

Diffuse SN neutrino background: ubiquitous and driving fundamental physics



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seminar, 09/01/2020

Neutrino flux from a typical SN

- Core-collapse SNe, collapse of iron core in a massive star, leading to MeV neutrino emission.
- Dominated by cooling phase neutrinos. Almost thermal spectra for different flavors.

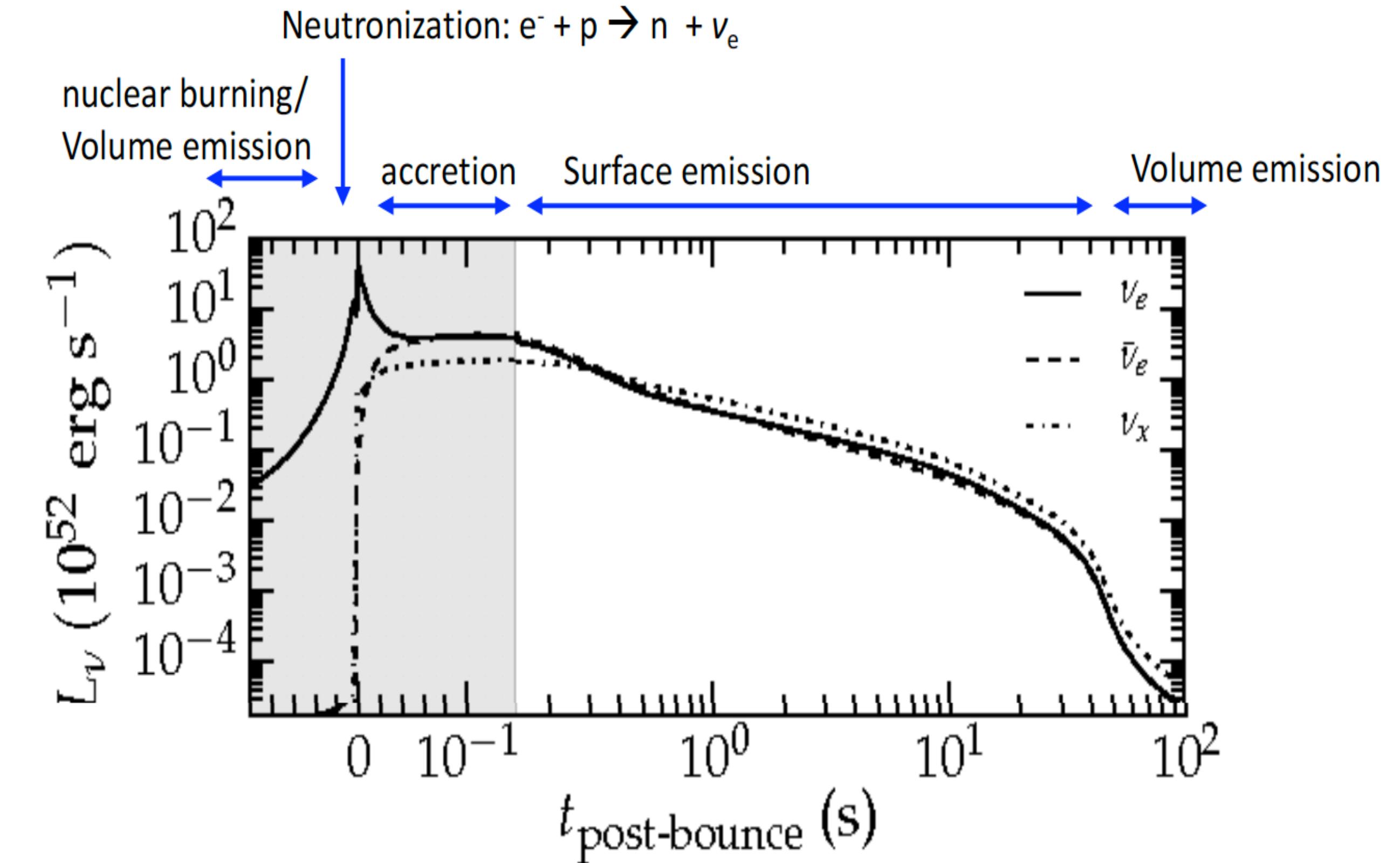
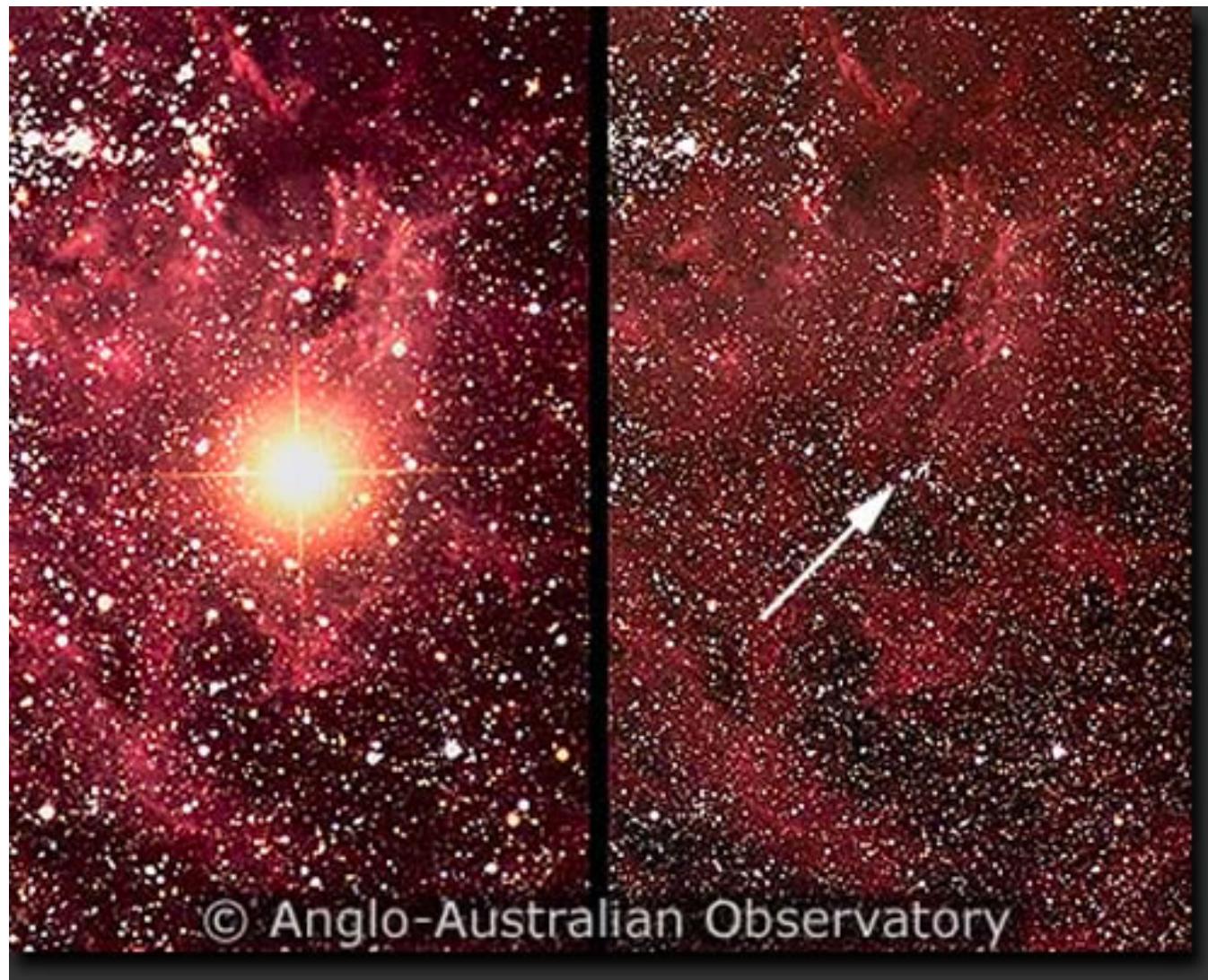
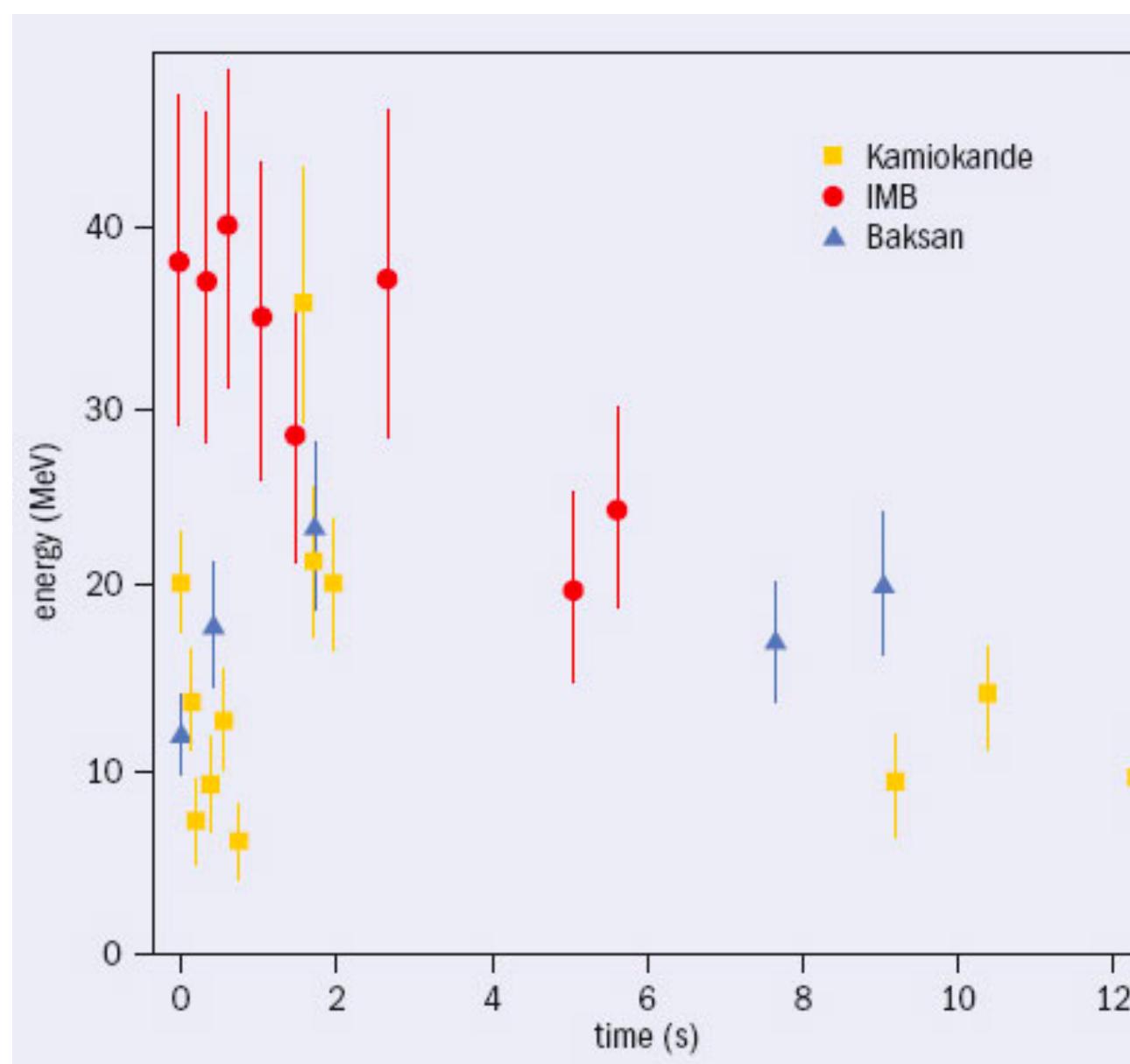


Figure from Roberts and Reddy, Handbook of Supernovae, Springer Intl., 2017

SN 1987A: “Many” neutrinos were observed



- O(30) events in total.
- One of the first examples of multi-messenger astronomy.
- Not enough statistics, still some of the strongest bounds on neutrino properties!

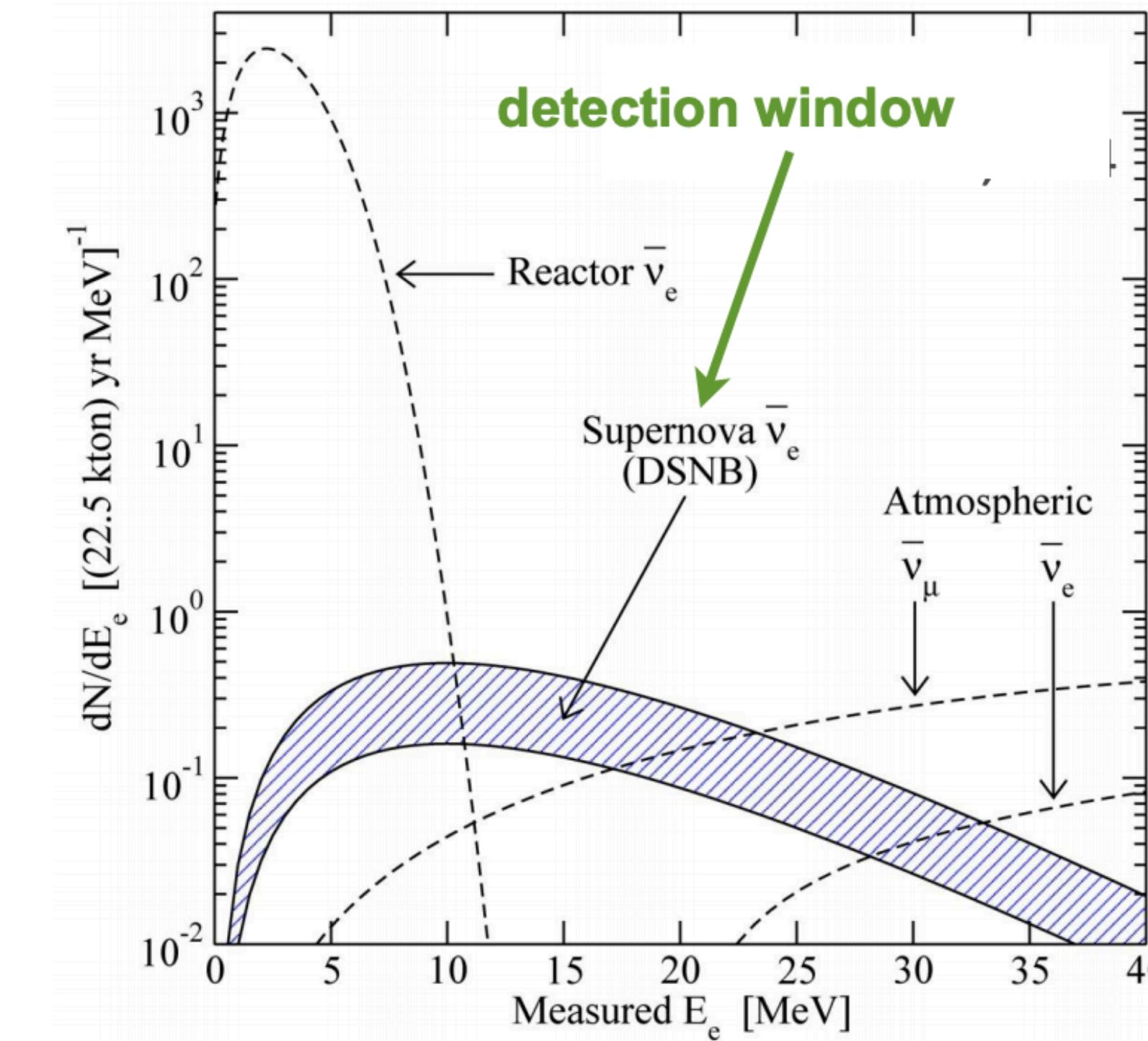
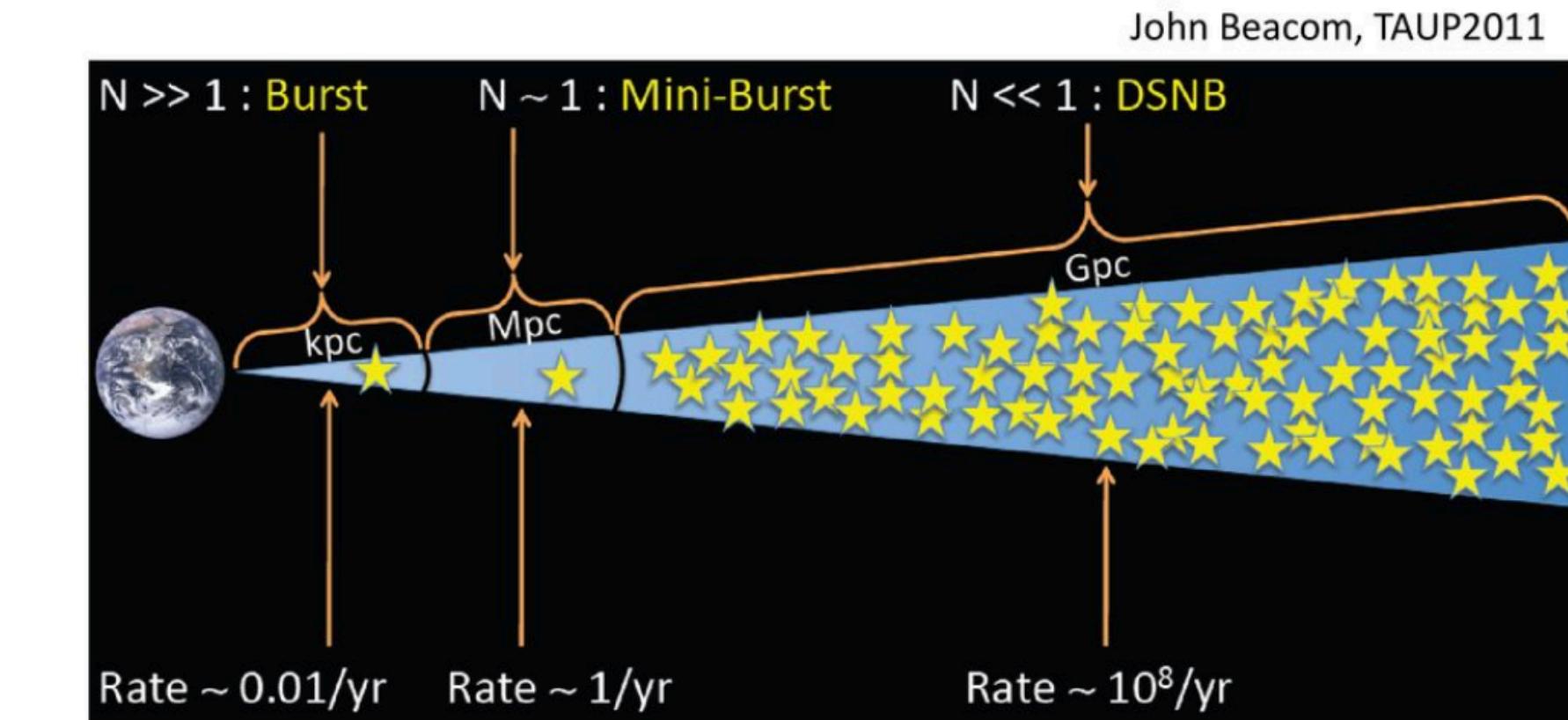


- A future galactic SN will have O(10k) events in detectors! Surely, we can capitalize on that!
- Extremely rare to have one. So do we wait a lifetime?

