernova Distance

- Distance to SN 1987A has been measured to be  $d = 51.4 \pm 1.2$  kpc
- We will also treat this as a parameter, with a restrictive prior

# Bayesian Statistics

Bayes' Theorem:

$$P(M_\alpha|D) = \frac{P(D|M_\alpha)P(M_\alpha)}{P(D)}$$

- $P(M_\alpha)$  is the prior information about the models
- $P(D|M_\alpha)$  is the Bayesian evidence
- $P(D)$  is a normalization constant

Bayes' Theorem:

$$P(M_\alpha|D) = \frac{P(D|M_\alpha)P(M_\alpha)}{P(D)}$$

- $P(M_\alpha)$  is a uniform prior
- $P(D|M_\alpha) = \int d\vec{\theta} \mathcal{L}_\alpha(\vec{\theta})P(\vec{\theta}|M_\alpha)$  is the Bayesian evidence,  $P(\vec{\theta}|M_\alpha) = P(t_{\text{off}}|M_\alpha)P(d|M_\alpha)$  is the parameter prior
  - We take  $P(t_{\text{off}}|M_\alpha)$  to be uniform
  - $P(d|M_\alpha)$  is Gaussian, with  $d = 51.4 \pm 1.2$  kpc
- $P(D) = \sum_\beta P(D|M_\beta)P(M_\beta)$  is the normalization constant

$B_{\alpha\beta}$	$\log B_{\alpha\beta}$	Strength of Evidence
1-3	0-1	Negligible
3-20	1-3	Positive
20-150	3-5	Strong
> 150	> 5	Very strong

To compare models, we use the Bayes factor

$$B_{\alpha\beta} = \frac{P(D|M_\alpha)}{P(D|M_\beta)} = \frac{P(M_\alpha|D) P(M_\beta)}{P(M_\beta|D) P(M_\alpha)} = \frac{P(M_\alpha|D)}{P(M_\beta|D)}$$

# Results of Bayesian Analysis

Model	$d$ (kpc)	$t_{\text{off}}$ (s)	$\langle N \rangle$	$P(M_\alpha D)$
z9.6-SFHo (NO)	51.39	0.048	6.81	0.2807
z9.6-SFHo (NH)	51.39	0.036	7.17	0.2684
z9.6-SFHo (IH)	51.39	0.024	7.92	0.2037
s20-SFHo (NO)	51.45	0.054	19.5	0.0058
s20-SFHo (NH)	51.45	0.054	19.4	0.0060
s20-SFHo (IH)	51.45	0.026	19.3	0.0043
s27-LS220 (NO)	51.43	0.051	15.1	0.0913
s27-LS220 (NH)	51.43	0.051	15.1	0.0875
s27-LS220 (IH)	51.43	0.033	15.0	0.0523

$$\frac{P(\text{z9.6-SFHo(NO)}|D)}{P(\text{s20-SFHo(NO)}|D)} \approx 48.4 \Rightarrow \text{Strongly favored}$$

$$\frac{P(\text{z9.6-SFHo(NO)}|D)}{P(\text{s27-LS220(NO)}|D)} \approx 3.1 \Rightarrow \text{Positively favored}$$

$$\frac{P(\text{z9.6-SFHo(NO)}|D)}{P(\text{z9.6-SFHo(IH)}|D)} \approx 1.4 \Rightarrow \text{No preference among oscillation scenarios}$$



We can draw limited conclusions about SN 1987A based on the data

Data seems to favor a model with a shorter accretion period

No insights about neutrino oscillations

# Future Supernova

~ 1 – 3 Galactic CCSN expected per century

Better detectors running now than in 1987, such as Super-K

We should see many more neutrinos from the next Galactic CCSN

Will it be enough to distinguish models?

## Example

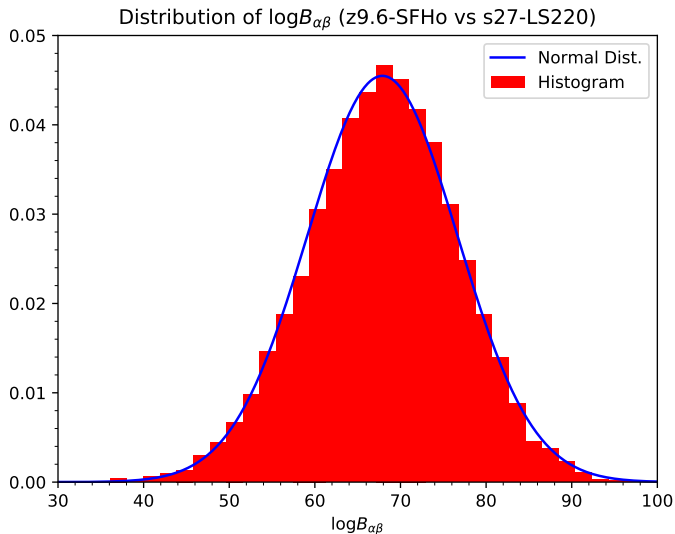
Let's imagine we have an ideal water Cherenkov detector,  
 $\mathcal{D}_I$