The Diffuse Supernova Neutrino Background

- We can be more inclusive, and look to the distant Universe for more SNe.
- Not that rare. On an average, there is 1 SN going off per second. The neutrino emission produces the DSNB.
- Detectable neutrino flux, mostly from stars upto redshift $z \sim 1$, but extends upto $z \sim 6$.
- Opens up a new frontier in neutrino astronomy.

Beacom, Ann.Rev.Nuc.Phys.Sc.2010
Lunardini, Astropart. Phys2016



How to estimate the DSNB?

$$\Phi_\nu(E) = \int_0^{z_{\max}} \frac{dz}{H(z)} R_{\text{CCSN}}(z) F_\nu(E(1+z))$$

Neutrino spectra

$$F_\nu(E) = \frac{E_\nu^{\text{tot}}}{6} \frac{120}{7\pi^4} \frac{E_\nu^2}{T_\nu^4} \frac{1}{e^{E_\nu/T_\nu} + 1}.$$

Cosmology

Cosmological SN rate

$$R_{\text{CCSN}}(z) = \dot{\rho}_*(z) \frac{\int_8^{50} \psi(M) dM}{\int_{0.1}^{100} M \psi(M) dM}.$$

$$H(z) = H_0 \sqrt{\Omega_m(1+z)^3 + \Omega_\Lambda(1+z)^{3(1+w)} + (1-\Omega_m-\Omega_\Lambda)(1+z)^2}$$

Ingredient 1: Cosmology

Parameter	TT+lowE 68% limits	TE+lowE 68% limits	EE+lowE 68% limits	TT,TE,EE+lowE 68% limits	TT,TE,EE+lowE+lensing 68% limits	TT,TE,EE+lowE+lensing+BAO 68% limits
$H_0 [\text{km s}^{-1} \text{Mpc}^{-1}]$. . .	66.88 ± 0.92	68.44 ± 0.91	69.9 ± 2.7	67.27 ± 0.60	67.36 ± 0.54	67.66 ± 0.42
Ω_Λ	0.679 ± 0.013	0.699 ± 0.012	$0.711_{-0.026}^{+0.033}$	0.6834 ± 0.0084	0.6847 ± 0.0073	0.6889 ± 0.0056
Ω_m	0.321 ± 0.013	0.301 ± 0.012	$0.289_{-0.033}^{+0.026}$	0.3166 ± 0.0084	0.3153 ± 0.0073	0.3111 ± 0.0056
$\Omega_m h^2$	0.1434 ± 0.0020	0.1408 ± 0.0019	$0.1404_{-0.0039}^{+0.0034}$	0.1432 ± 0.0013	0.1430 ± 0.0011	0.14240 ± 0.00087
$\Omega_m h^3$	0.09589 ± 0.00046	0.09635 ± 0.00051	$0.0981_{-0.0018}^{+0.0016}$	0.09633 ± 0.00029	0.09633 ± 0.00030	0.09635 ± 0.00030
σ_8	0.8118 ± 0.0089	0.793 ± 0.011	0.796 ± 0.018	0.8120 ± 0.0073	0.8111 ± 0.0060	0.8102 ± 0.0060

$$H(z) = H_0 \sqrt{\Omega_m(1+z)^3 + \Omega_\Lambda(1+z)^{3(1+w)} + (1-\Omega_m-\Omega_\Lambda)(1+z)^2}$$

- Underlying cosmology is well constrained from Planck 2018 data.
- Parameters provide a normalisation to the spectra

PLANCK 2018

Ingredient 2: Star formation Rate

$$\dot{\rho}_*(z) = \dot{\rho}_0 \left[(1+z)^{-10\alpha} + \left(\frac{1+z}{B}\right)^{-10\beta} + \left(\frac{1+z}{C}\right)^{-10\gamma} \right]^{-1/10}$$

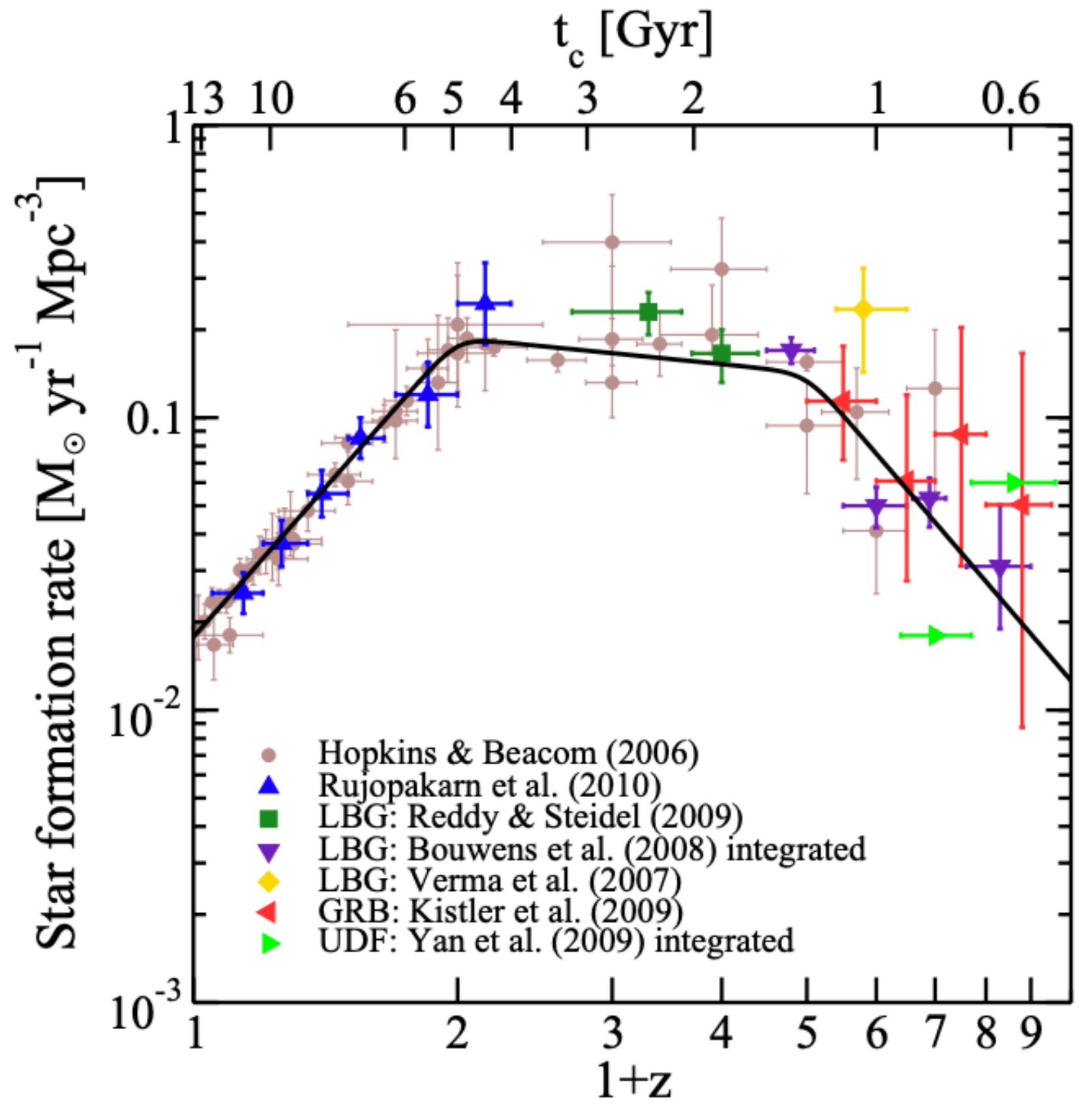
$$B = (1+z_1)^{1-\alpha/\beta}$$

$$C = (1+z_1)^{(\beta-\alpha)/\gamma} (1+z_2)^{1-\beta/\gamma}$$

$$R_{\text{CCSN}}(z) = \dot{\rho}_*(z) \frac{\int_8^{50} \psi(M) dM}{\int_{0.1}^{100} M \psi(M) dM}.$$

Here $\psi(M) \sim M^{-2.35}$ is the initial mass distribution function

Cosmic SFR pretty well known from data in the UV and the far-infrared



Analytic fits ^a	$\dot{\rho}_0$	α	β	γ	z_1	z_2
Upper	0.0213	3.6	-0.1	-2.5	1	4
Fiducial	0.0178	3.4	-0.3	-3.5	1	4
Lower	0.0142	3.2	-0.5	-4.5	1	4

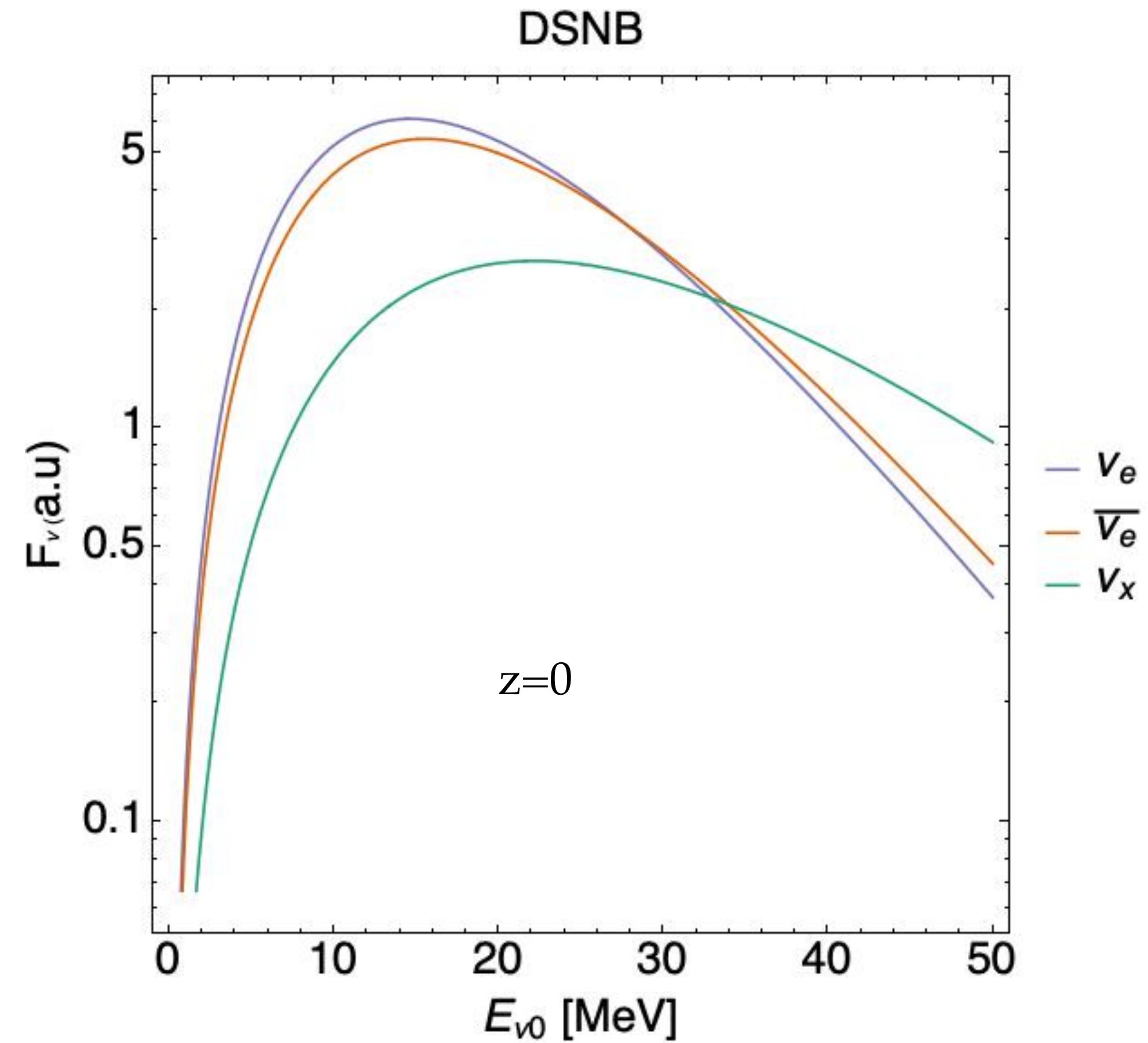
Hopkins, Beacom, ApJ2006
Yuksel, Kistler, Beacom, Hopkins, ApJ2008
Horiuchi, Beacom, Dwek, PRD2009

Ingredient 3: Neutrino spectra

- Assume an approximately thermal spectra, characteristic of late-time phase.

$$F_\nu(E) = \frac{E_\nu^{\text{tot}}}{6} \frac{120}{7\pi^4} \frac{E_\nu^2}{T_\nu^4} \frac{1}{e^{E_\nu/T_\nu} + 1}.$$

- Could be processed by collective neutrino oscillations, however effect is not very large. Hence ignore.
- Only assume adiabatic MSW transition, so
heaviest neutrino $\leftrightarrow \nu_e$
lightest neutrinos $\leftrightarrow \nu_x$
- Temperature hierarchy $T_{\nu_e} < T_{\bar{\nu}_e} < T_{\nu_x}$



Putting all ingredients together

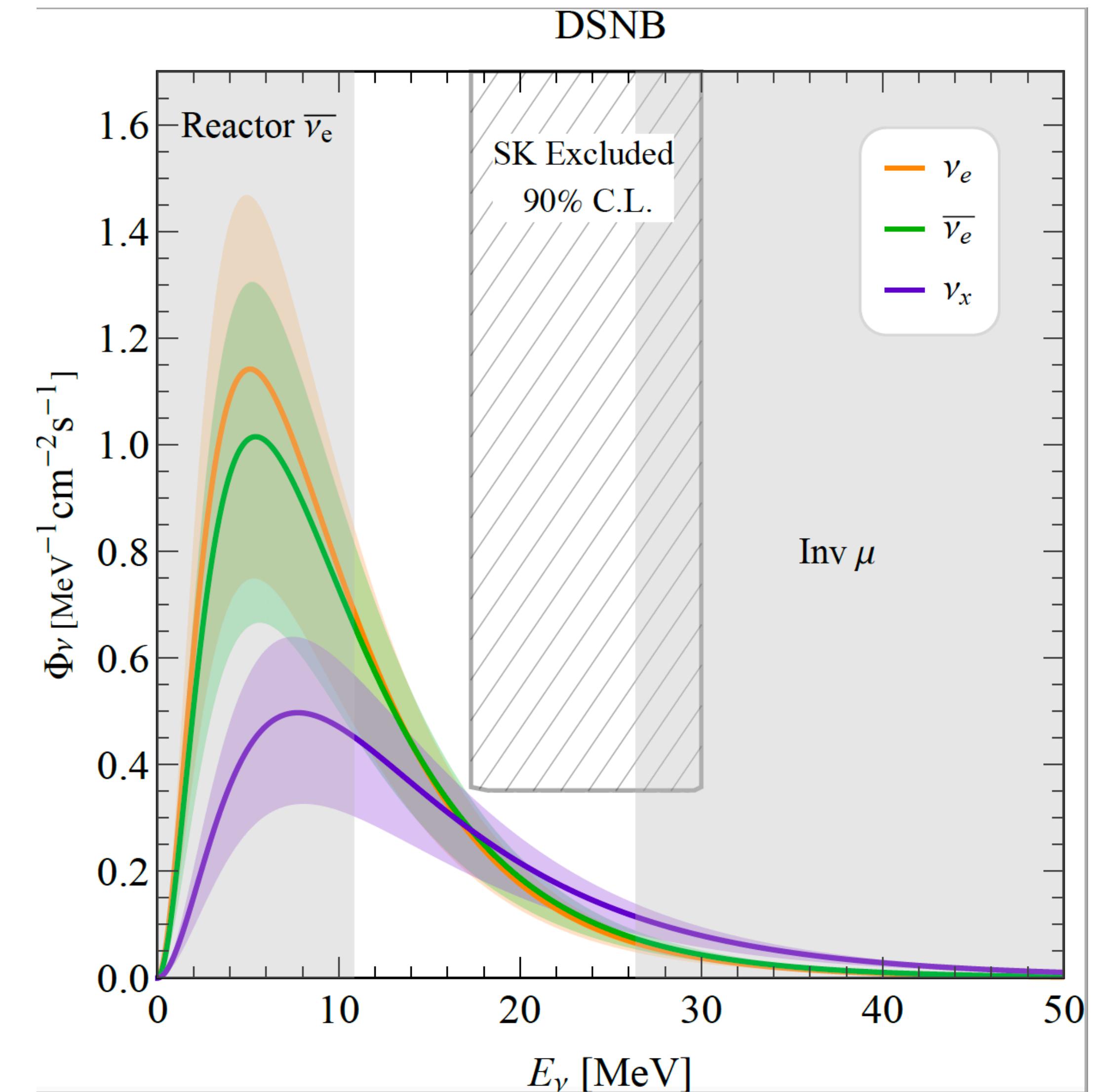
- The DSNB window $\sim 10\text{-}26 \text{ MeV}$.
- Uncertainty due to SFR.
- Main backgrounds to keep in mind:

Solar ν_e : extends upto $\sim 20 \text{ MeV}$ (can be reduced by directional information).

Geo $\bar{\nu}_e$: Mostly dominates low energy $\sim 4 \text{ MeV}$ background.

Reactor $\bar{\nu}_e$: extends upto $\sim 10 \text{ MeV}$. Ineliminable.

Atmospheric ν : Low energy tails of ν_e and $\bar{\nu}_e$. Exceeds the DSNB at $E \sim 30 \text{ MeV}$. Ineliminable.



Detecting the DSNB + backgrounds: Super-K

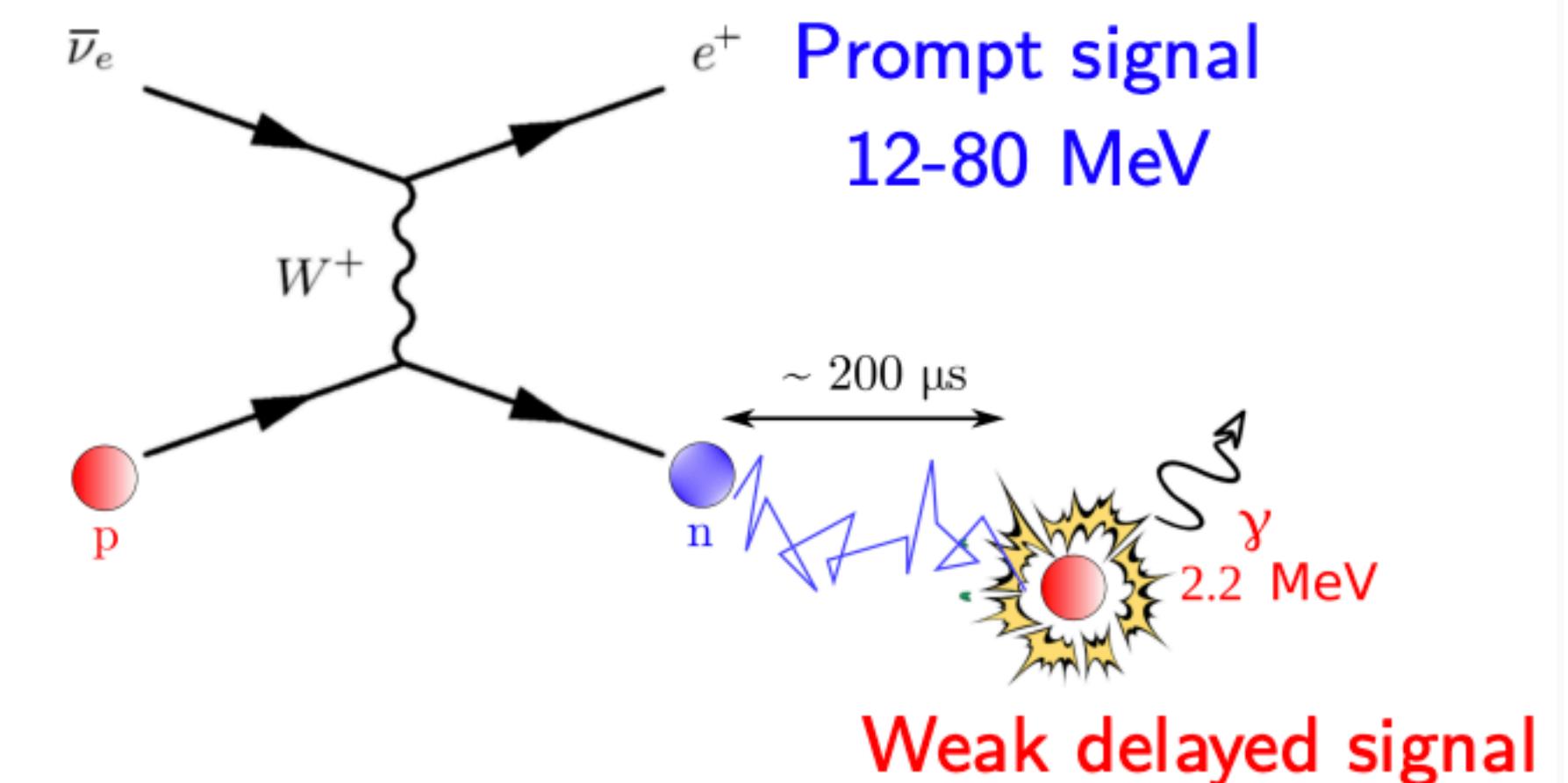
- Event rate $N_i = N_{\text{tar}}(\Delta t) \int_{\text{bin i}} dE^{\text{rec}} \int_{\text{all}} dE^{\text{true}} \Phi_\nu \sigma_\nu \epsilon(E^{\text{true}}, E^{\text{rec}})$

- Main channel is IBD: $\bar{\nu}_e + p \rightarrow e^+ + n$

- Spallation backgrounds:** radioactivity induced by cosmic muon spallation in water: $\mu + O \rightarrow \mu + X$. Substantial background ~ 20 MeV.

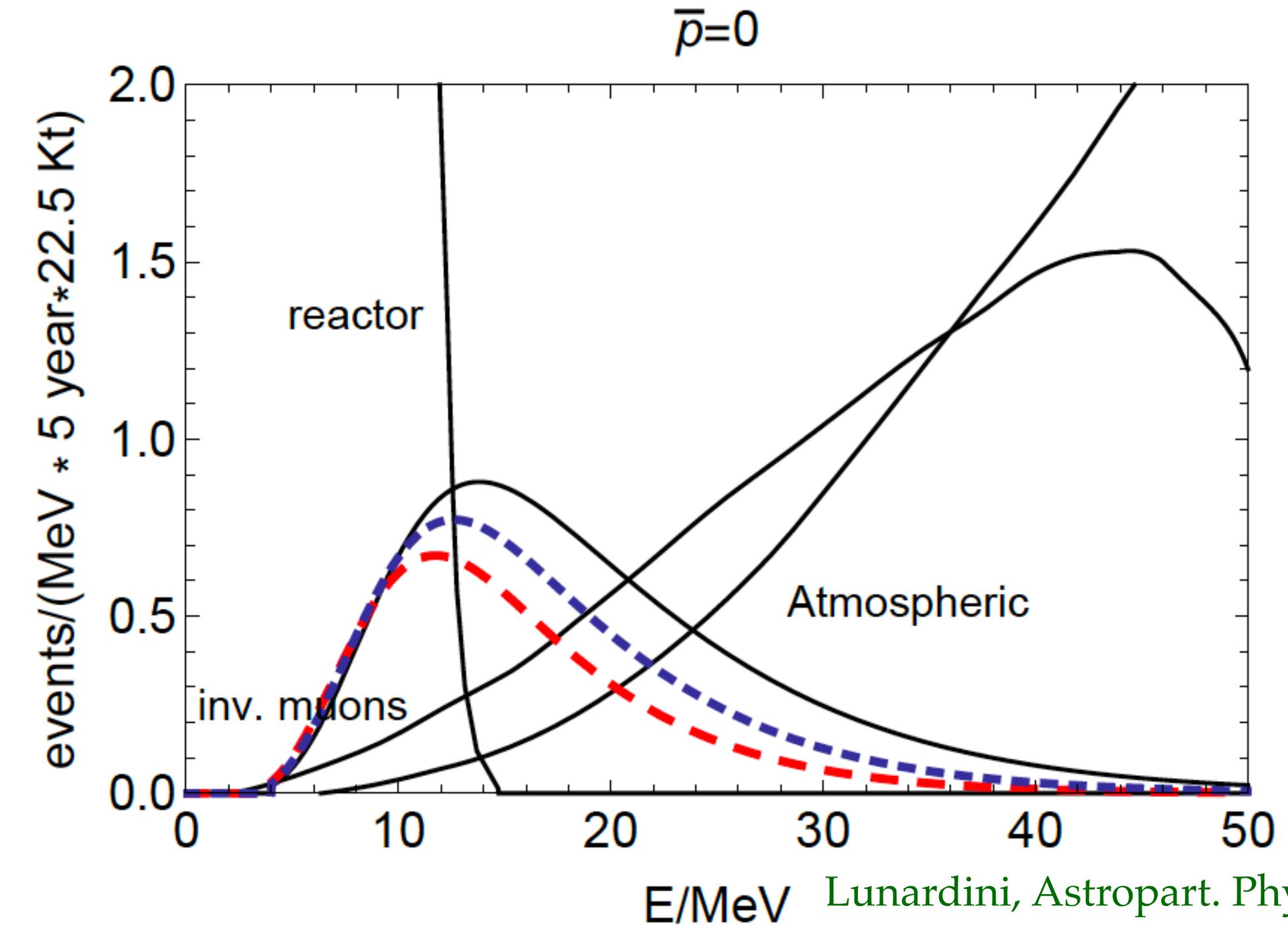
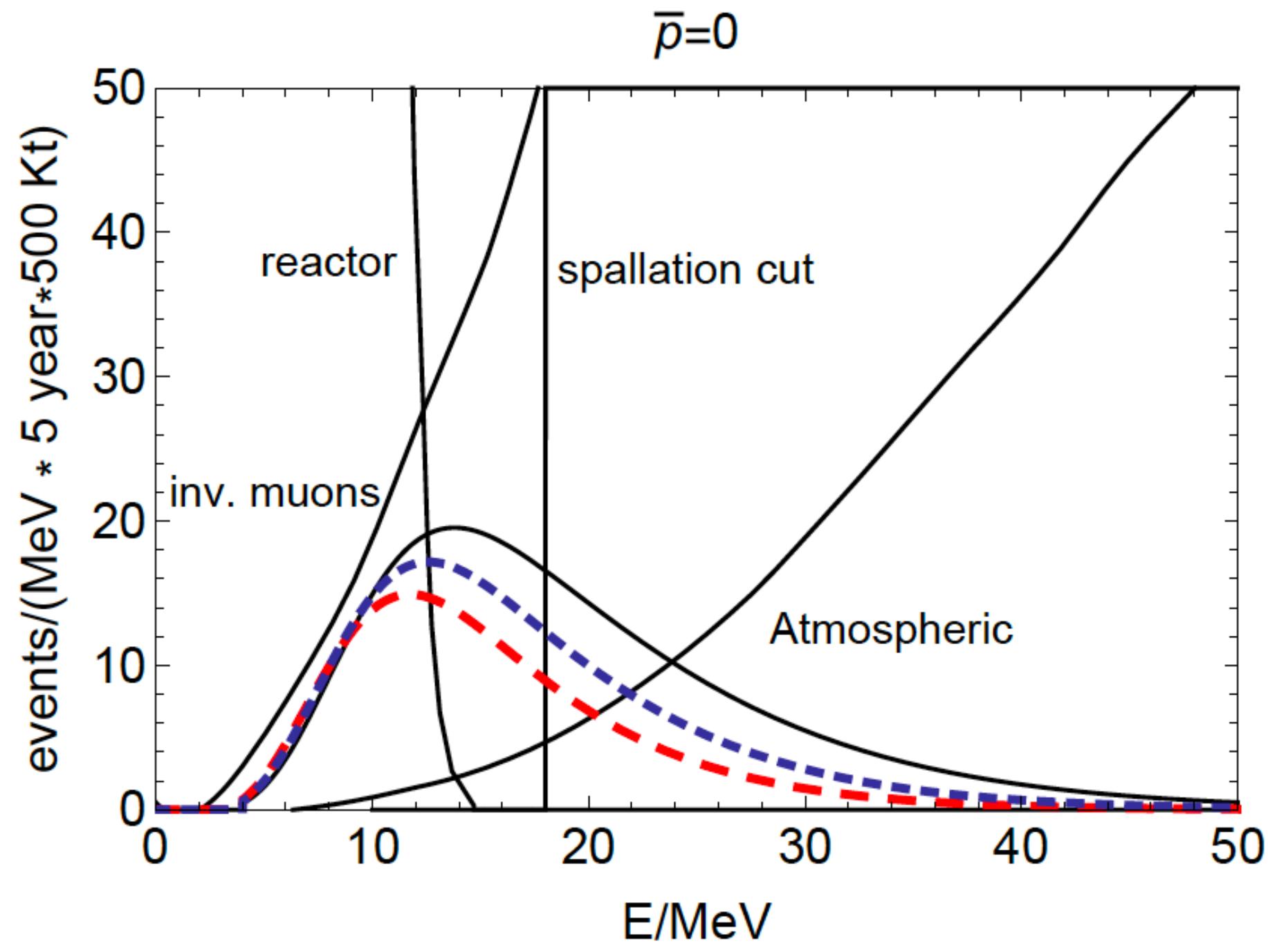
- Invisible muons:** $\nu_\mu + N \rightarrow \mu + N'$. If muon energy is below Cherenkov threshold, it can only be detected through decay.

- Low energy atmospheric neutrinos.** Isotropic background.

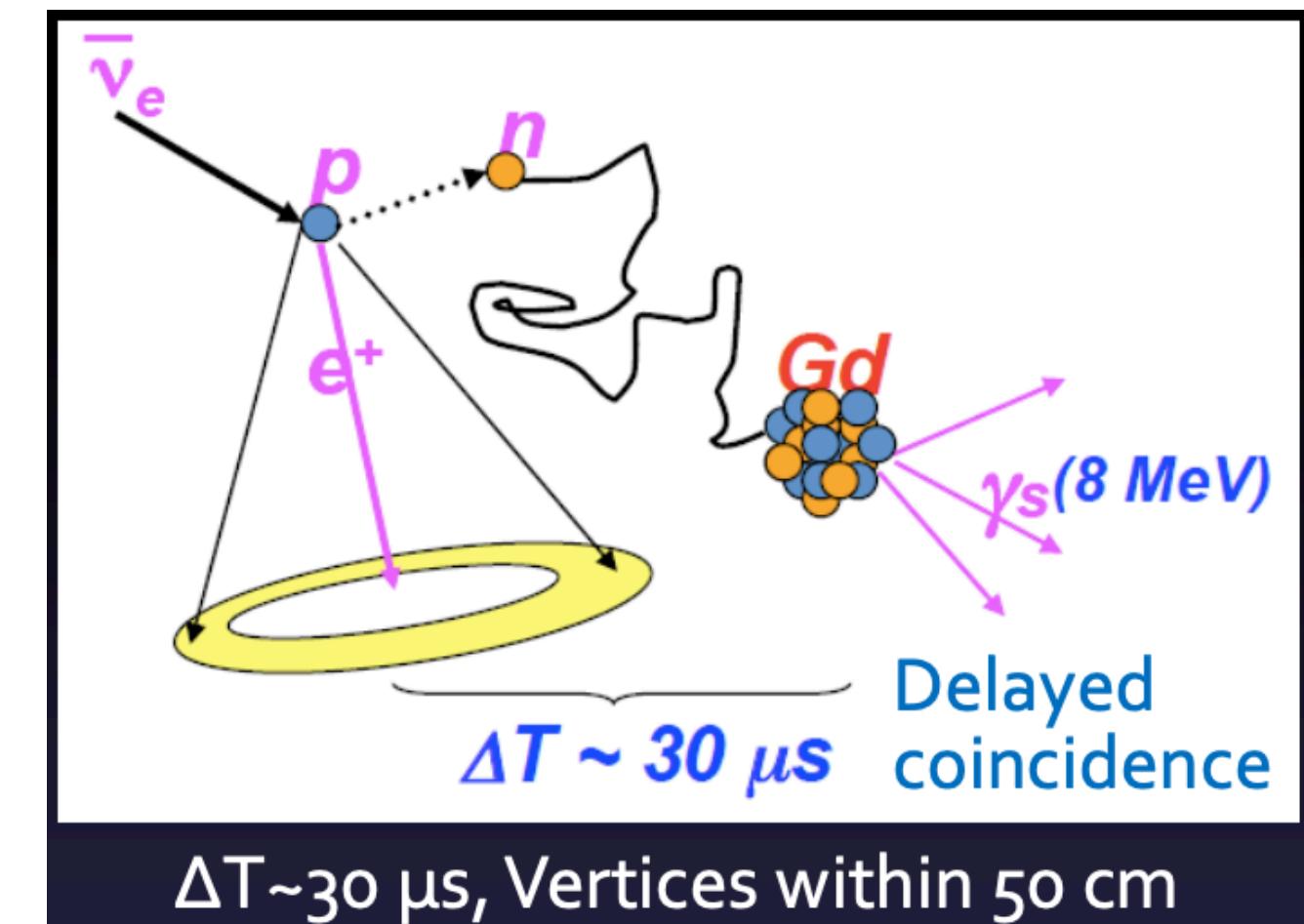


Gd doping: GADZOOKS!

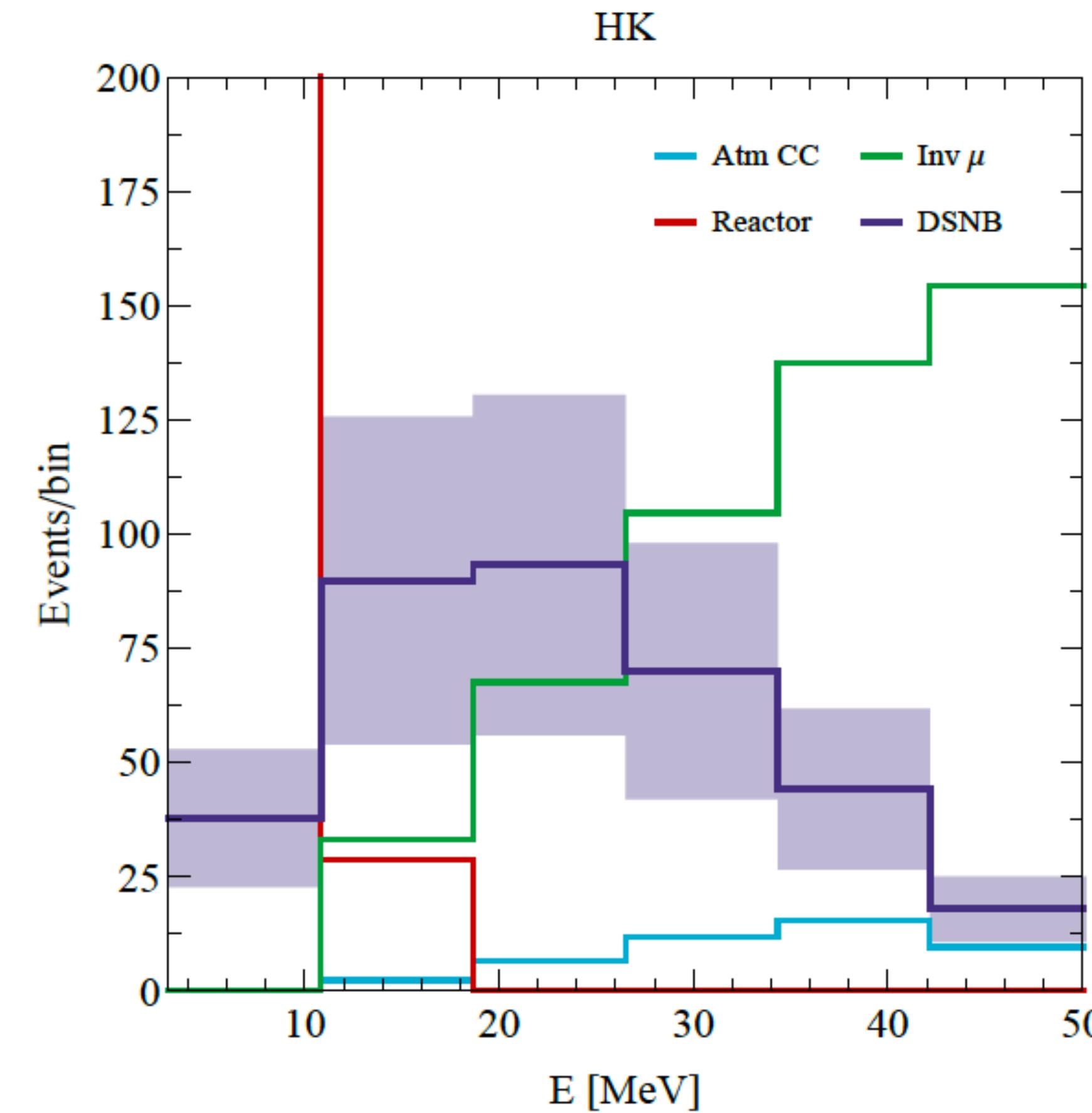
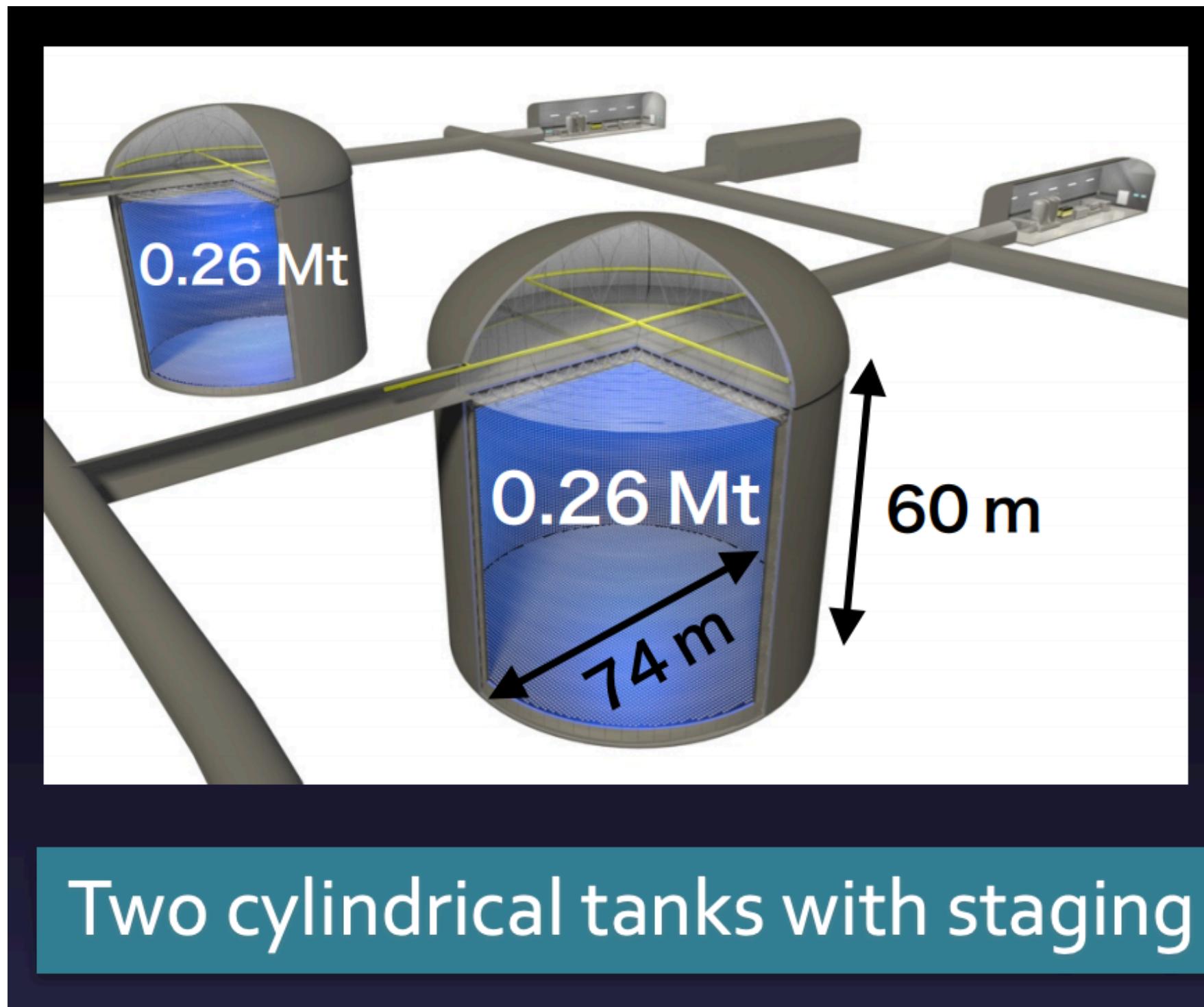
Beacom, Vagins, PRL2004



- Solution: Gd doping.
- Reduces energy threshold.
- Background due to spallation will be subtracted almost completely and the one due to invisible muons will be reduced by a factor of 5.