A SN occurs at 50 kpc, following z9.6-SFHo, with no oscillations

To simulate this, we can sample many possible datasets from the distribution for z9.6-SFHo

⇒ Compute the distribution of the Bayes factors

# Distribution of $\log B_{\alpha\beta}$



## Example: Results

Comp. Model	$\log B_{\alpha\beta}$ ( $t_{\text{off}}$ unknown)	$\log B_{\alpha\beta}$ ( $t_{\text{off}}$ known)
s20-SFHo	$113.7 \pm 11.3$	$114.1 \pm 11.3$
s27-LS220	$67.9 \pm 8.8$	$68.2 \pm 8.8$

Take  $\log B_{\alpha\beta} > 5.0$  to be our distinguishability threshold

We can distinguish models at a 95% confidence level with

$$\langle \log B_{\alpha\beta} \rangle - z\sigma[\log B_{\alpha\beta}] > 5.0, \text{ with } z = 1.645$$

$\implies$  Both models can be distinguished from z9.6-SFHo at 50 kpc

We computed assuming both unknown and known time offsets, and find negligible difference

$\implies$  For simplicity, take the time offset to be known

Large (32 kton) water Cherenkov detector

After spallation cut, background is negligible

Conservative efficiency:  $\sim 0.75$

Imperfect energy resolution

Addition of Gd should allow for tagging of IBD events

# Super-K Results

Model	z9.6-SFHo	s20-SFHo	s27-LS220
z9.6-SFHo	–	$83.95 \pm 9.66$ $75.8 \pm 9.51$ $61.99 \pm 9.0$	$50.34 \pm 7.55$ $44.17 \pm 7.39$ $34.68 \pm 6.91$
s20-SFHo	$149.16 \pm 22.93$ $124.56 \pm 20.09$ $93.13 \pm 16.63$	–	$22.9 \pm 8.21$ $24.04 \pm 8.49$ $26.88 \pm 9.11$
s27-LS220	$88.6 \pm 17.64$ $70.78 \pm 15.0$ $50.02 \pm 11.98$	$15.54 \pm 4.6$ $15.98 \pm 4.61$ $17.24 \pm 4.69$	–

Using Super-K rather than the ideal detector reduces  $\log B_{\alpha\beta}$  values

All models are distinguishable at 50 kpc, regardless of the oscillation scenario



# Conclusions

Applying Bayesian techniques, we find that SN 1987A  $\nu$  data favors a short accretion period

These techniques could also be used in the event of a future Galactic CCSN to distinguish between state-of-the-art  $\nu$  emission models

The three models could be distinguished up to 50 kpc, effectively anywhere in the Galaxy

Supported by US Department of Energy grant DE-FG02-87ER40328; calculations were carried out at the Minnesota Supercomputing Institute

Bayes' Theorem:

$$P(\vec{\theta}|D, M_\alpha) = \frac{P(D|\vec{\theta}, M_\alpha)P(\vec{\theta}|M_\alpha)}{P(D|M_\alpha)}$$

- $\vec{\theta}$  are the model parameters
- $P(\vec{\theta}|M_\alpha)$  is the prior
- $P(D|\vec{\theta}, M_\alpha)$  is the likelihood function
- $P(D|M_\alpha)$  is a normalization constant

Bayes' Theorem:

$$P(\vec{\theta}|D, M_\alpha) = \frac{P(D|\vec{\theta}, M_\alpha)P(\vec{\theta}|M_\alpha)}{P(D|M_\alpha)}$$

- In our case,  $\vec{\theta} = (t_{\text{off}}, d)$
- $P(D|\vec{\theta}, M_\alpha) = \frac{\langle N \rangle^N e^{-\langle N \rangle}}{N!} \prod_{i=1}^N p(E_i, t_i|\vec{\theta}, M_\alpha)$  is the likelihood
- $P(\vec{\theta}|M_\alpha) = P(t_{\text{off}}|M_\alpha)P(d|M_\alpha)$  is the prior
  - We take  $P(t_{\text{off}}|M_\alpha)$  to be uniform
  - $P(d|M_\alpha)$  is Gaussian, with  $d = 51.4 \pm 1.2$  kpc
- $P(D|M_\alpha) = \int d\vec{\theta} P(D|\vec{\theta}, M_\alpha)P(\vec{\theta}|M_\alpha)$