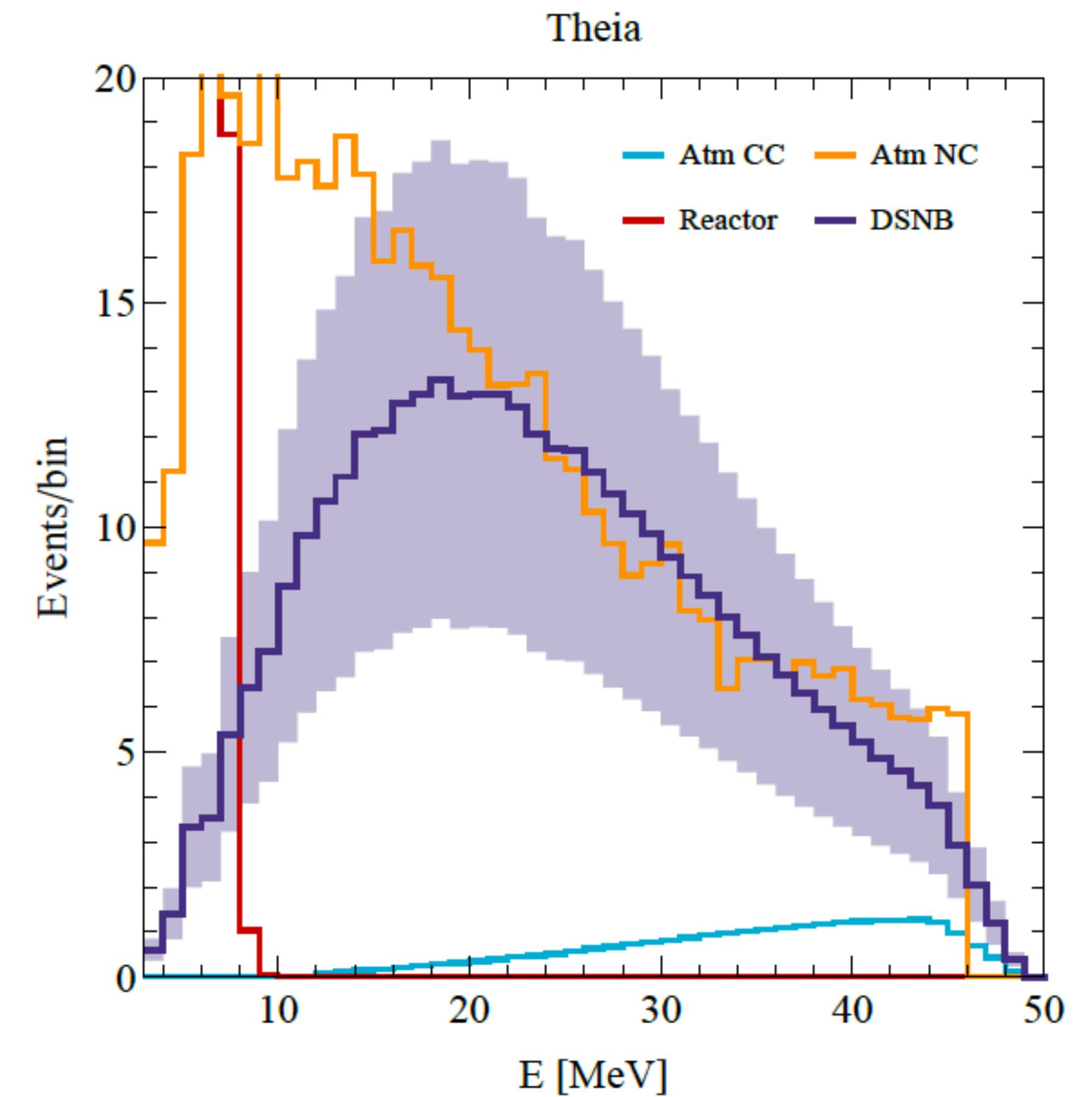
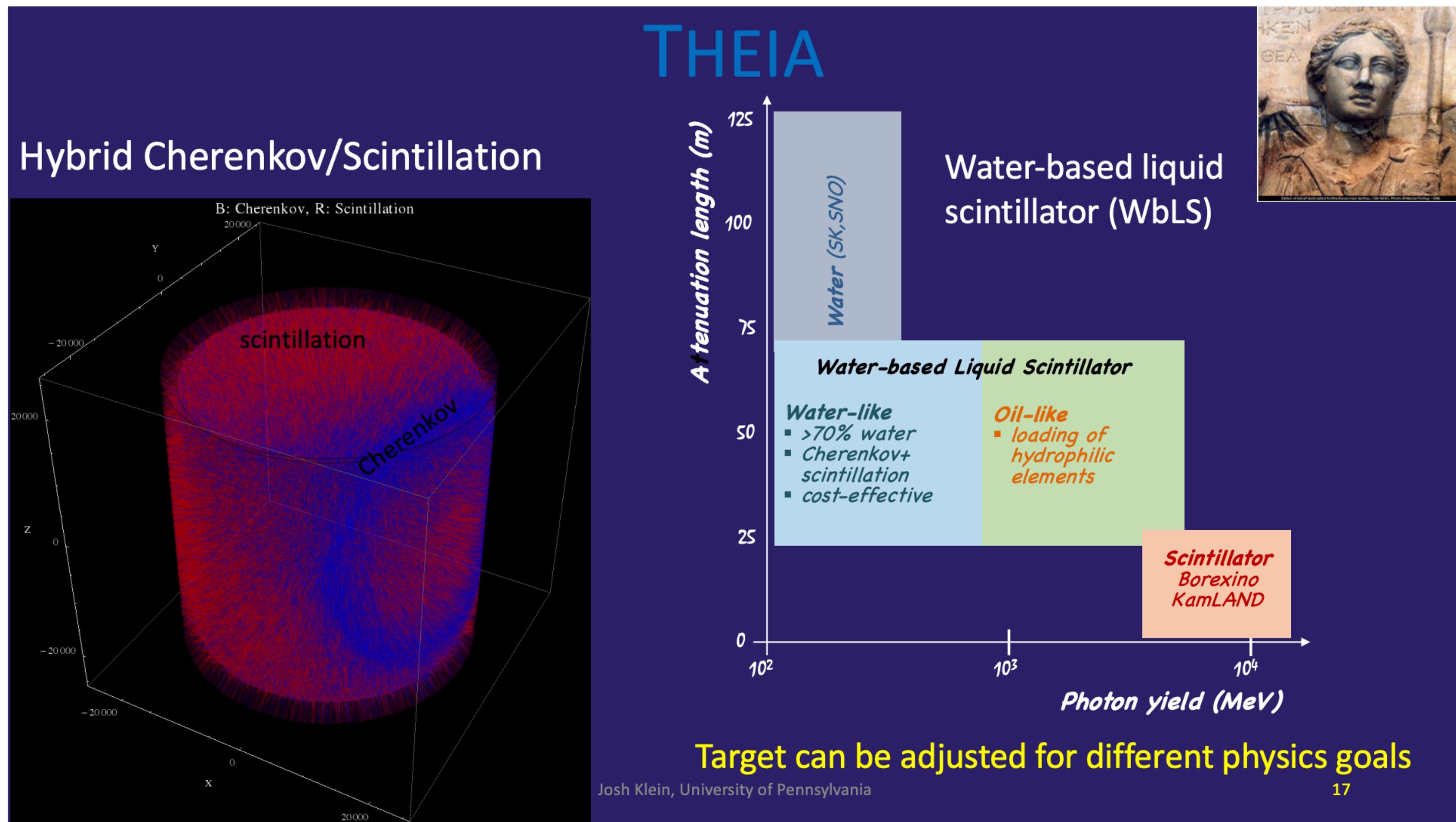
Future Detection: Hyper-Kamiokande + Gd



- HK enriched with Gd provides excellent detection prospects.
- Results with 1 tank with 10 years of data taking.
- Backgrounds same as SK.

de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, [2007.13748](#)

Future Detection: Theia



- 100 kT detector, with 10 years of data-taking
- Low energy resolution of scintillator, and high-energy Cherenkov detector.
- Major background: NC interactions of ν on C nuclei. Prompt signal in recoil + delayed signal due to absorption of emitted neutron. Can be reduced using Cherenkov / Scintillation ratio.

de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, [2007.13748](#)

Fundamental Physics Probes

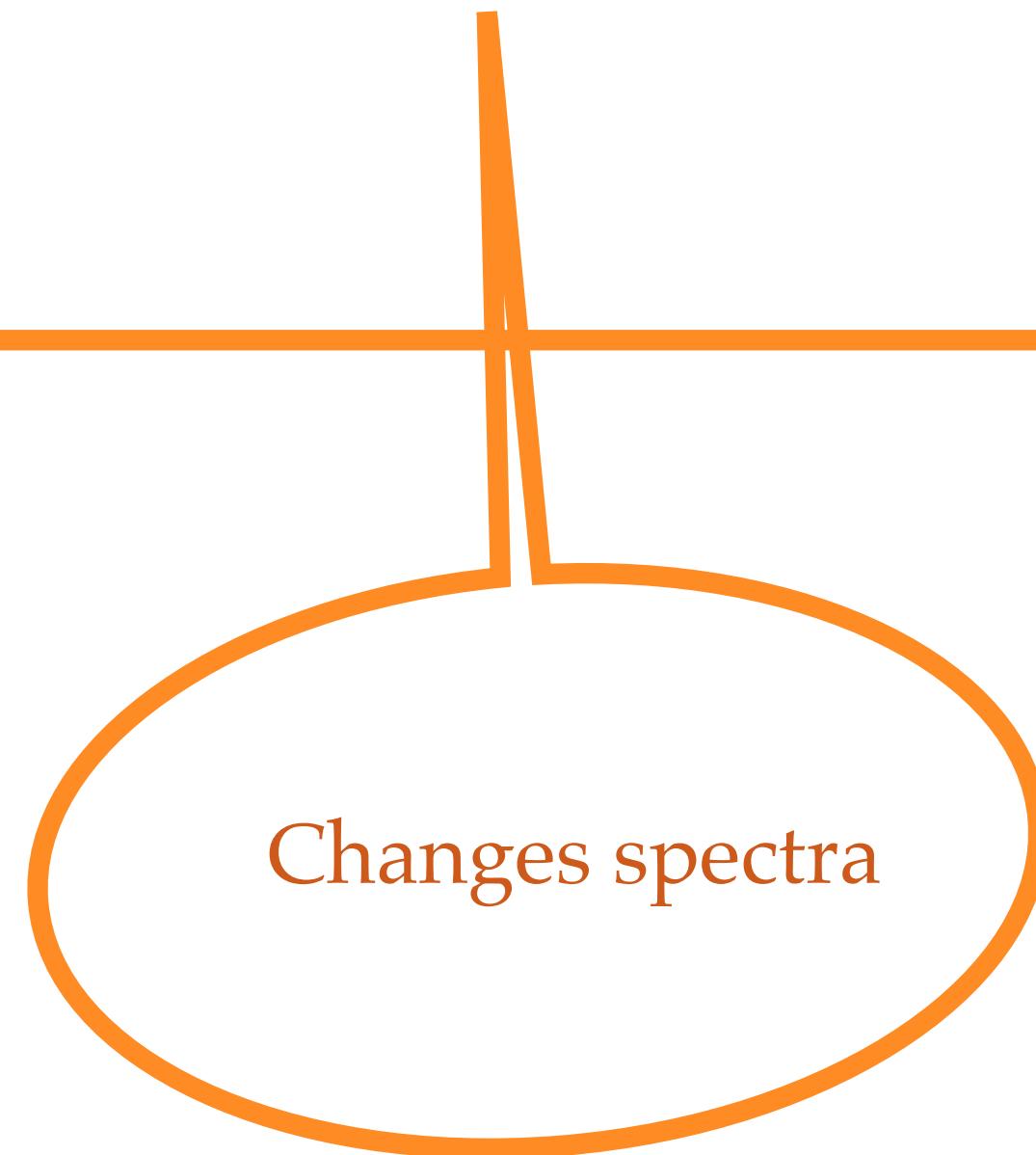
Multidisciplinary aspects of understanding the supernova neutrinos:

- **Particle physics aspects:** Neutrino physics in dense media, neutrino properties, anomalous cooling mechanism due to new physics,...
- **Astrophysics:** Star formation rates, including life and birth cycles, constraints on new sources, neutron star equation of state, nucleosynthesis,...
- **Cosmology:** SN distance indicators, fundamental cosmology parameters, dark matter physics,...
- **Multi-messenger aspect:** adds to information from photons and gravity waves.

All these channels can open up with a future detection of the DSNB.

Neutrino Decay

$$\Phi_\nu(E) = \int_0^{z_{\max}} \frac{dz}{H(z)} R_{\text{CCSN}}(z) F_\nu(E(1+z))$$



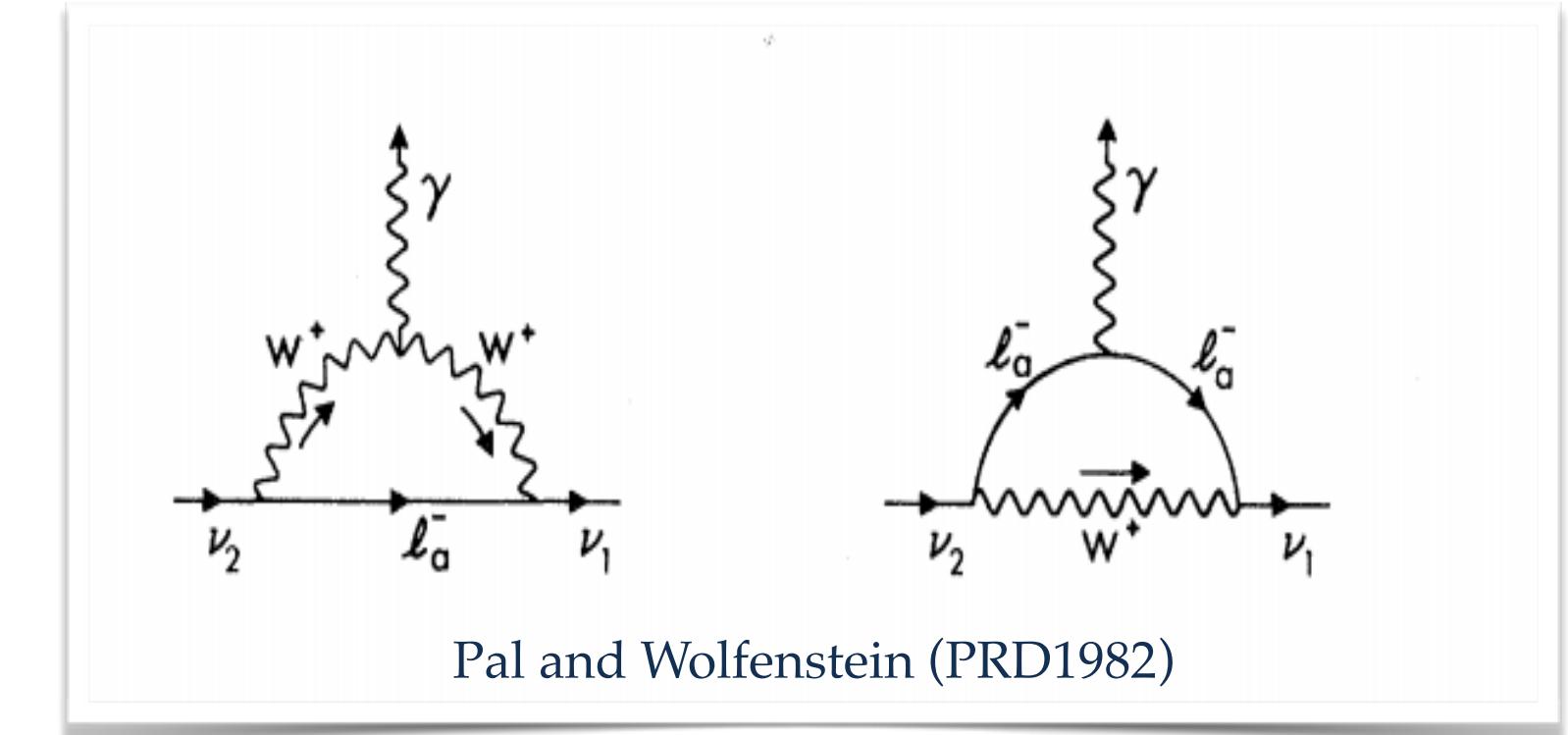
Neutrino Properties: Decay

- Massive neutrinos can decay to lighter ones even within the SM. Age longer than universe.
- New physics can mediate faster decay.

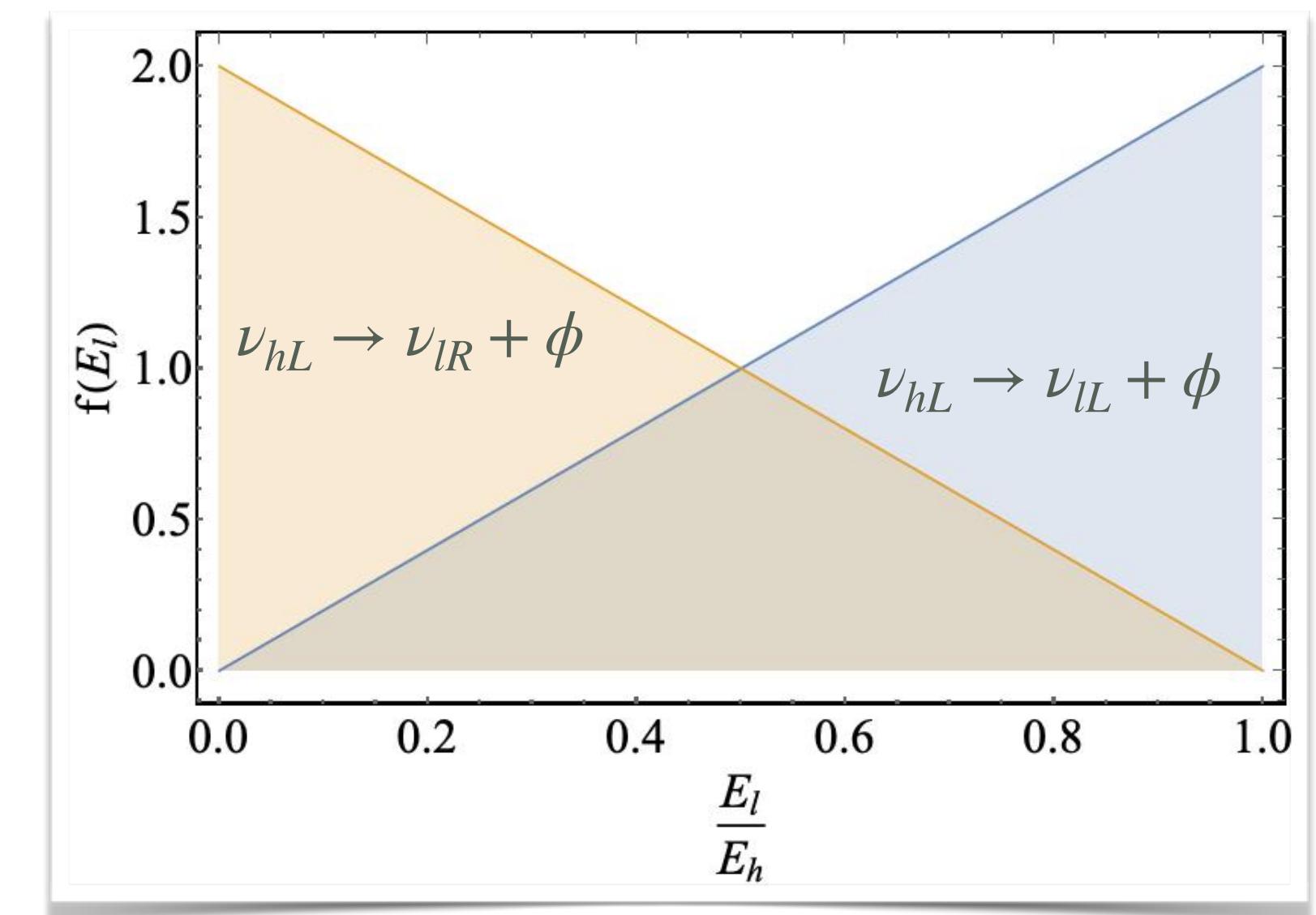
$$\mathcal{L} \supset \nu_l \mathbf{P}_L \nu_h \varphi + \text{H.c.}$$

$$\begin{aligned}\nu_{hL} &\rightarrow \nu_{lL} + \varphi \quad \dots \text{Helicity cons. (h.c.)} \\ \nu_{hL} &\rightarrow \nu_{lR} + \varphi \quad \dots \text{Helicity flip. (h.f.)}\end{aligned}$$

- In ν_h rest frame, the daughter that shares the same helicity as the parent is emitted preferentially along the parent helicity direction.



Pal and Wolfenstein (PRD1982)

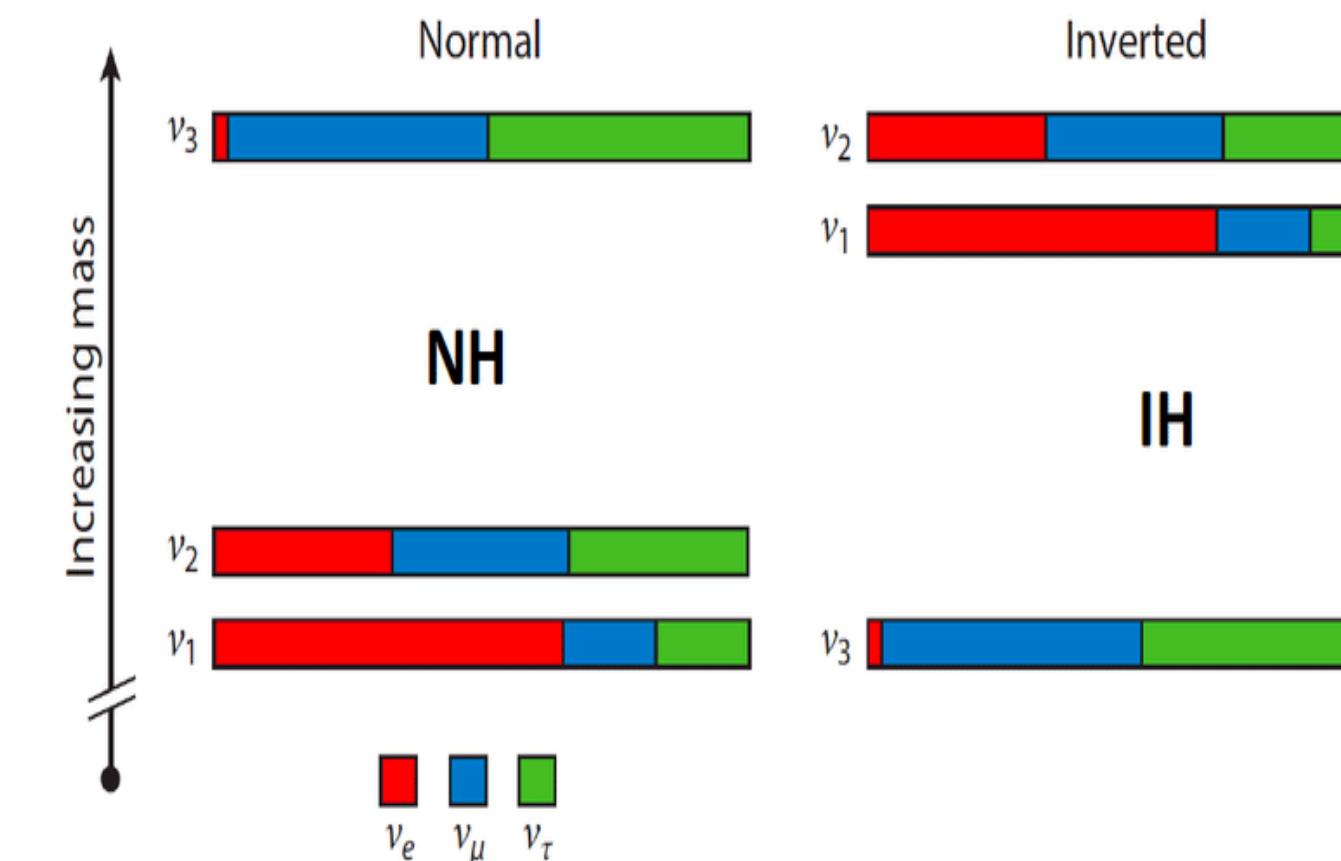


de Gouvea, Martinez-Soler, MS, PRD2020

How does neutrino decay work?

Normal Ordering

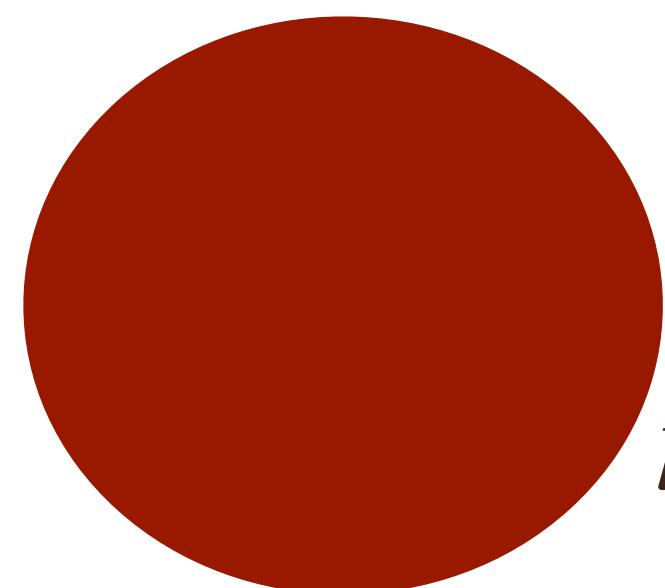
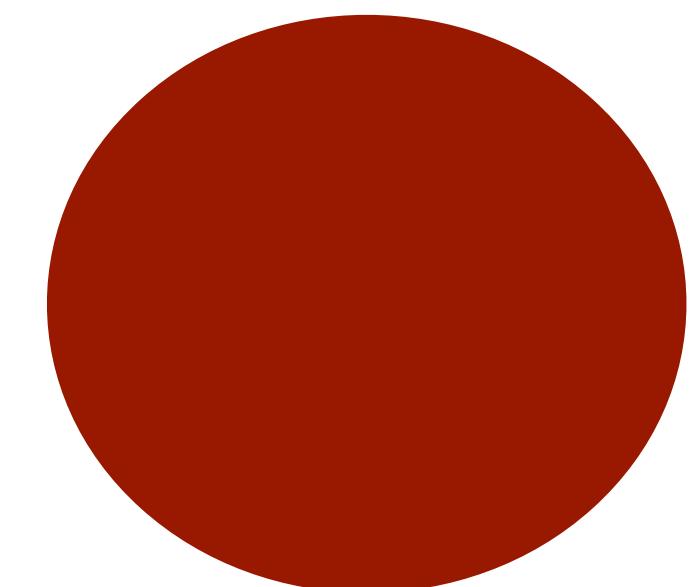
$$\nu_3 \rightarrow \nu_1 \varphi$$



NO DECAY

$$\nu_h \equiv \nu_3$$

$$\nu_e \sim |U_{e3}|^2 \sim 0.02 \nu_3$$



$$\nu_h \equiv \nu_3$$

DECAY

$$\nu_l \equiv \nu_1$$

$$\nu_e \sim |U_{e1}|^2 \sim 0.7 \nu_e^{\text{in}}$$

Enhancement in spectra

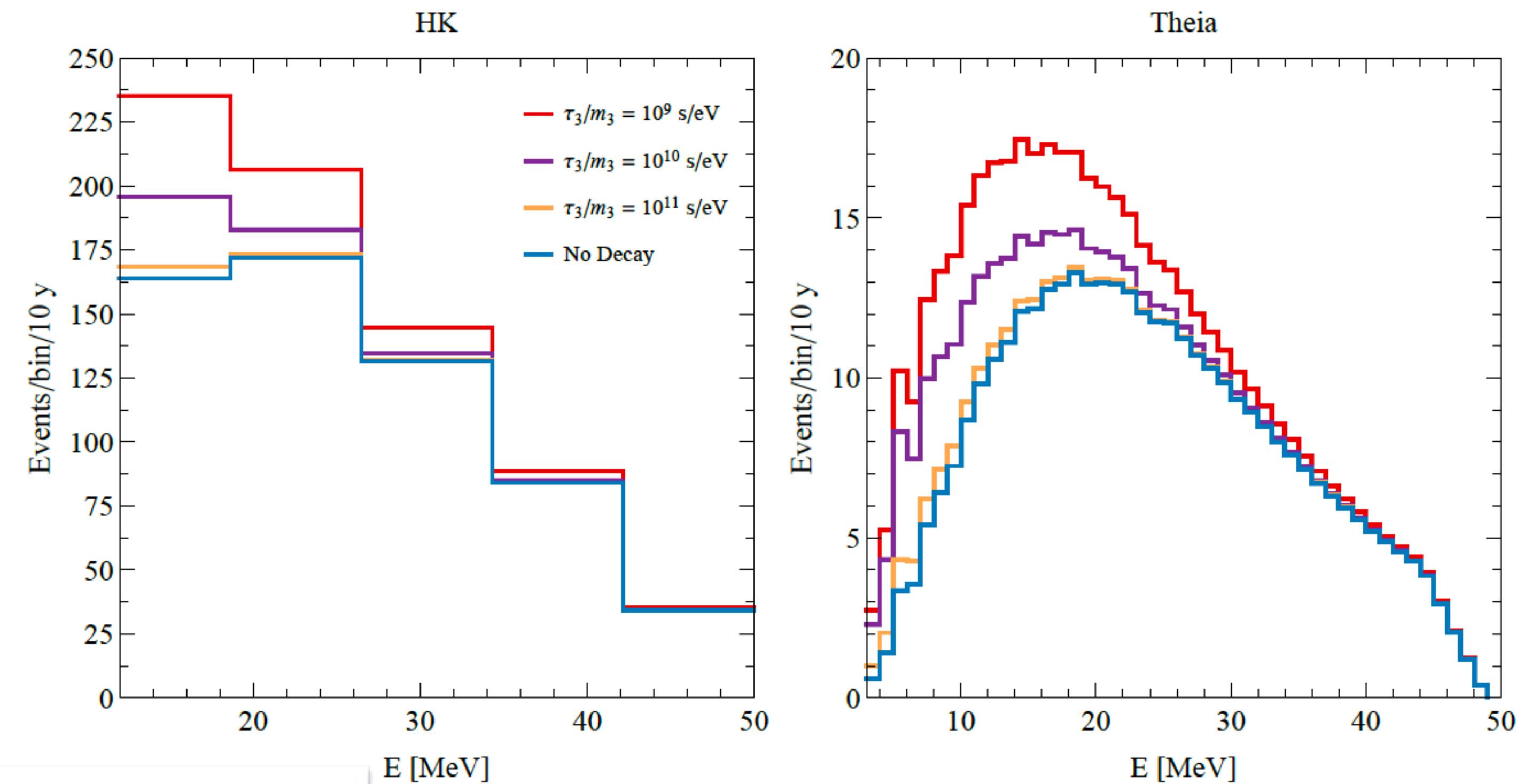
Simulated data at HK & Theia

- Consider Majorana neutrinos for maximum impact.

Two channels:

- $\nu_{3L} \rightarrow \nu_{1L} + \varphi$
- $\nu_{3L} \rightarrow \nu_{1R} (\bar{\nu}_{1R}) + \varphi$

- ν_{1R} acts as anti-neutrinos, and detected as well.



$$\begin{aligned}\Phi_{\nu_3}(E) &= \int_0^{z_{\max}} \frac{dz'}{H(z')} R_{\text{CCSN}}(z') F_{\nu_3}(E(1+z')) e^{-\Gamma(E)\zeta(z')} \\ \Phi_{\nu_2}(E) &= \int_0^{z_{\max}} \frac{dz'}{H(z')} R_{\text{CCSN}}(z') F_{\nu_2}(E(1+z')) \\ \Phi_{\nu_1}(E) &= \int_0^{z_{\max}} \frac{dz'}{H(z')} \left\{ R_{\text{CCSN}}(z') F_{\nu_1}(E(1+z')) + \right. \\ &\quad \left. \int_E^\infty dE' [\Phi_{\nu_3}(E') \Gamma(E') \psi_{\text{h.c.}}(E', E) + \Phi_{\bar{\nu}_3}(E') \Gamma(E') \psi_{\text{h.f.}}(E', E)] \right\}\end{aligned}$$

de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, [2007.13748](#)

Constraints on neutrino lifetime

- HK and Theia can put some of the strongest constraints on neutrino lifetime. At 2σ ,
 $\tau_3/m_3 \sim 10^9$ s/eV.

- Solar bounds: $\tau_2/m_2 > 10^{-3}$ s/eV.
 $\tau_3/m_3 > 10^{-5}$ s/eV.

Berryman, de Gouvea, Hernandez, PRD2015
Funcke, Vitagliano, Raffelt PRD2020 + ...

- Long baseline: $\tau_3/m_3 > 10^{-10}$ s/eV.

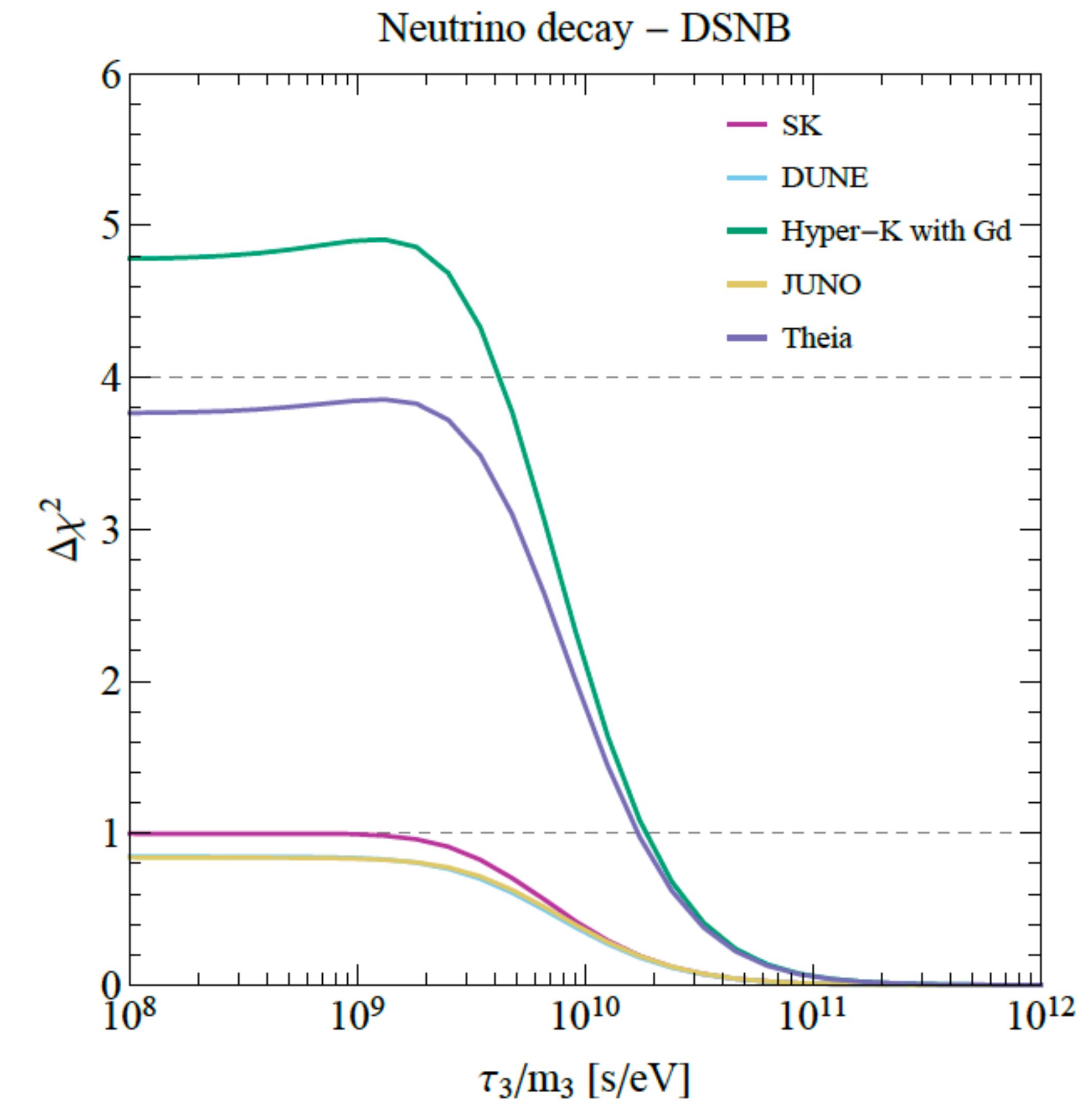
Gonzalez-Garcia, Maltoni, PLB2008 + ...