Bayes' Theorem:

$$P(M_\alpha|D) = \frac{P(D|M_\alpha)P(M_\alpha)}{P(D)}$$

- $P(D|M_\alpha) = \int d\vec{\theta} P(D|\vec{\theta}, M_\alpha)P(\vec{\theta}|M_\alpha)$  is the Bayesian evidence
- $P(M_\alpha)$  is a (uniform) prior
- $P(D) = \sum_\beta P(D|M_\beta)P(M_\beta)$  is a normalization constant

# Goodness-Of-Fit Intuition

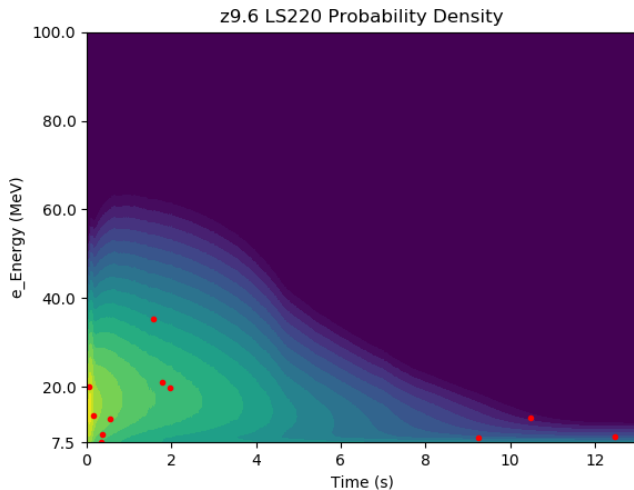


Figure 1: Plot of probability density and Kam II data.

# Hypothesis Testing

- Specify null and alternative hypotheses  $H_0$  and  $H_A$
- Define a test statistic  $\lambda \equiv \prod_i p(t_i, E_i)$
- Set threshold for and calculate p-value:

$$\text{p-value} \equiv \int_0^{\lambda^*} d\lambda \text{ pdf}(\lambda)$$

- The p-value is the probability of observing a  $\lambda$  as likely or less likely than  $\lambda^*$ , assuming the null hypothesis is true
- If  $\text{p-value} < \text{threshold} = 0.050$ , reject  $H_0$  in favor of  $H_A$

# Normalized SN 1987A Comparison

Model	$K$	$t_{\text{off}}$ (s)	$\langle N \rangle$	$p$ -value	$p(M_i D)$
z9.6-SFH <sub>o</sub> (NO)	1.32	0.048	8.90	0.16	0.2638
z9.6-SFH <sub>o</sub> (NH)	1.26	0.036	8.96	0.20	0.2223
z9.6-SFH <sub>o</sub> (IH)	1.15	0.024	9.06	0.25	0.1359
s20-SFH <sub>o</sub> (NO)	0.46	0.054	9.14	0.19	0.0400
s20-SFH <sub>o</sub> (NH)	0.47	0.054	9.29	0.25	0.0385
s20-SFH <sub>o</sub> (IH)	0.47	0.026	9.21	0.39	0.0245
s27-LS220 (NO)	0.60	0.051	9.18	0.12	0.1108
s27-LS220 (NH)	0.60	0.051	9.16	0.17	0.1038
s27-LS220 (IH)	0.61	0.033	9.27	0.25	0.0603

## Estimating the $\log B_{\alpha\beta}$ Distribution

Instead of Monte Carlo simulations, let's do some (mildly) clever mathematics

$$\langle \log B_{\alpha\beta} \rangle = \sum_N \text{pois}(N; M_\alpha) \int d\vec{x} P(\vec{x}|M_\alpha) \log \frac{P(\vec{x}|M_\alpha)}{P(\vec{x}|M_\beta)}$$

After some tedium,

$$\langle \log B_{\alpha\beta} \rangle = \langle N \rangle_\alpha \log \frac{\langle N \rangle_\alpha}{\langle N \rangle_\beta} - \Delta_{\alpha\beta} + \langle N \rangle_\alpha \langle \log \frac{p(t,E|M_\alpha)}{p(t,E|M_\beta)} \rangle,$$

with  $\Delta_{\alpha\beta} \equiv \langle N \rangle_\alpha - \langle N \rangle_\beta$

Can calculate  $\sigma[\log B_{\alpha\beta}]$  similarly



Previously, we included  $\langle N \rangle$  predictions in our analysis

Let's now generalize our models to only the probability distributions  $p(t, E|M_\alpha)$

Likelihood becomes  $P(D|M_\alpha) = \prod_{i=1}^N p(E_i, t_i|M_\alpha)$

$\implies$  All the model distributions are distinguishable at 10 kpc