- IceCube: $\tau_3/m_3 \sim 10^2$ s/eV

Denton, Tamborra PRL2018

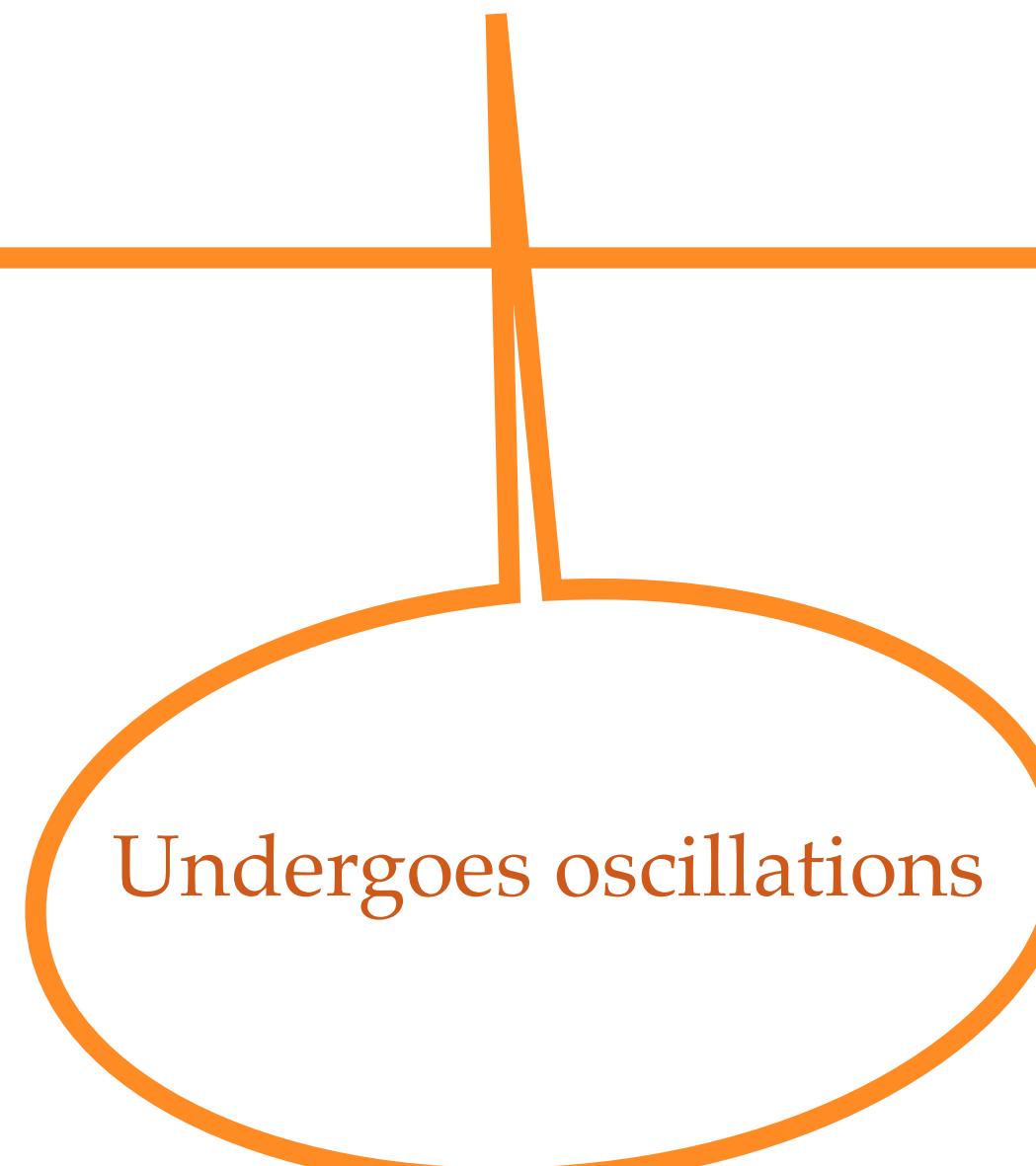
- CMB: $\tau/m \sim 10^9$ s/eV

Escudero, Fairbairn PRD2019



Pseudo-Dirac Neutrinos

$$\Phi_\nu(E) = \int_0^{z_{\max}} \frac{dz}{H(z)} R_{\text{CCSN}}(z) F_\nu(E(1+z))$$



Pseudo Dirac Neutrinos

- Neutrinos are Dirac, but have sub-dominant Majorana mass terms.
Oscillations driven by this tiny mass.

- Generic Majorana mass matrix $\begin{pmatrix} m_L & m_D \\ m_D & m_R \end{pmatrix}$.

Pseudo-Dirac limit : $m_{L,R} \ll m_D$

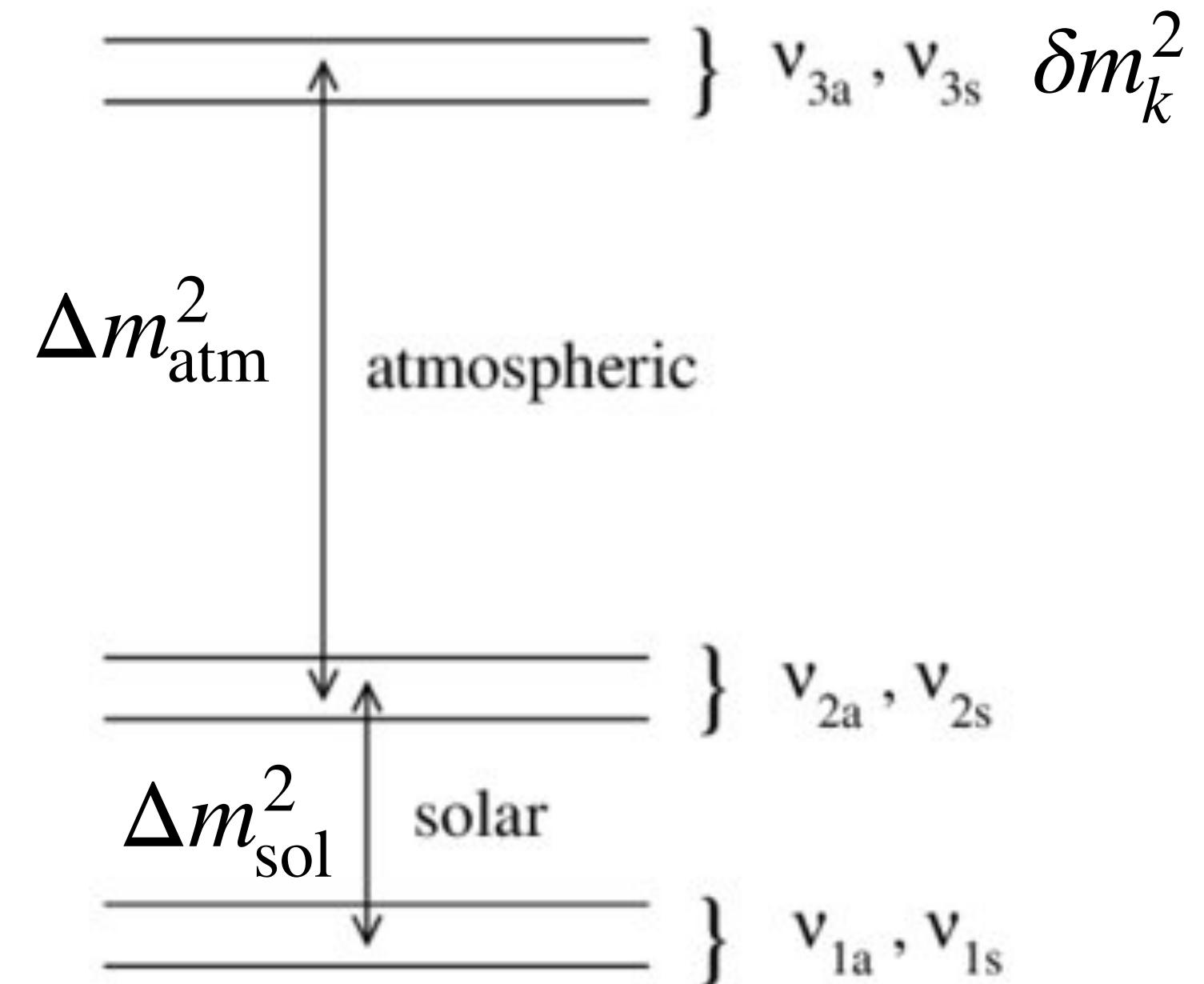
Kobayashi, Lim, PRD2001

- 3 pairs of quasi-degenerate states, separated by δm_k^2 , which is much smaller than the usual Δm_{sol}^2 and Δm_{atm}^2 .

$$\nu_{\alpha L} = \frac{1}{\sqrt{2}} U_{\alpha j} (\nu_{js} + i \nu_{ja})$$

$$\nu_{\alpha S} = \frac{1}{\sqrt{2}} U_{\alpha j} (\nu_{js} - i \nu_{ja}).$$

- Maximally mixed active and sterile states.



Bounds:

1. Solar neutrinos $\delta m^2 = 10^{-12} \text{ eV}^2$
de Gouvea, Huang, Jenkins, PRD2009
2. Atmospheric neutrinos $\delta m^2 > 10^{-4} \text{ eV}^2$
Beacom, Bell, et al., PRL2004
3. High energy astrophysical neutrinos
 $10^{-18} \text{ eV}^2 < \delta m^2 < 10^{-12} \text{ eV}^2$
Esmaili, Farzan, JCAP2012

Pseudo Dirac Neutrinos

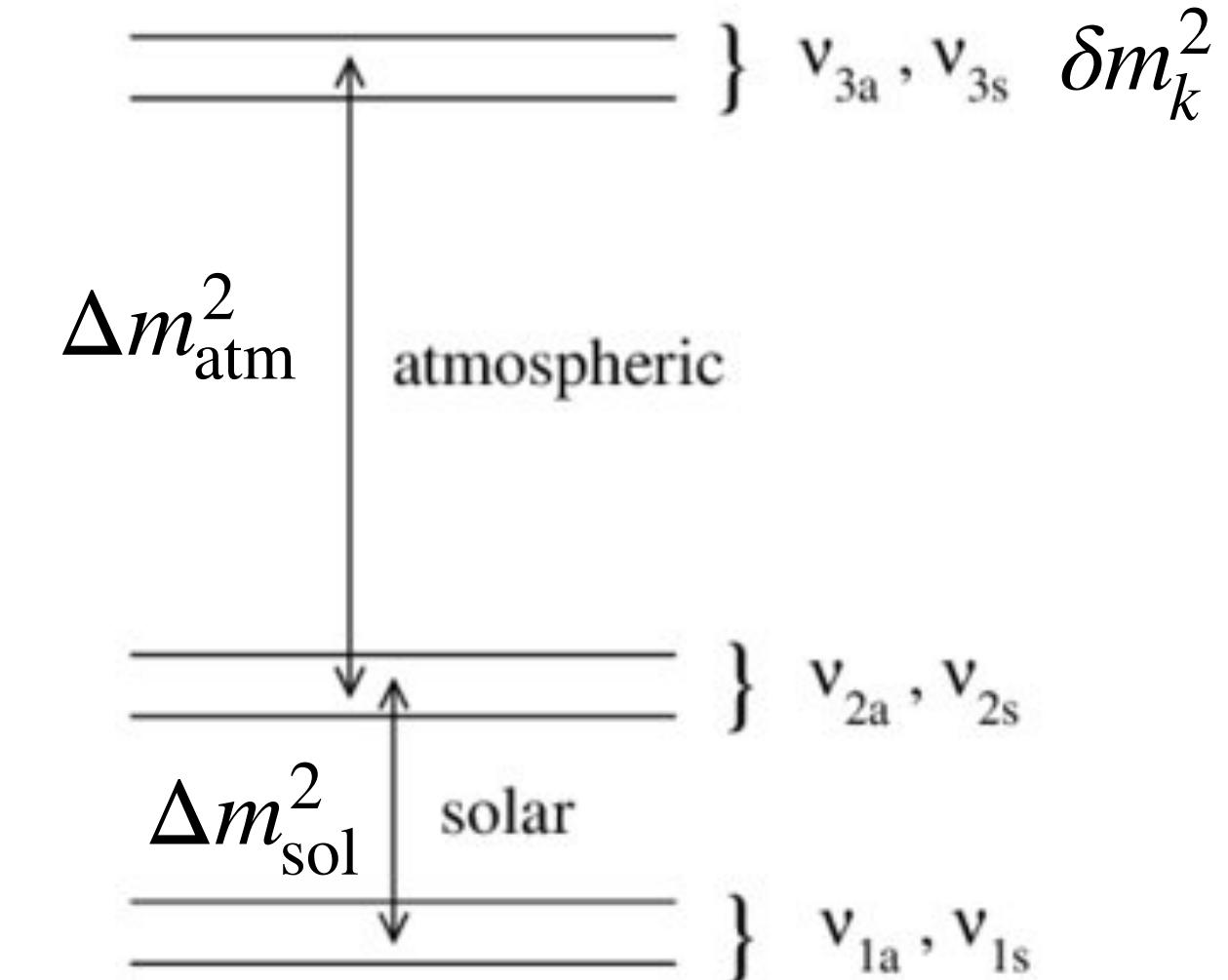
- δm_k^2 will lead to oscillations at very large distances.
Wave-packet separation decoherence also becomes important.

- Probability for $\nu_i \rightarrow \nu_\beta$

$$P_{i\beta}(z, E) = \frac{1}{2} |U_{\beta k}|^2 \left(1 + e^{-\left(\frac{L_3(z)}{L_{\text{coh}}}\right)^2} \cos\left(\frac{L_2(z)}{L_{\text{osc}}}\right) \right)$$

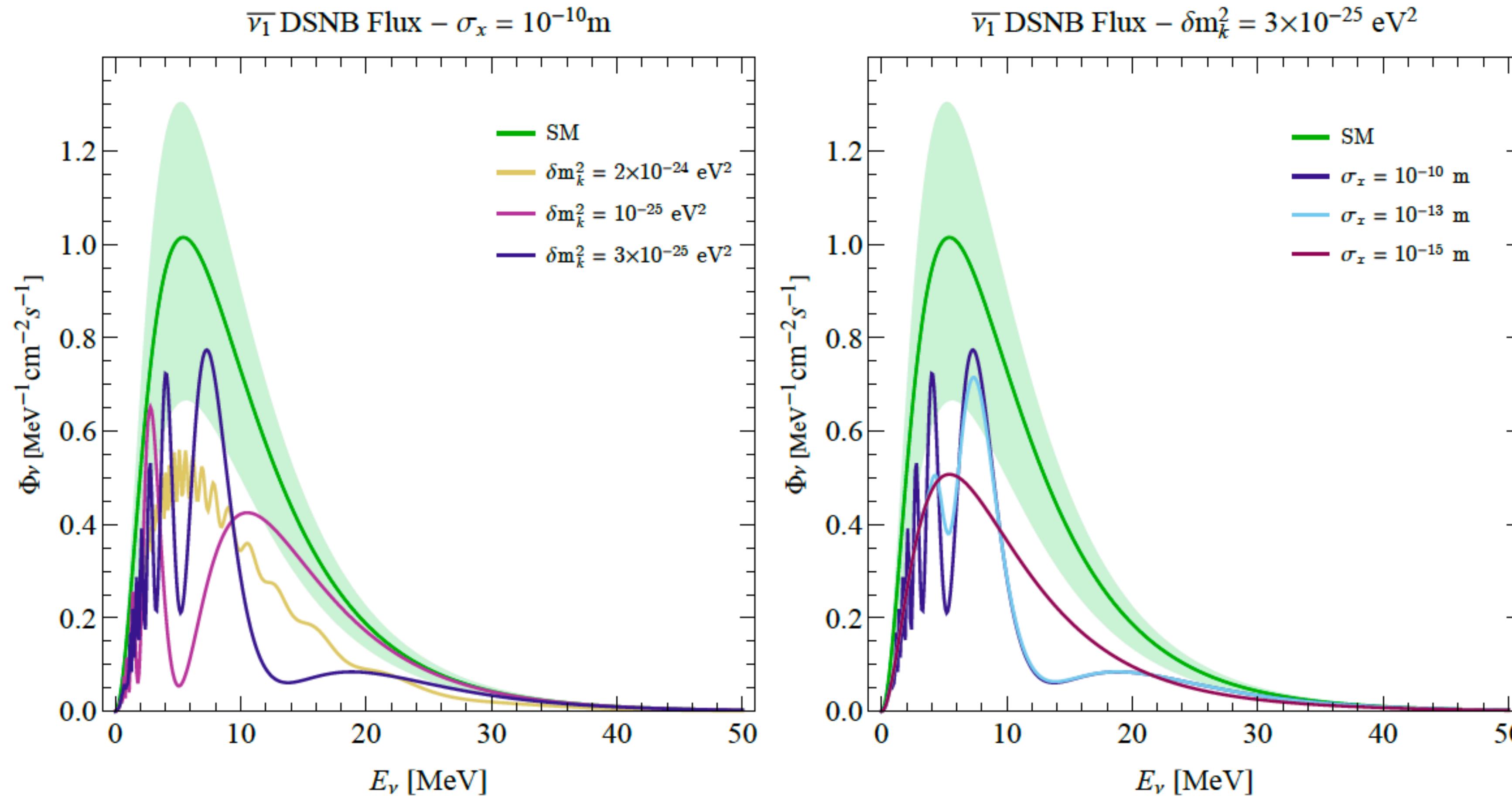
$$L_{\text{osc}} = \frac{4\pi E}{\delta m_k^2} \approx 8.03 \text{ Gpc} \left(\frac{E}{10 \text{ MeV}} \right) \left(\frac{10^{-25} \text{ eV}^2}{\delta m_k^2} \right),$$

$$L_{\text{coh}} = \frac{4\sqrt{2}E^2}{|\delta m_k^2|} \sigma_x \approx 180 \text{ Gpc} \left(\frac{E}{10 \text{ MeV}} \right)^2 \left(\frac{10^{-25} \text{ eV}^2}{\delta m_k^2} \right) \left(\frac{\sigma_x}{10^{-12} \text{ m}} \right).$$



A smaller σ_x can cause decoherence.

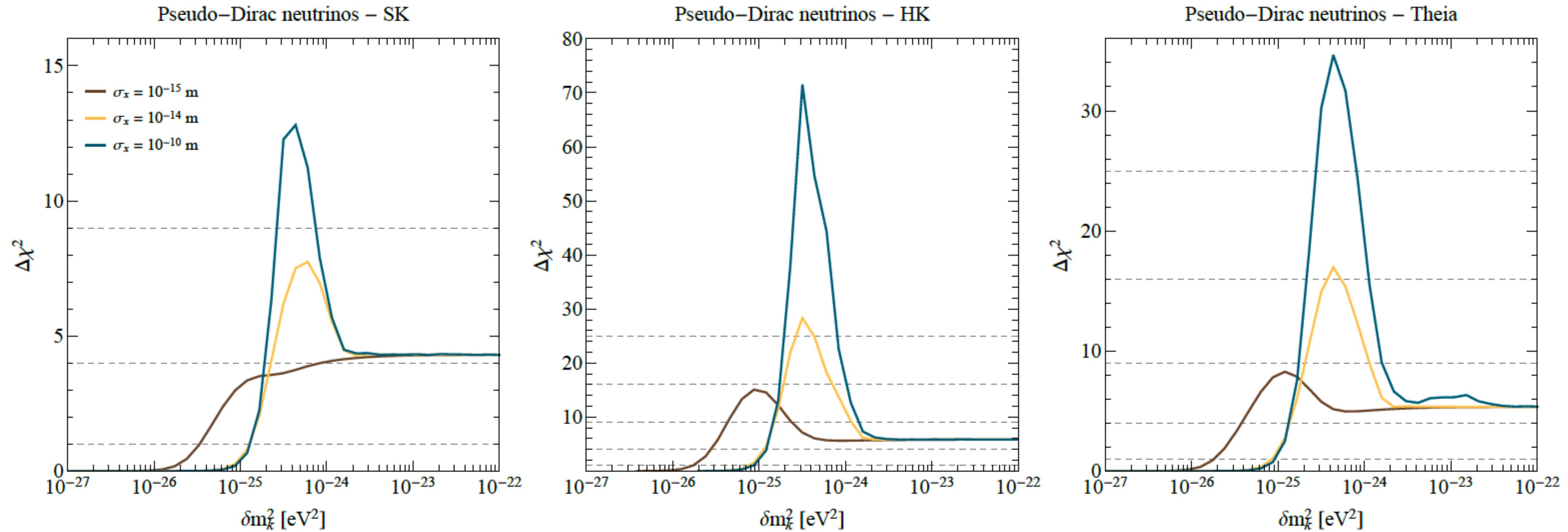
Oscillations due to pseudo-Dirac nature



Increasing δm^2 reduces L_{osc} and L_{coh} , and causes more oscillations

Decreasing σ_x reduces L_{coh} , and causes more decoherence

Sensitivity to tiny mass-squared differences



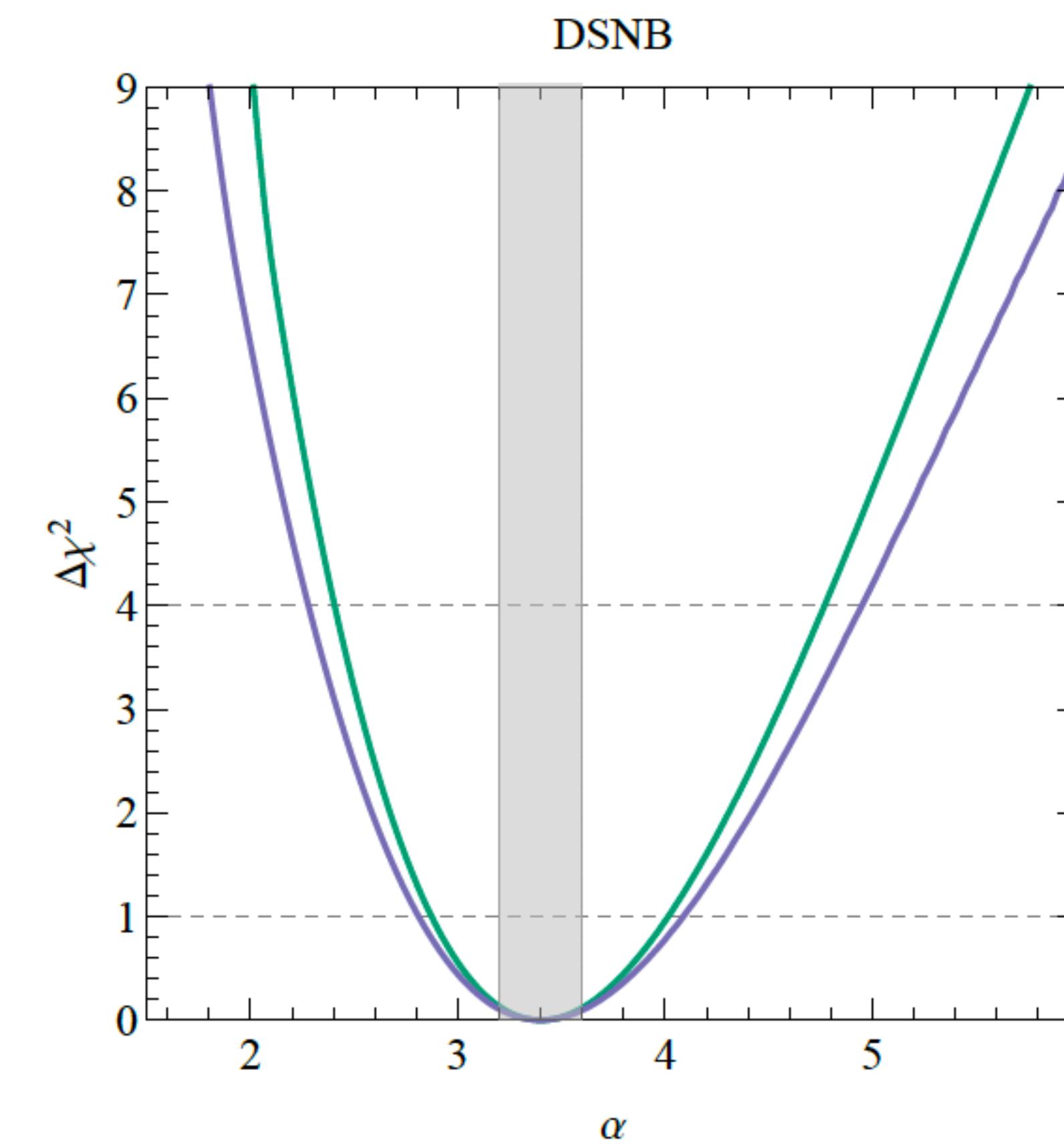
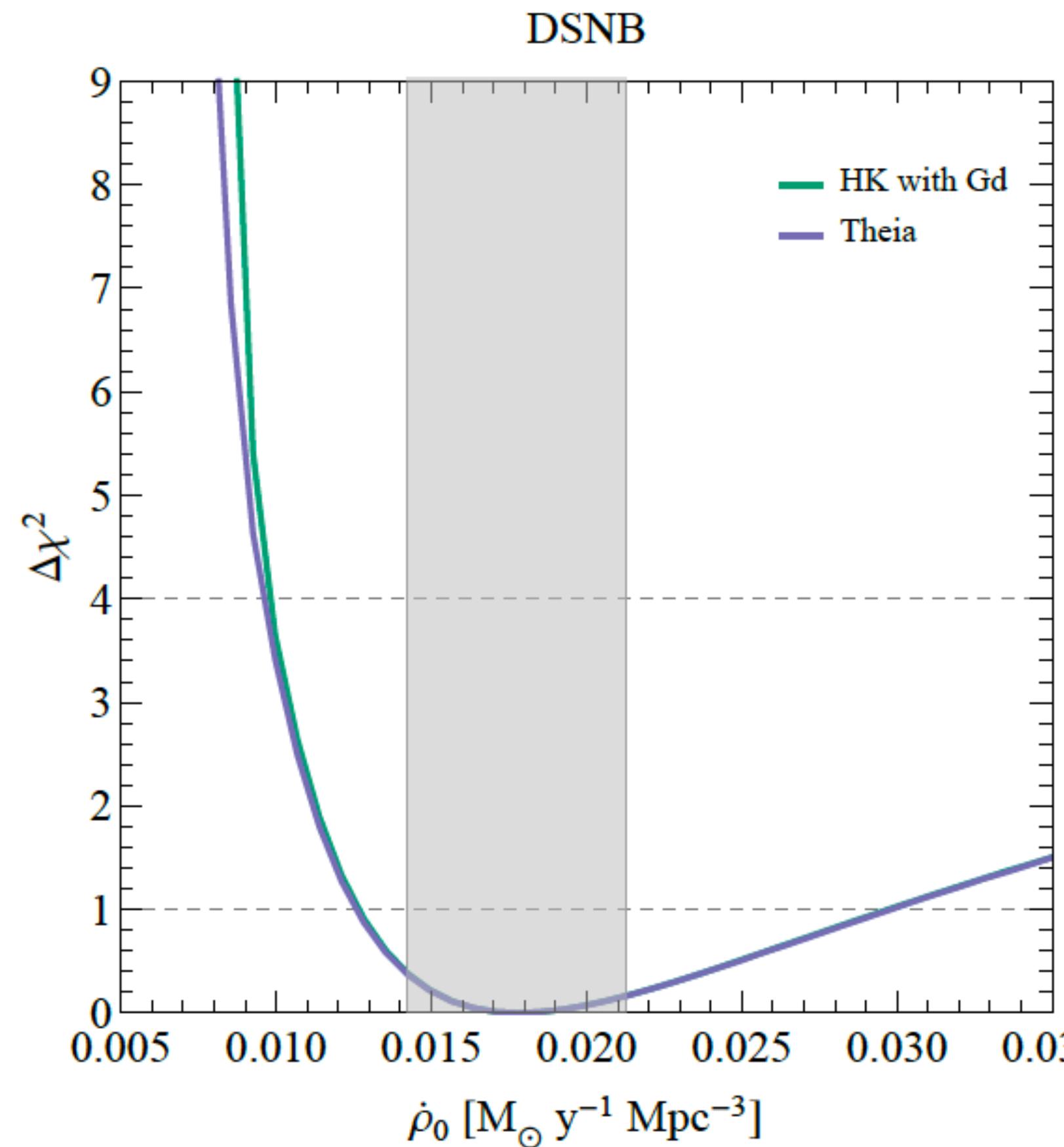
de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, [2007.13748](#)

- DSNB sensitive to $\delta m^2 \sim \mathcal{O}(10^{-25} \text{ eV}^2)$ with a high significance.
- Even if δm^2 is too tiny for oscillations, DSNB is still sensitive to decoherence for small σ_x

Star formation Rate

$$\Phi_\nu(E) = \int_0^{z_{\max}} \frac{dz}{H(z)} \ R_{\text{CCSN}}(z) \ F_\nu(E(1+z))$$

Astrophysics: Cosmic star formation rate



de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, [2007.13748](#)

$$\dot{\rho}_*(z) = \dot{\rho}_0 \left[(1+z)^{-10\alpha} + \left(\frac{1+z}{B}\right)^{-10\beta} + \left(\frac{1+z}{C}\right)^{-10\gamma} \right]^{-1/10}$$

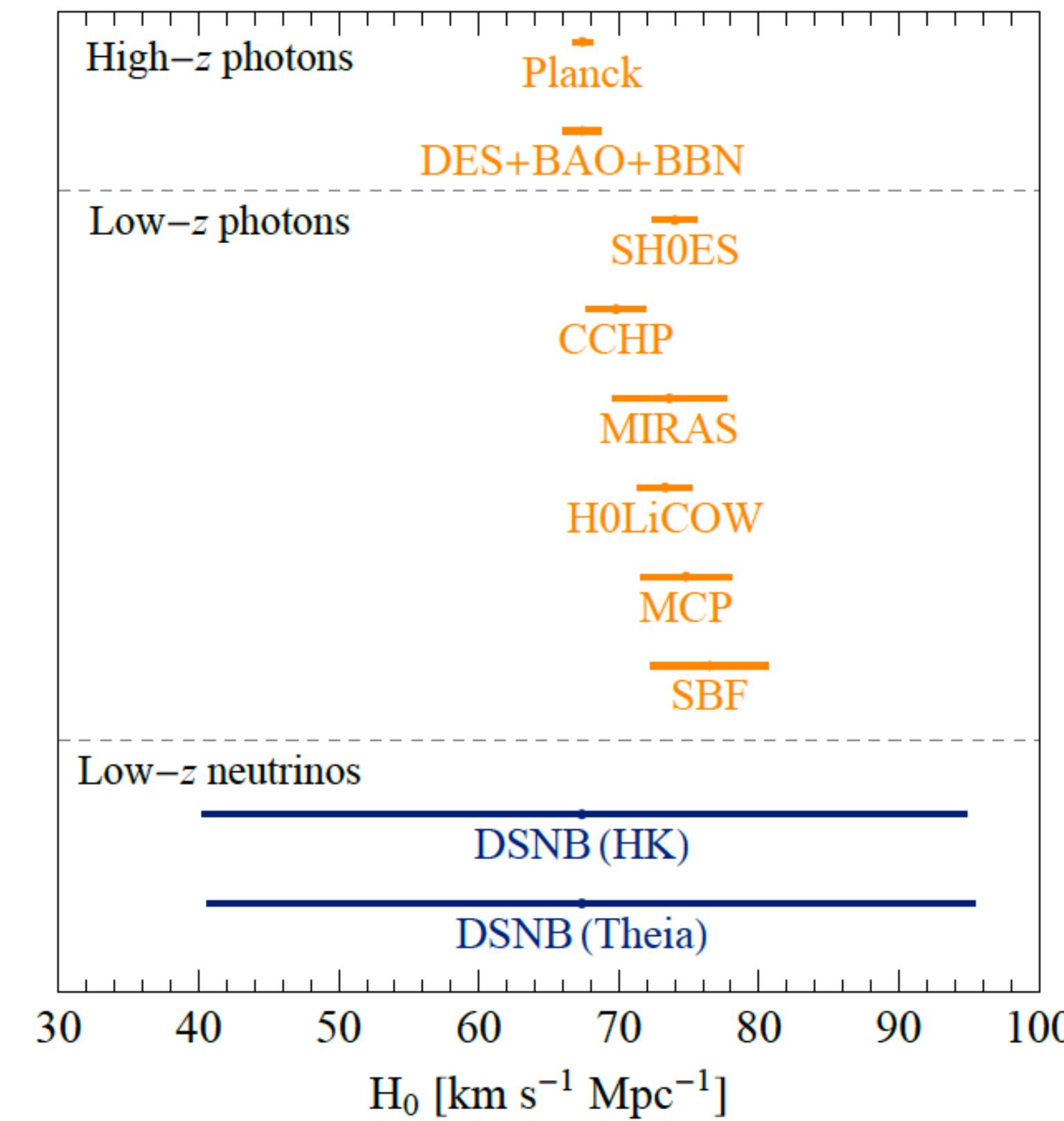
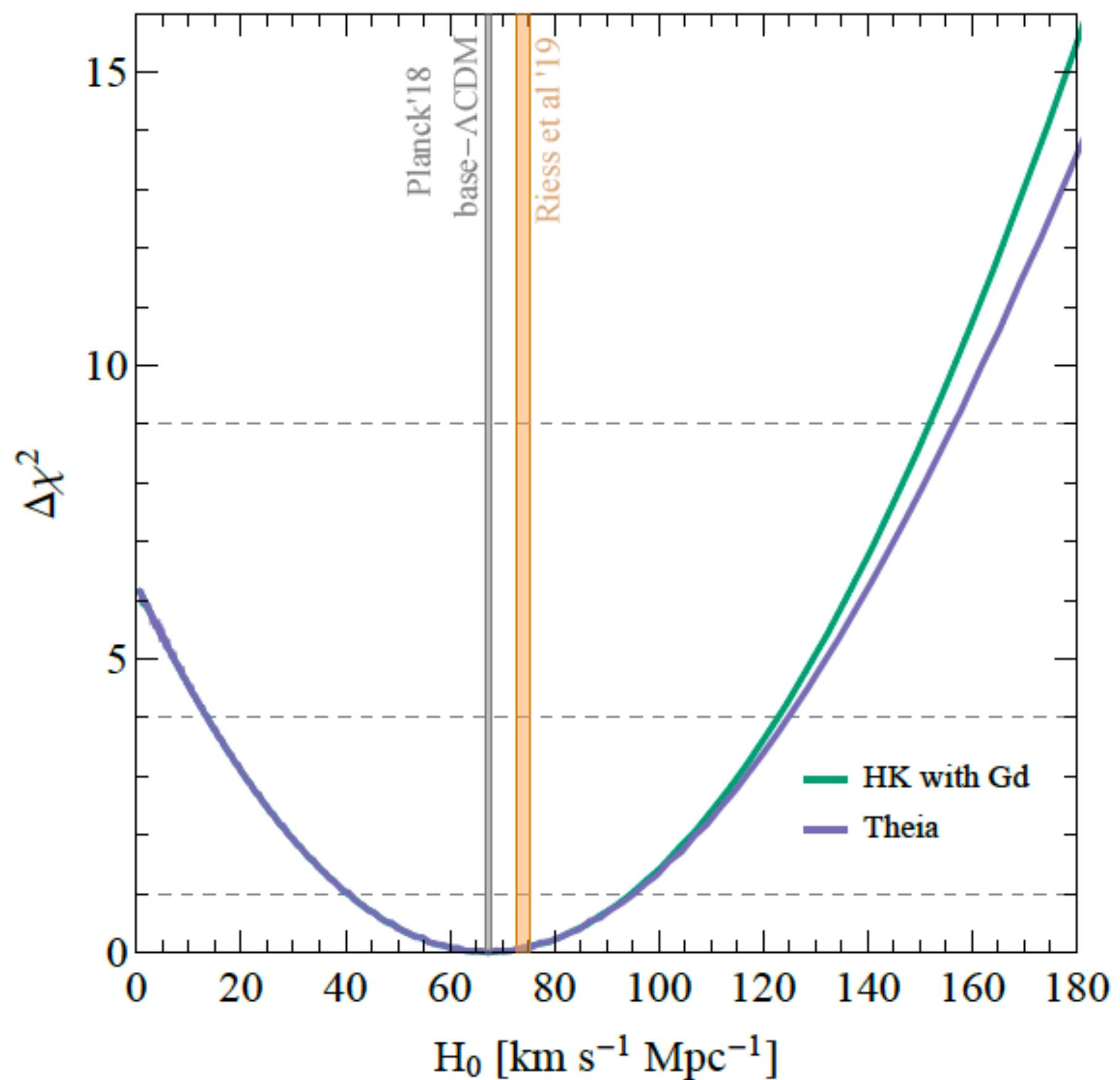
At the 2σ level, the results obtained from the DSNB are almost competitive with those obtained from decades of astronomical surveys.

Hubble constant

$$\Phi_\nu(E) = \int_0^{z_{\max}} \frac{dz}{H(z)} R_{\text{CCSN}}(z) F_\nu(E(1+z))$$

Cosmology: Hubble Parameter

DSNB



de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, [2007.13748](#)

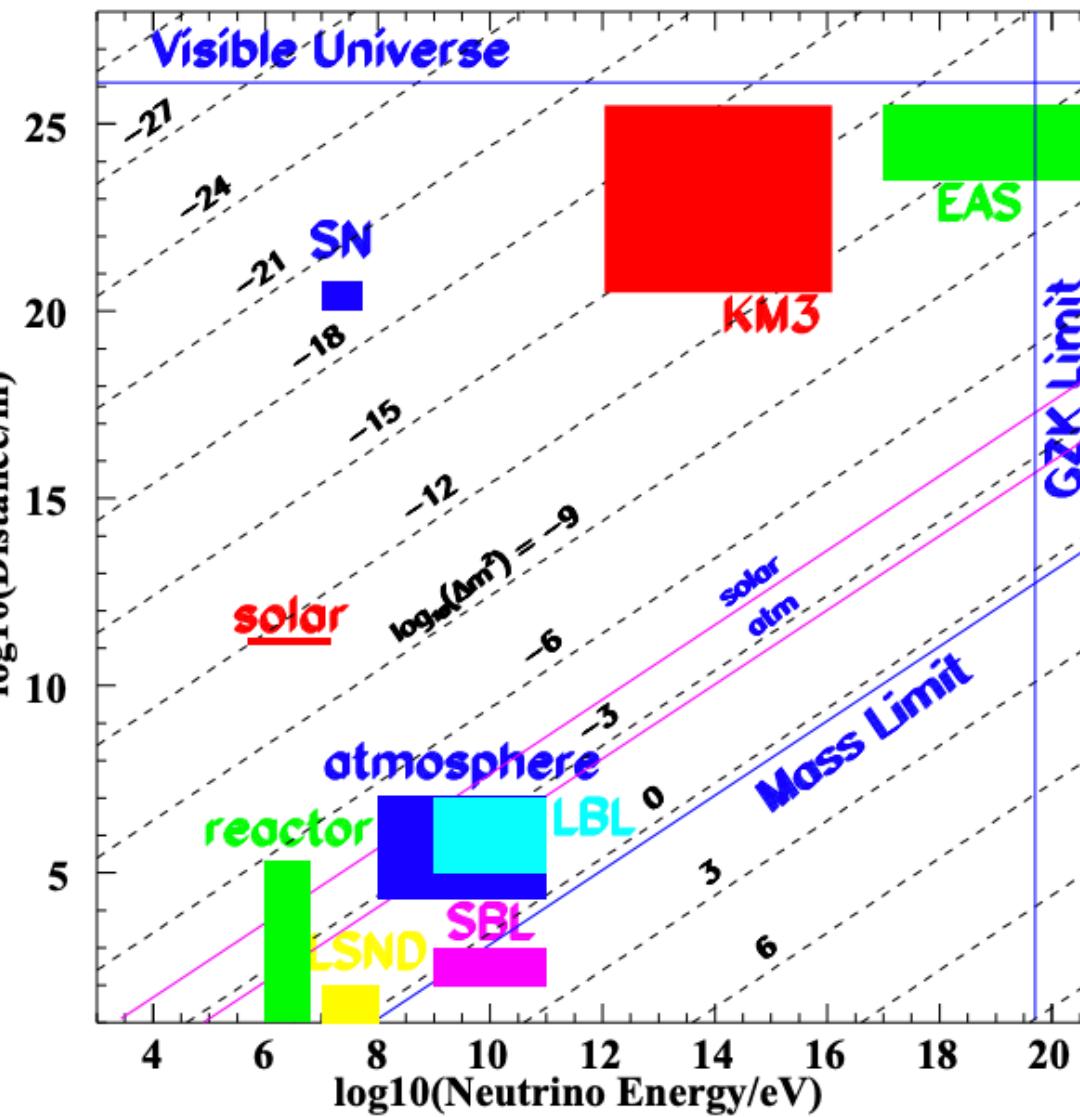
- Distance yardstick using neutrinos. Can confirm expanding Universe after 10 years of running.
- Measure H_0 at 40% level, which is the systematic uncertainty.
- Caveat: Relies on an independent redshift dependent measurement of the SFR.

Conclusions

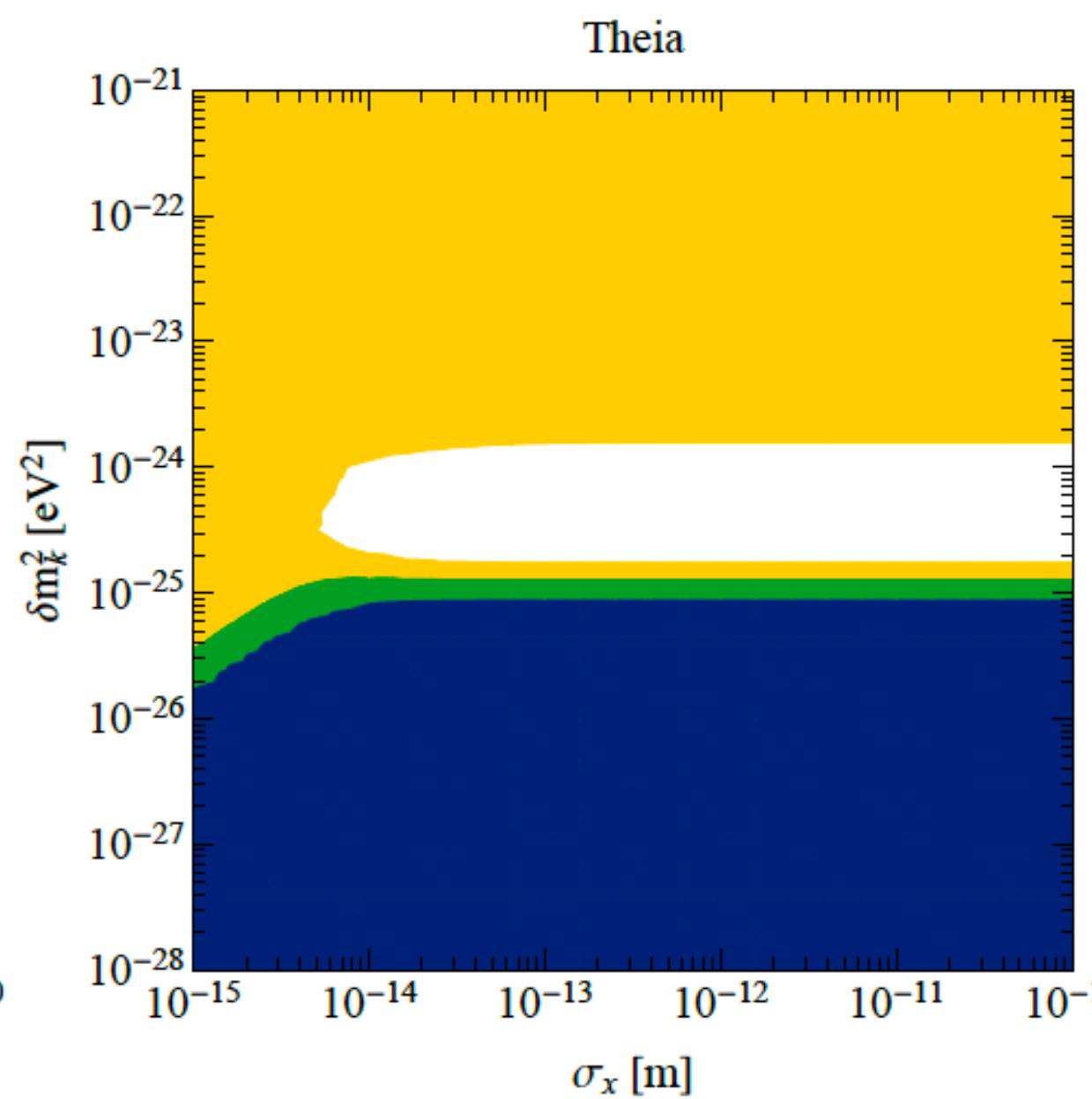
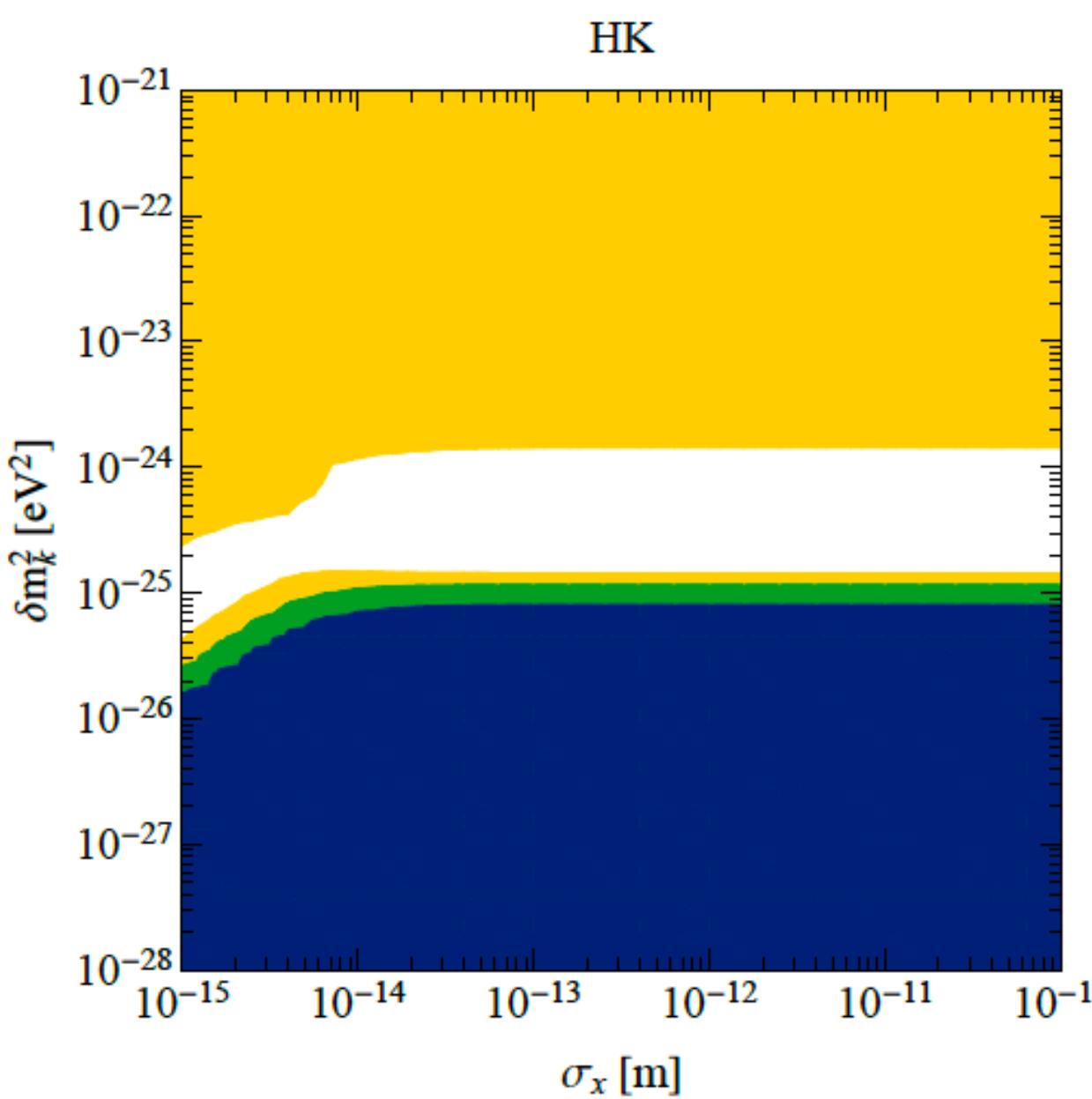
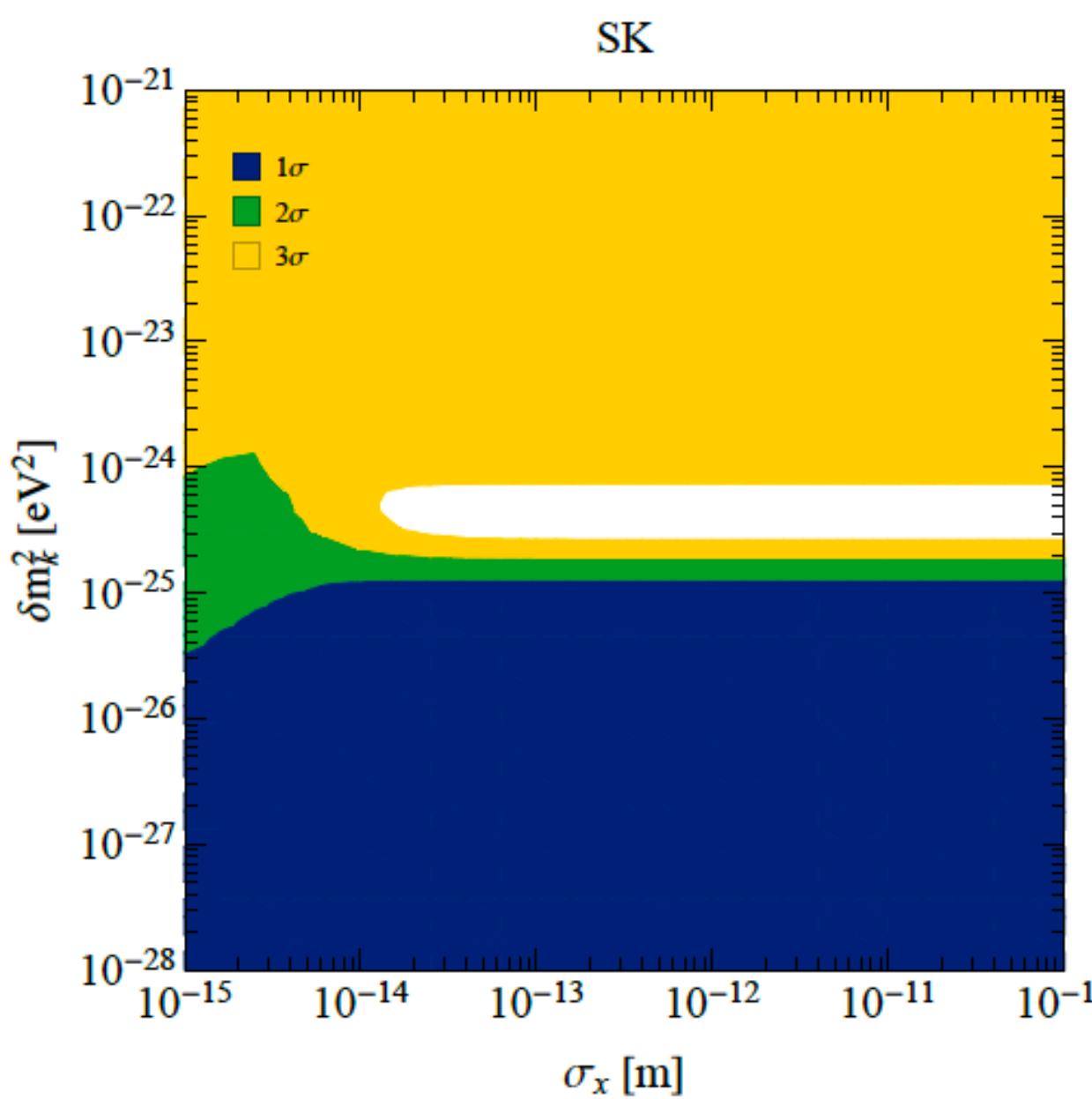
- The DSNB opens up a plethora of avenues for neutrino astronomy, next giant leap from the Sun and SN1987A.
- A future detection can provide neutrino only measurement of expansion rate of the Universe, complementary to measurement with photons and gravity waves.
- Competitive constraints on cosmological star formation rate, and hence the rate of core-collapse SNe in the Universe.
- Crucial for testing extreme neutrino properties, which cannot be tested otherwise.
- Other constraints discussed in the literature: black-hole fraction (primordial as well as astrophysical), alternate cosmological models, models of neutrino emission, and propagation, any new exotic physics in the neutrino sector.

Thank You!

Pseudo-Dirac Constraints

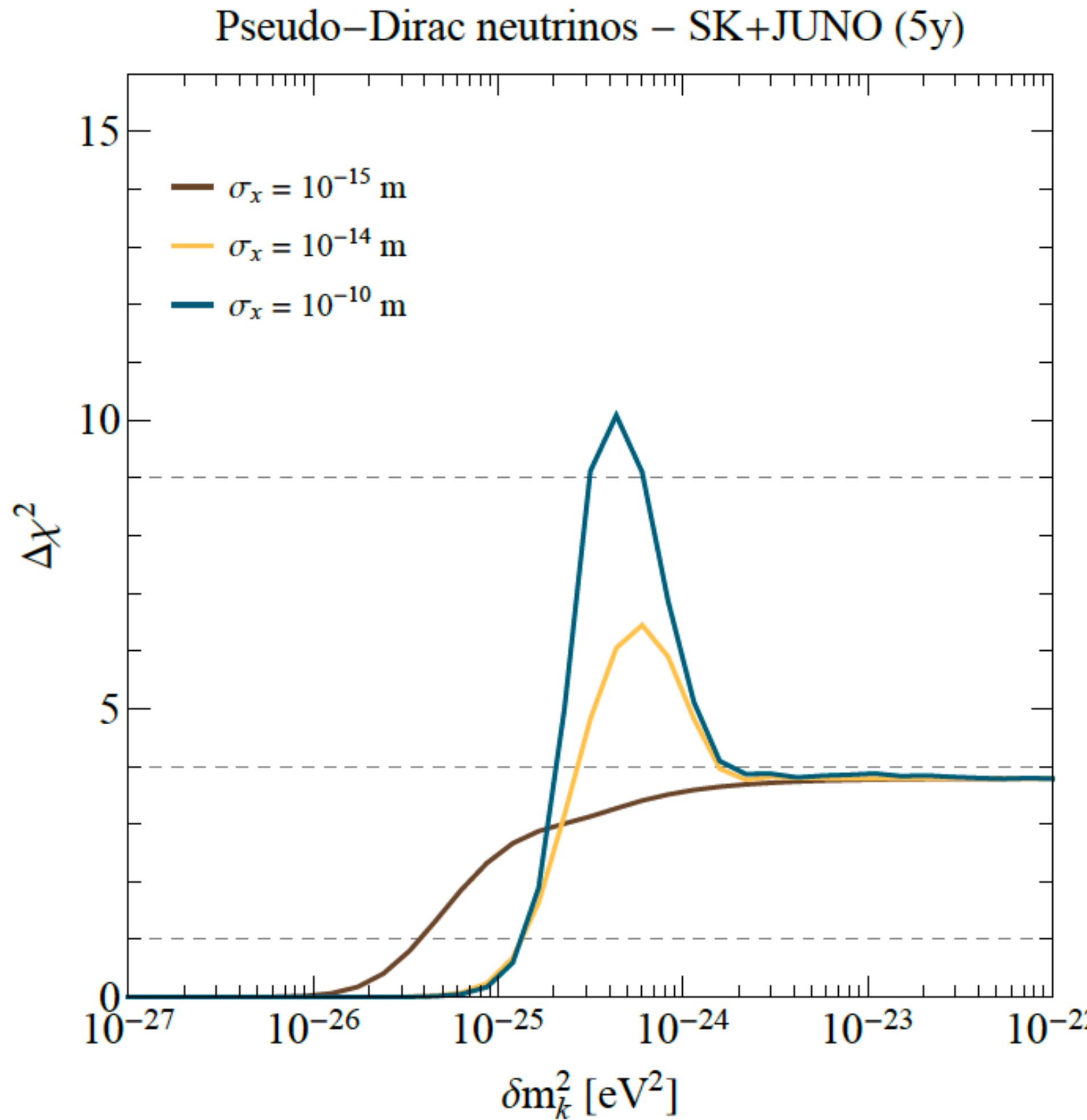


Beacom, Bell, et al., PRL2004



de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, 2007.13748

Pseudo-Dirac Constraints by SK+JUNO in 5 years



de Gouvea, Martinez-Soler, Perez-Gonzalez, MS, [2007.13748](#)